

Common Terminology Criteria for Adverse Events v3.0 (CTCAE)

Publish Date: August 9, 2006

Quick Reference

The NCI Common Terminology Criteria for Adverse Events v3.0 is a descriptive terminology which can be utilized for Adverse Event (AE) reporting. A grading (severity) scale is provided for each AE term.

Components and Organization

CATEGORY

A CATEGORY is a broad classification of AEs based on anatomy and/or pathophysiology. Within each CATEGORY, AEs are listed accompanied by their descriptions of severity (Grade).

Adverse Event Terms

An AE is any unfavorable and unintended sign (including an abnormal laboratory finding), symptom, or disease temporally associated with the use of a medical treatment or procedure that may or may not be considered related to the medical treatment or procedure. An AE is a term that is a unique representation of a specific event used for medical documentation and scientific analyses. Each AE term is mapped to a MedDRA term and code. AEs are listed alphabetically within CATEGORIES.

Short AE Name

The 'SHORT NAME' column is new and it is used to simplify documentation of AE names on Case Report Forms.

Supra-ordinate Terms

A supra-ordinate term is located within a CATEGORY and is a grouping term based on disease process, signs, symptoms,

or diagnosis. A supra-ordinate term is followed by the word '*Select*' and is accompanied by specific AEs that are all related to the supra-ordinate term. Supra-ordinate terms provide clustering and consistent representation of Grade for related AEs. Supra-ordinate terms are not AEs, are not mapped to a MedDRA term and code, cannot be graded and cannot be used for reporting.

REMARK

A 'REMARK' is a clarification of an AE.

ALSO CONSIDER

An 'ALSO CONSIDER' indicates additional AEs that are to be graded if they are clinically significant.

NAVIGATION NOTE

A 'NAVIGATION NOTE' indicates the location of an AE term within the CTCAE document. It lists signs/symptoms alphabetically and the CTCAE term will appear in the same CATEGORY unless the 'NAVIGATION NOTE' states differently.

Grades

Grade refers to the severity of the AE. The CTCAE v3.0 displays Grades 1 through 5 with unique clinical descriptions of severity for each AE based on this general guideline:

Grade 1	Mild AE
Grade 2	Moderate AE
Grade 3	Severe AE
Grade 4	Life-threatening or disabling AE
Grade 5	Death related to AE

A Semi-colon indicates 'or' within the description of the grade.

An 'Em dash' (—) indicates a grade not available.

Not all Grades are appropriate for all AEs. Therefore, some AEs are listed with fewer than five options for Grade selection.

Grade 5

Grade 5 (Death) is not appropriate for some AEs and therefore is not an option.

The DEATH CATEGORY is new. Only one Supra-ordinate term is listed in this CATEGORY: 'Death not associated with CTCAE term – *Select*' with 4 AE options: Death NOS; Disease progression NOS; Multi-organ failure; Sudden death.

Important:

- Grade 5 is the only appropriate Grade
- This AE is to be used in the situation where a death
 1. cannot be reported using a CTCAE v3.0 term associated with Grade 5, or
 2. cannot be reported within a CTCAE CATEGORY as 'Other (Specify)'

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ALLERGY/IMMUNOLOGY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Allergic reaction/ hypersensitivity (including drug fever)	Allergic reaction	Transient flushing or rash; drug fever <38°C (<100.4°F)	Rash; flushing; urticaria; dyspnea; drug fever ≥38°C (≥100.4°F)	Symptomatic bronchospasm, with or without urticaria; parenteral medication(s) indicated; allergy-related edema/angioedema; hypotension	Anaphylaxis	Death
REMARK: Urticaria with manifestations of allergic or hypersensitivity reaction is graded as Allergic reaction/hypersensitivity (including drug fever).						
ALSO CONSIDER: Cytokine release syndrome/acute infusion reaction.						
Allergic rhinitis (including sneezing, nasal stuffiness, postnasal drip)	Rhinitis	Mild, intervention not indicated	Moderate, intervention indicated	—	—	—
REMARK: Rhinitis associated with obstruction or stenosis is graded as Obstruction/stenosis of airway – <i>Select</i> in the PULMONARY/UPPER RESPIRATORY CATEGORY.						
Autoimmune reaction	Autoimmune reaction	Asymptomatic and serologic or other evidence of autoimmune reaction, with normal organ function and intervention not indicated	Evidence of autoimmune reaction involving a non- essential organ or function (e.g., hypothyroidism)	Reversible autoimmune reaction involving function of a major organ or other adverse event (e.g., transient colitis or anemia)	Autoimmune reaction with life-threatening consequences	Death
ALSO CONSIDER: Colitis; Hemoglobin; Hemolysis (e.g., immune hemolytic anemia, drug-related hemolysis); Thyroid function, low (hypothyroidism).						
Serum sickness	Serum sickness	—	—	Present	—	Death
NAVIGATION NOTE: Splenic function is graded in the BLOOD/BONE MARROW CATEGORY.						
NAVIGATION NOTE: Urticaria as an isolated symptom is graded as Urticaria (hives, welts, wheals) in the DERMATOLOGY/SKIN CATEGORY.						
Vasculitis	Vasculitis	Mild, intervention not indicated	Symptomatic, non- steroidal medical intervention indicated	Steroids indicated	Ischemic changes; amputation indicated	Death
Allergy/Immunology – Other (Specify, __)	Allergy – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

AUDITORY/EAR

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
NAVIGATION NOTE: Earache (otalgia) is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
Hearing: patients with/without baseline audiogram and enrolled in a monitoring program ¹	Hearing (monitoring program)	Threshold shift or loss of 15 – 25 dB relative to baseline, averaged at 2 or more contiguous test frequencies in at least one ear; or subjective change in the absence of a Grade 1 threshold shift	Threshold shift or loss of >25 – 90 dB, averaged at 2 contiguous test frequencies in at least one ear	Adult only: Threshold shift of >25 – 90 dB, averaged at 3 contiguous test frequencies in at least one ear Pediatric: Hearing loss sufficient to indicate therapeutic intervention, including hearing aids (e.g., ≥20 dB bilateral HL in the speech frequencies; ≥30 dB unilateral HL; and requiring additional speech-language related services)	Adult only: Profound bilateral hearing loss (>90 dB) Pediatric: Audiologic indication for cochlear implant and requiring additional speech-language related services	—
REMARK: Pediatric recommendations are identical to those for adults, unless specified. For children and adolescents (≤18 years of age) without a baseline test, pre-exposure/pre-treatment hearing should be considered to be <5 dB loss.						
Hearing: patients without baseline audiogram and not enrolled in a monitoring program ¹	Hearing (without monitoring program)	—	Hearing loss not requiring hearing aid or intervention (i.e., not interfering with ADL)	Hearing loss requiring hearing aid or intervention (i.e., interfering with ADL)	Profound bilateral hearing loss (>90 dB)	—
REMARK: Pediatric recommendations are identical to those for adults, unless specified. For children and adolescents (≤18 years of age) without a baseline test, pre-exposure/pre-treatment hearing should be considered to be <5 dB loss.						
Otitis, external ear (non-infectious)	Otitis, external	External otitis with erythema or dry desquamation	External otitis with moist desquamation, edema, enhanced cerumen or discharge; tympanic membrane perforation; tympanostomy	External otitis with mastoiditis; stenosis or osteomyelitis	Necrosis of soft tissue or bone	Death
ALSO CONSIDER: Hearing: patients with/without baseline audiogram and enrolled in a monitoring program ¹ ; Hearing: patients without baseline audiogram and not enrolled in a monitoring program ¹ .						
Otitis, middle ear (non-infectious)	Otitis, middle	Serous otitis	Serous otitis, medical intervention indicated	Otitis with discharge; mastoiditis	Necrosis of the canal soft tissue or bone	Death

AUDITORY/EAR						Page 2 of 2
		Grade				
Adverse Event	Short Name	1	2	3	4	5
Tinnitus	Tinnitus	—	Tinnitus not interfering with ADL	Tinnitus interfering with ADL	Disabling	—
ALSO CONSIDER: Hearing: patients with/without baseline audiogram and enrolled in a monitoring program ¹ ; Hearing: patients without baseline audiogram and not enrolled in a monitoring program ¹ .						
Auditory/Ear – Other (Specify, __)	Auditory/Ear – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

¹ Drug-induced ototoxicity should be distinguished from age-related threshold decrements or unrelated cochlear insult. When considering whether an adverse event has occurred, it is first necessary to classify the patient into one of two groups. (1) The patient is under standard treatment/enrolled in a clinical trial <2.5 years, and has a 15 dB or greater threshold shift averaged across two contiguous frequencies; or (2) The patient is under standard treatment/enrolled in a clinical trial >2.5 years, and the difference between the expected age-related and the observed threshold shifts is 15 dB or greater averaged across two contiguous frequencies. Consult standard references for appropriate age- and gender-specific hearing norms, e.g., Morrell, et al. Age- and gender-specific reference ranges for hearing level and longitudinal changes in hearing level. Journal of the Acoustical Society of America 100:1949-1967, 1996; or Shotland, et al. Recommendations for cancer prevention trials using potentially ototoxic test agents. Journal of Clinical Oncology 19:1658-1663, 2001.

In the absence of a baseline prior to initial treatment, subsequent audiograms should be referenced to an appropriate database of normals. ANSI. (1996)

American National Standard: Determination of occupational noise exposure and estimation of noise-induced hearing impairment, ANSI S 3.44-1996. (Standard S 3.44). New York: American National Standards Institute. The recommended ANSI S3.44 database is Annex B.

BLOOD/BONE MARROW

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Bone marrow cellularity	Bone marrow cellularity	Mildly hypocellular or $\leq 25\%$ reduction from normal cellularity for age	Moderately hypocellular or $>25 - \leq 50\%$ reduction from normal cellularity for age	Severely hypocellular or $>50 - \leq 75\%$ reduction cellularity from normal for age	—	Death
CD4 count	CD4 count	$<LLN - 500/mm^3$ $<LLN - 0.5 \times 10^9 /L$	$<500 - 200/mm^3$ $<0.5 - 0.2 \times 10^9 /L$	$<200 - 50/mm^3$ $<0.2 \times 0.05 - 10^9 /L$	$<50/mm^3$ $<0.05 \times 10^9 /L$	Death
Haptoglobin	Haptoglobin	$<LLN$	—	Absent	—	Death
Hemoglobin	Hemoglobin	$<LLN - 10.0 \text{ g/dL}$ $<LLN - 6.2 \text{ mmol/L}$ $<LLN - 100 \text{ g/L}$	$<10.0 - 8.0 \text{ g/dL}$ $<6.2 - 4.9 \text{ mmol/L}$ $<100 - 80 \text{ g/L}$	$<8.0 - 6.5 \text{ g/dL}$ $<4.9 - 4.0 \text{ mmol/L}$ $<80 - 65 \text{ g/L}$	$<6.5 \text{ g/dL}$ $<4.0 \text{ mmol/L}$ $<65 \text{ g/L}$	Death
Hemolysis (e.g., immune hemolytic anemia, drug-related hemolysis)	Hemolysis	Laboratory evidence of hemolysis only (e.g., direct antiglobulin test [DAT, Coombs'] schistocytes)	Evidence of red cell destruction and $\geq 2 \text{ gm}$ decrease in hemoglobin, no transfusion	Transfusion or medical intervention (e.g., steroids) indicated	Catastrophic consequences of hemolysis (e.g., renal failure, hypotension, bronchospasm, emergency splenectomy)	Death
ALSO CONSIDER: Haptoglobin; Hemoglobin.						
Iron overload	Iron overload	—	Asymptomatic iron overload, intervention not indicated	Iron overload, intervention indicated	Organ impairment (e.g., endocrinopathy, cardiopathy)	Death
Leukocytes (total WBC)	Leukocytes	$<LLN - 3000/mm^3$ $<LLN - 3.0 \times 10^9 /L$	$<3000 - 2000/mm^3$ $<3.0 - 2.0 \times 10^9 /L$	$<2000 - 1000/mm^3$ $<2.0 - 1.0 \times 10^9 /L$	$<1000/mm^3$ $<1.0 \times 10^9 /L$	Death
Lymphopenia	Lymphopenia	$<LLN - 800/mm^3$ $<LLN \times 0.8 - 10^9 /L$	$<800 - 500/mm^3$ $<0.8 - 0.5 \times 10^9 /L$	$<500 - 200 \text{ mm}^3$ $<0.5 - 0.2 \times 10^9 /L$	$<200/mm^3$ $<0.2 \times 10^9 /L$	Death
Myelodysplasia	Myelodysplasia	—	—	Abnormal marrow cytogenetics (marrow blasts $\leq 5\%$)	RAEB or RAEB-T (marrow blasts $>5\%$)	Death
Neutrophils/granulocytes (ANC/AGC)	Neutrophils	$<LLN - 1500/mm^3$ $<LLN - 1.5 \times 10^9 /L$	$<1500 - 1000/mm^3$ $<1.5 - 1.0 \times 10^9 /L$	$<1000 - 500/mm^3$ $<1.0 - 0.5 \times 10^9 /L$	$<500/mm^3$ $<0.5 \times 10^9 /L$	Death
Platelets	Platelets	$<LLN - 75,000/mm^3$ $<LLN - 75.0 \times 10^9 /L$	$<75,000 - 50,000/mm^3$ $<75.0 - 50.0 \times 10^9 /L$	$<50,000 - 25,000/mm^3$ $<50.0 - 25.0 \times 10^9 /L$	$<25,000/mm^3$ $<25.0 \times 10^9 /L$	Death
Splenic function	Splenic function	Incidental findings (e.g., Howell-Jolly bodies)	Prophylactic antibiotics indicated	—	Life-threatening consequences	Death
Blood/Bone Marrow – Other (Specify, __)	Blood – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

CARDIAC ARRHYTHMIA

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Conduction abnormality/ atrioventricular heart block – <i>Select</i> : – Asystole – AV Block-First degree – AV Block-Second degree Mobitz Type I (Wenckebach) – AV Block-Second degree Mobitz Type II – AV Block-Third degree (Complete AV block) – Conduction abnormality NOS – Sick Sinus Syndrome – Stokes-Adams Syndrome – Wolff-Parkinson-White Syndrome	Conduction abnormality – <i>Select</i>	Asymptomatic, intervention not indicated	Non-urgent medical intervention indicated	Incompletely controlled medically or controlled with device (e.g., pacemaker)	Life-threatening (e.g., arrhythmia associated with CHF, hypotension, syncope, shock)	Death
Palpitations	Palpitations	Present	Present with associated symptoms (e.g., lightheadedness, shortness of breath)	—	—	—
REMARK: Grade palpitations <u>only</u> in the absence of a documented arrhythmia.						
Prolonged QTc interval	Prolonged QTc	QTc >0.45 – 0.47 second	QTc >0.47 – 0.50 second; ≥0.06 second above baseline	QTc >0.50 second	QTc >0.50 second; life- threatening signs or symptoms (e.g., arrhythmia, CHF, hypotension, shock syncope); Torsade de pointes	Death
Supraventricular and nodal arrhythmia – <i>Select</i> : – Atrial fibrillation – Atrial flutter – Atrial tachycardia/Paroxysmal Atrial Tachycardia – Nodal/Junctional – Sinus arrhythmia – Sinus bradycardia – Sinus tachycardia – Supraventricular arrhythmia NOS – Supraventricular extrasystoles (Premature Atrial Contractions; Premature Nodal/Junctional Contractions) – Supraventricular tachycardia	Supraventricular arrhythmia – <i>Select</i>	Asymptomatic, intervention not indicated	Non-urgent medical intervention indicated	Symptomatic and incompletely controlled medically, or controlled with device (e.g., pacemaker)	Life-threatening (e.g., arrhythmia associated with CHF, hypotension, syncope, shock)	Death
NAVIGATION NOTE: Syncope is graded as Syncope (fainting) in the NEUROLOGY CATEGORY.						

CARDIAC ARRHYTHMIA

Page 2 of 2

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Vasovagal episode	Vasovagal episode	—	Present without loss of consciousness	Present with loss of consciousness	Life-threatening consequences	Death
Ventricular arrhythmia – <i>Select</i> : – Bigeminy – Idioventricular rhythm – PVCs – Torsade de pointes – Trigeminy – Ventricular arrhythmia NOS – Ventricular fibrillation – Ventricular flutter – Ventricular tachycardia	Ventricular arrhythmia – <i>Select</i>	Asymptomatic, no intervention indicated	Non-urgent medical intervention indicated	Symptomatic and incompletely controlled medically or controlled with device (e.g., defibrillator)	Life-threatening (e.g., arrhythmia associated with CHF, hypotension, syncope, shock)	Death
Cardiac Arrhythmia – Other (Specify, __)	Cardiac Arrhythmia – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

CARDIAC GENERAL							Page 1 of 3
		Grade					
Adverse Event	Short Name	1	2	3	4	5	
NAVIGATION NOTE: Angina is graded as Cardiac ischemia/infarction in the CARDIAC GENERAL CATEGORY.							
Cardiac ischemia/infarction	Cardiac ischemia/infarction	Asymptomatic arterial narrowing without ischemia	Asymptomatic and testing suggesting ischemia; stable angina	Symptomatic and testing consistent with ischemia; unstable angina; intervention indicated	Acute myocardial infarction	Death	
Cardiac troponin I (cTnI)	cTnI	—	—	Levels consistent with unstable angina as defined by the manufacturer	Levels consistent with myocardial infarction as defined by the manufacturer	Death	
Cardiac troponin T (cTnT)	cTnT	0.03 – <0.05 ng/mL	0.05 – <0.1 ng/mL	0.1 – <0.2 ng/mL	0.2 ng/mL	Death	
Cardiopulmonary arrest, cause unknown (non-fatal)	Cardiopulmonary arrest	—	—	—	Life-threatening	—	
REMARK: Grade 4 (non-fatal) is the only appropriate grade. CTCAE provides three alternatives for reporting Death:							
1. A CTCAE term associated with Grade 5.							
2. A CTCAE 'Other (Specify, __)' within any CATEGORY.							
3. Death not associated with CTCAE term – <i>Select</i> in the DEATH CATEGORY.							
NAVIGATION NOTE: Chest pain (non-cardiac and non-pleuritic) is graded as Pain – <i>Select</i> in the PAIN CATEGORY.							
NAVIGATION NOTE: CNS ischemia is graded as CNS cerebrovascular ischemia in the NEUROLOGY CATEGORY.							
Hypertension	Hypertension	Asymptomatic, transient (<24 hrs) increase by >20 mmHg (diastolic) or to >150/100 if previously WNL; intervention not indicated Pediatric: Asymptomatic, transient (<24 hrs) BP increase >ULN; intervention not indicated	Recurrent or persistent (≥24 hrs) or symptomatic increase by >20 mmHg (diastolic) or to >150/100 if previously WNL; monotherapy may be indicated Pediatric: Recurrent or persistent (≥24 hrs) BP >ULN; monotherapy may be indicated	Requiring more than one drug or more intensive therapy than previously Pediatric: Same as adult	Life-threatening consequences (e.g., hypertensive crisis) Pediatric: Same as adult	Death	
REMARK: Use age and gender-appropriate normal values >95 th percentile ULN for pediatric patients.							

CARDIAC GENERAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Hypotension	Hypotension	Changes, intervention not indicated	Brief (<24 hrs) fluid replacement or other therapy; no physiologic consequences	Sustained (≥24 hrs) therapy, resolves without persisting physiologic consequences	Shock (e.g., acidemia; impairment of vital organ function)	Death
ALSO CONSIDER: Syncope (fainting).						
Left ventricular diastolic dysfunction	Left ventricular diastolic dysfunction	Asymptomatic diagnostic finding; intervention not indicated	Asymptomatic, intervention indicated	Symptomatic CHF responsive to intervention	Refractory CHF, poorly controlled; intervention such as ventricular assist device or heart transplant indicated	Death
Left ventricular systolic dysfunction	Left ventricular systolic dysfunction	Asymptomatic, resting ejection fraction (EF) <60 – 50%; shortening fraction (SF) <30 – 24%	Asymptomatic, resting EF <50 – 40%; SF <24 – 15%	Symptomatic CHF responsive to intervention; EF <40 – 20% SF <15%	Refractory CHF or poorly controlled; EF <20%; intervention such as ventricular assist device, ventricular reduction surgery, or heart transplant indicated	Death
NAVIGATION NOTE: Myocardial infarction is graded as Cardiac ischemia/infarction in the CARDIAC GENERAL CATEGORY.						
Myocarditis	Myocarditis	—	—	CHF responsive to intervention	Severe or refractory CHF	Death
Pericardial effusion (non-malignant)	Pericardial effusion	Asymptomatic effusion	—	Effusion with physiologic consequences	Life-threatening consequences (e.g., tamponade); emergency intervention indicated	Death
Pericarditis	Pericarditis	Asymptomatic, ECG or physical exam (rub) changes consistent with pericarditis	Symptomatic pericarditis (e.g., chest pain)	Pericarditis with physiologic consequences (e.g., pericardial constriction)	Life-threatening consequences; emergency intervention indicated	Death
NAVIGATION NOTE: Pleuritic pain is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
Pulmonary hypertension	Pulmonary hypertension	Asymptomatic without therapy	Asymptomatic, therapy indicated	Symptomatic hypertension, responsive to therapy	Symptomatic hypertension, poorly controlled	Death
Restrictive cardiomyopathy	Restrictive cardiomyopathy	Asymptomatic, therapy not indicated	Asymptomatic, therapy indicated	Symptomatic CHF responsive to intervention	Refractory CHF, poorly controlled; intervention such as ventricular assist device, or heart transplant indicated	Death

CARDIAC GENERAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Right ventricular dysfunction (cor pulmonale)	Right ventricular dysfunction	Asymptomatic without therapy	Asymptomatic, therapy indicated	Symptomatic cor pulmonale, responsive to intervention	Symptomatic cor pulmonale poorly controlled; intervention such as ventricular assist device, or heart transplant indicated	Death
Valvular heart disease	Valvular heart disease	Asymptomatic valvular thickening with or without mild valvular regurgitation or stenosis; treatment other than endocarditis prophylaxis not indicated	Asymptomatic; moderate regurgitation or stenosis by imaging	Symptomatic; severe regurgitation or stenosis; symptoms controlled with medical therapy	Life-threatening; disabling; intervention (e.g., valve replacement, valvuloplasty) indicated	Death
Cardiac General – Other (Specify, __)	Cardiac General – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

COAGULATION

Page 1 of 1

		Grade				
Adverse Event	Short Name	1	2	3	4	5
DIC (disseminated intravascular coagulation)	DIC	—	Laboratory findings with <u>no</u> bleeding	Laboratory findings <u>and</u> bleeding	Laboratory findings, life-threatening or disabling consequences (e.g., CNS hemorrhage, organ damage, or hemodynamically significant blood loss)	Death
REMARK: DIC (disseminated intravascular coagulation) must have increased fibrin split products or D-dimer. ALSO CONSIDER: Platelets.						
Fibrinogen	Fibrinogen	<1.0 – 0.75 x LLN or <25% decrease from baseline	<0.75 – 0.5 x LLN or 25 – <50% decrease from baseline	<0.5 – 0.25 x LLN or 50 – <75% decrease from baseline	<0.25 x LLN or 75% decrease from baseline or absolute value <50 mg/dL	Death
REMARK: Use % decrease only when baseline is <LLN (local laboratory value).						
INR (International Normalized Ratio of prothrombin time)	INR	>1 – 1.5 x ULN	>1.5 – 2 x ULN	>2 x ULN	—	—
ALSO CONSIDER: Hemorrhage, CNS; Hemorrhage, GI – <i>Select</i> ; Hemorrhage, GU – <i>Select</i> ; Hemorrhage, pulmonary/upper respiratory – <i>Select</i> .						
PTT (Partial Thromboplastin Time)	PTT	>1 – 1.5 x ULN	>1.5 – 2 x ULN	>2 x ULN	—	—
ALSO CONSIDER: Hemorrhage, CNS; Hemorrhage, GI – <i>Select</i> ; Hemorrhage, GU – <i>Select</i> ; Hemorrhage, pulmonary/upper respiratory – <i>Select</i> .						
Thrombotic microangiopathy (e.g., thrombotic thrombocytopenic purpura [TTP] or hemolytic uremic syndrome [HUS])	Thrombotic microangiopathy	Evidence of RBC destruction (schistocytosis) without clinical consequences	—	Laboratory findings present with clinical consequences (e.g., renal insufficiency, petechiae)	Laboratory findings and life-threatening or disabling consequences, (e.g., CNS hemorrhage/ bleeding or thrombosis/ embolism or renal failure)	Death
REMARK: Must have microangiopathic changes on blood smear (e.g., schistocytes, helmet cells, red cell fragments). ALSO CONSIDER: Creatinine; Hemoglobin; Platelets.						
Coagulation – Other (Specify, __)	Coagulation – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

CONSTITUTIONAL SYMPTOMS

Page 1 of 2

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Fatigue (asthenia, lethargy, malaise)	Fatigue	Mild fatigue over baseline	Moderate or causing difficulty performing some ADL	Severe fatigue interfering with ADL	Disabling	—
Fever (in the absence of neutropenia, where neutropenia is defined as ANC <1.0 x 10 ⁹ /L)	Fever	38.0 – 39.0°C (100.4 – 102.2°F)	>39.0 – 40.0°C (102.3 – 104.0°F)	>40.0°C (>104.0°F) for ≤24 hrs	>40.0°C (>104.0°F) for >24 hrs	Death
REMARK: The temperature measurements listed are oral or tympanic.						
ALSO CONSIDER: Allergic reaction/hypersensitivity (including drug fever).						
NAVIGATION NOTE: Hot flashes are graded as Hot flashes/flushes in the ENDOCRINE CATEGORY.						
Hypothermia	Hypothermia	—	35 – >32°C 95 – >89.6°F	32 – >28°C 89.6 – >82.4° F	≤28 °C 82.4°F or life-threatening consequences (e.g., coma, hypotension, pulmonary edema, acidemia, ventricular fibrillation)	Death
Insomnia	Insomnia	Occasional difficulty sleeping, not interfering with function	Difficulty sleeping, interfering with function but not interfering with ADL	Frequent difficulty sleeping, interfering with ADL	Disabling	—
REMARK: If pain or other symptoms interfere with sleep, do NOT grade as insomnia. Grade primary event(s) causing insomnia.						
Obesity ²	Obesity	—	BMI 25 – 29.9 kg/m ²	BMI 30 – 39.99 kg/m ²	BMI ≥40 kg/m ²	—
REMARK: BMI = (weight [kg]) / (height [m]) ²						
Odor (patient odor)	Patient odor	Mild odor	Pronounced odor	—	—	—
Rigors/chills	Rigors/chills	Mild	Moderate, narcotics indicated	Severe or prolonged, not responsive to narcotics	—	—

² NHLBI Obesity Task Force. "Clinical Guidelines on the Identification, Evaluation, and Treatment of Overweight and Obesity in Adults," *The Evidence Report*, Obes Res 6:51S-209S, 1998.

CONSTITUTIONAL SYMPTOMS

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Sweating (diaphoresis)	Sweating	Mild and occasional	Frequent or drenching	—	—	—
ALSO CONSIDER: Hot flashes/flushes.						
Weight gain	Weight gain	5 – <10% of baseline	10 – <20% of baseline	≥20% of baseline	—	—
REMARK: Edema, depending on etiology, is graded in the CARDIAC GENERAL or LYMPHATICS CATEGORIES.						
ALSO CONSIDER: Ascites (non-malignant); Pleural effusion (non-malignant).						
Weight loss	Weight loss	5 to <10% from baseline; intervention not indicated	10 – <20% from baseline; nutritional support indicated	≥20% from baseline; tube feeding or TPN indicated	—	—
Constitutional Symptoms – Other (Specify, __)	Constitutional Symptoms – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

DEATH

Page 1 of 1

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Death not associated with CTCAE term – <i>Select</i> : – Death NOS – Disease progression NOS – Multi-organ failure – Sudden death	Death not associated with CTCAE term – <i>Select</i>	—	—	—	—	Death
REMARK: Grade 5 is the only appropriate grade. 'Death not associated with CTCAE term – <i>Select</i> ' is to be used where a death: - Cannot be attributed to a CTCAE term associated with Grade 5. - Cannot be reported within any CATEGORY using a CTCAE 'Other (Specify, __)'.						

DERMATOLOGY/SKIN

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Atrophy, skin	Atrophy, skin	Detectable	Marked	—	—	—
Atrophy, subcutaneous fat	Atrophy, subcutaneous fat	Detectable	Marked	—	—	—
ALSO CONSIDER: Induration/fibrosis (skin and subcutaneous tissue).						
Bruising (in absence of Grade 3 or 4 thrombocytopenia)	Bruising	Localized or in a dependent area	Generalized	—	—	—
Burn	Burn	Minimal symptoms; intervention not indicated	Medical intervention; minimal debridement indicated	Moderate to major debridement or reconstruction indicated	Life-threatening consequences	Death
REMARK: Burn refers to all burns including radiation, chemical, etc.						
Cheilitis	Cheilitis	Asymptomatic	Symptomatic, not interfering with ADL	Symptomatic, interfering with ADL	—	—
Dry skin	Dry skin	Asymptomatic	Symptomatic, not interfering with ADL	Interfering with ADL	—	—
Flushing	Flushing	Asymptomatic	Symptomatic	—	—	—
Hair loss/alopecia (scalp or body)	Alopecia	Thinning or patchy	Complete	—	—	—
Hyperpigmentation	Hyperpigmentation	Slight or localized	Marked or generalized	—	—	—
Hypopigmentation	Hypopigmentation	Slight or localized	Marked or generalized	—	—	—
Induration/fibrosis (skin and subcutaneous tissue)	Induration	Increased density on palpation	Moderate impairment of function not interfering with ADL; marked increase in density and firmness on palpation with or without minimal retraction	Dysfunction interfering with ADL; very marked density, retraction or fixation	—	—
ALSO CONSIDER: Fibrosis-cosmesis; Fibrosis-deep connective tissue.						
Injection site reaction/extravasation changes	Injection site reaction	Pain; itching; erythema	Pain or swelling, with inflammation or phlebitis	Ulceration or necrosis that is severe; operative intervention indicated	—	—
ALSO CONSIDER: Allergic reaction/hypersensitivity (including drug fever); Ulceration.						

DERMATOLOGY/SKIN

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Nail changes	Nail changes	Discoloration; ridging (koilonychias); pitting	Partial or complete loss of nail(s); pain in nailbed(s)	Interfering with ADL	—	—
NAVIGATION NOTE: Petechiae is graded as Petechiae/purpura (hemorrhage/bleeding into skin or mucosa) in the HEMORRHAGE/BLEEDING CATEGORY.						
Photosensitivity	Photosensitivity	Painless erythema	Painful erythema	Erythema with desquamation	Life-threatening; disabling	Death
Pruritus/itching	Pruritus	Mild or localized	Intense or widespread	Intense or widespread and interfering with ADL	—	—
ALSO CONSIDER: Rash/desquamation.						
Rash/desquamation	Rash	Macular or papular eruption or erythema without associated symptoms	Macular or papular eruption or erythema with pruritus or other associated symptoms; localized desquamation or other lesions covering <50% of body surface area (BSA)	Severe, generalized erythroderma or macular, papular or vesicular eruption; desquamation covering ≥50% BSA	Generalized exfoliative, ulcerative, or bullous dermatitis	Death
REMARK: Rash/desquamation may be used for GVHD.						
Rash: acne/acneiform	Acne	Intervention not indicated	Intervention indicated	Associated with pain, disfigurement, ulceration, or desquamation	—	Death
Rash: dermatitis associated with radiation – <i>Select</i> : – Chemoradiation – Radiation	Dermatitis – <i>Select</i>	Faint erythema or dry desquamation	Moderate to brisk erythema; patchy moist desquamation, mostly confined to skin folds and creases; moderate edema	Moist desquamation other than skin folds and creases; bleeding induced by minor trauma or abrasion	Skin necrosis or ulceration of full thickness dermis; spontaneous bleeding from involved site	Death
Rash: erythema multiforme (e.g., Stevens-Johnson syndrome, toxic epidermal necrolysis)	Erythema multiforme	—	Scattered, but not generalized eruption	Severe (e.g., generalized rash or painful stomatitis); IV fluids, tube feedings, or TPN indicated	Life-threatening; disabling	Death
Rash: hand-foot skin reaction	Hand-foot	Minimal skin changes or dermatitis (e.g., erythema) without pain	Skin changes (e.g., peeling, blisters, bleeding, edema) or pain, not interfering with function	Ulcerative dermatitis or skin changes with pain interfering with function	—	—

DERMATOLOGY/SKIN

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Skin breakdown/ decubitus ulcer	Decubitus	—	Local wound care; medical intervention indicated	Operative debridement or other invasive intervention indicated (e.g., hyperbaric oxygen)	Life-threatening consequences; major invasive intervention indicated (e.g., tissue reconstruction, flap, or grafting)	Death
REMARK: Skin breakdown/decubitus ulcer is to be used for loss of skin integrity or decubitus ulcer from pressure or as the result of operative or medical intervention.						
Striae	Striae	Mild	Cosmetically significant	—	—	—
Telangiectasia	Telangiectasia	Few	Moderate number	Many and confluent	—	—
Ulceration	Ulceration	—	Superficial ulceration <2 cm size; local wound care; medical intervention indicated	Ulceration ≥2 cm size; operative debridement, primary closure or other invasive intervention indicated (e.g., hyperbaric oxygen)	Life-threatening consequences; major invasive intervention indicated (e.g., complete resection, tissue reconstruction, flap, or grafting)	Death
Urticaria (hives, welts, wheals)	Urticaria	Intervention not indicated	Intervention indicated for <24 hrs	Intervention indicated for ≥24 hrs	—	—
ALSO CONSIDER: Allergic reaction/hypersensitivity (including drug fever).						
Wound complication, non-infectious	Wound complication, non-infectious	Incisional separation of ≤25% of wound, no deeper than superficial fascia	Incisional separation >25% of wound with local care; asymptomatic hernia	Symptomatic hernia without evidence of strangulation; fascial disruption/dehiscence without evisceration; primary wound closure or revision by operative intervention indicated; hospitalization or hyperbaric oxygen indicated	Symptomatic hernia with evidence of strangulation; fascial disruption with evisceration; major reconstruction flap, grafting, resection, or amputation indicated	Death
REMARK: Wound complication, non-infectious is to be used for separation of incision, hernia, dehiscence, evisceration, or second surgery for wound revision.						
Dermatology/Skin – Other (Specify, __)	Dermatology – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

ENDOCRINE

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Adrenal insufficiency	Adrenal insufficiency	Asymptomatic, intervention not indicated	Symptomatic, intervention indicated	Hospitalization	Life-threatening; disabling	Death
REMARK: Adrenal insufficiency includes any of the following signs and symptoms: abdominal pain, anorexia, constipation, diarrhea, hypotension, pigmentation of mucous membranes, pigmentation of skin, salt craving, syncope (fainting), vitiligo, vomiting, weakness, weight loss. Adrenal insufficiency must be confirmed by laboratory studies (low cortisol frequently accompanied by low aldosterone).						
ALSO CONSIDER: Potassium, serum-high (hyperkalemia); Thyroid function, low (hypothyroidism).						
Cushingoid appearance (e.g., moon face, buffalo hump, centripetal obesity, cutaneous striae)	Cushingoid	—	Present	—	—	—
ALSO CONSIDER: Glucose, serum-high (hyperglycemia); Potassium, serum-low (hypokalemia).						
Feminization of male	Feminization of male	—	—	Present	—	—
NAVIGATION NOTE: Gynecomastia is graded in the SEXUAL/REPRODUCTIVE FUNCTION CATEGORY.						
Hot flashes/flushes ³	Hot flashes	Mild	Moderate	Interfering with ADL	—	—
Masculinization of female	Masculinization of female	—	—	Present	—	—
Neuroendocrine: ACTH deficiency	ACTH	Asymptomatic	Symptomatic, not interfering with ADL; intervention indicated	Symptoms interfering with ADL; hospitalization indicated	Life-threatening consequences (e.g., severe hypotension)	Death
Neuroendocrine: ADH secretion abnormality (e.g., SIADH or low ADH)	ADH	Asymptomatic	Symptomatic, not interfering with ADL; intervention indicated	Symptoms interfering with ADL	Life-threatening consequences	Death
Neuroendocrine: gonadotropin secretion abnormality	Gonadotropin	Asymptomatic	Symptomatic, not interfering with ADL; intervention indicated	Symptoms interfering with ADL; osteopenia; fracture; infertility	—	—
Neuroendocrine: growth hormone secretion abnormality	Growth hormone	Asymptomatic	Symptomatic, not interfering with ADL; intervention indicated	—	—	—
Neuroendocrine: prolactin hormone secretion abnormality	Prolactin	Asymptomatic	Symptomatic, not interfering with ADL; intervention indicated	Symptoms interfering with ADL; amenorrhea; galactorrhea	—	Death

³ Sloan JA, Loprinzi CL, Novotny PJ, Barton DL, Lavasseur BI, Windschitl HJ, "Methodologic Lessons Learned from Hot Flash Studies," *J Clin Oncol* 2001 Dec 1;19(23):4280-90

ENDOCRINE

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Pancreatic endocrine: glucose intolerance	Diabetes	Asymptomatic, intervention not indicated	Symptomatic; dietary modification or oral agent indicated	Symptoms interfering with ADL; insulin indicated	Life-threatening consequences (e.g., ketoacidosis, hyperosmolar non-ketotic coma)	Death
Parathyroid function, low (hypoparathyroidism)	Hypoparathyroidism	Asymptomatic, intervention not indicated	Symptomatic; intervention indicated	—	—	—
Thyroid function, high (hyperthyroidism, thyrotoxicosis)	Hyperthyroidism	Asymptomatic, intervention not indicated	Symptomatic, not interfering with ADL; thyroid suppression therapy indicated	Symptoms interfering with ADL; hospitalization indicated	Life-threatening consequences (e.g., thyroid storm)	Death
Thyroid function, low (hypothyroidism)	Hypothyroidism	Asymptomatic, intervention not indicated	Symptomatic, not interfering with ADL; thyroid replacement indicated	Symptoms interfering with ADL; hospitalization indicated	Life-threatening myxedema coma	Death
Endocrine – Other (Specify, __)	Endocrine – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
NAVIGATION NOTE: Abdominal pain or cramping is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
Anorexia	Anorexia	Loss of appetite without alteration in eating habits	Oral intake altered without significant weight loss or malnutrition; oral nutritional supplements indicated	Associated with significant weight loss or malnutrition (e.g., inadequate oral caloric and/or fluid intake); IV fluids, tube feedings or TPN indicated	Life-threatening consequences	Death
ALSO CONSIDER: Weight loss.						
Ascites (non-malignant)	Ascites	Asymptomatic	Symptomatic, medical intervention indicated	Symptomatic, invasive procedure indicated	Life-threatening consequences	Death
REMARK: Ascites (non-malignant) refers to documented non-malignant ascites or unknown etiology, but unlikely malignant, and includes chylous ascites.						
Colitis	Colitis	Asymptomatic, pathologic or radiographic findings only	Abdominal pain; mucus or blood in stool	Abdominal pain, fever, change in bowel habits with ileus; peritoneal signs	Life-threatening consequences (e.g., perforation, bleeding, ischemia, necrosis, toxic megacolon)	Death
ALSO CONSIDER: Hemorrhage, GI – <i>Select</i> .						
Constipation	Constipation	Occasional or intermittent symptoms; occasional use of stool softeners, laxatives, dietary modification, or enema	Persistent symptoms with regular use of laxatives or enemas indicated	Symptoms interfering with ADL; obstipation with manual evacuation indicated	Life-threatening consequences (e.g., obstruction, toxic megacolon)	Death
ALSO CONSIDER: Ileus, GI (functional obstruction of bowel, i.e., neuroconstipation); Obstruction, GI – <i>Select</i> .						
Dehydration	Dehydration	Increased oral fluids indicated; dry mucous membranes; diminished skin turgor	IV fluids indicated <24 hrs	IV fluids indicated ≥24 hrs	Life-threatening consequences (e.g., hemodynamic collapse)	Death
ALSO CONSIDER: Diarrhea; Hypotension; Vomiting.						
Dental: dentures or prosthesis	Dentures	Minimal discomfort, no restriction in activities	Discomfort preventing use in some activities (e.g., eating), but not others (e.g., speaking)	Unable to use dentures or prosthesis at any time	—	—

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Dental: periodontal disease	Periodontal	Gingival recession or gingivitis; limited bleeding on probing; mild local bone loss	Moderate gingival recession or gingivitis; multiple sites of bleeding on probing; moderate bone loss	Spontaneous bleeding; severe bone loss with or without tooth loss; osteonecrosis of maxilla or mandible	—	—
REMARK: Severe periodontal disease leading to osteonecrosis is graded as Osteonecrosis (avascular necrosis) in the MUSCULOSKELETAL CATEGORY.						
Dental: teeth	Teeth	Surface stains; dental caries; restorable, without extractions	Less than full mouth extractions; tooth fracture or crown amputation or repair indicated	Full mouth extractions indicated	—	—
Dental: teeth development	Teeth development	Hypoplasia of tooth or enamel not interfering with function	Functional impairment correctable with oral surgery	Maldevelopment with functional impairment not surgically correctable	—	—
Diarrhea	Diarrhea	Increase of <4 stools per day over baseline; mild increase in ostomy output compared to baseline	Increase of 4 – 6 stools per day over baseline; IV fluids indicated <24hrs; moderate increase in ostomy output compared to baseline; not interfering with ADL	Increase of ≥7 stools per day over baseline; incontinence; IV fluids ≥24 hrs; hospitalization; severe increase in ostomy output compared to baseline; interfering with ADL	Life-threatening consequences (e.g., hemodynamic collapse)	Death
REMARK: Diarrhea includes diarrhea of small bowel or colonic origin, and/or ostomy diarrhea.						
ALSO CONSIDER: Dehydration; Hypotension.						
Distension/bloating, abdominal	Distension	Asymptomatic	Symptomatic, but not interfering with GI function	Symptomatic, interfering with GI function	—	—
ALSO CONSIDER: Ascites (non-malignant); Ileus, GI (functional obstruction of bowel, i.e., neuroconstipation); Obstruction, GI – <i>Select</i> .						

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Dry mouth/salivary gland (xerostomia)	Dry mouth	Symptomatic (dry or thick saliva) without significant dietary alteration; unstimulated saliva flow >0.2 ml/min	Symptomatic and significant oral intake alteration (e.g., copious water, other lubricants, diet limited to purees and/or soft, moist foods); unstimulated saliva 0.1 to 0.2 ml/min	Symptoms leading to inability to adequately aliment orally; IV fluids, tube feedings, or TPN indicated; unstimulated saliva <0.1 ml/min	—	—
REMARK: Dry mouth/salivary gland (xerostomia) includes descriptions of grade using both subjective and objective assessment parameters. Record this event consistently throughout a patient's participation on study. If salivary flow measurements are used for initial assessment, subsequent assessments must use salivary flow. ALSO CONSIDER: Salivary gland changes/saliva.						
Dysphagia (difficulty swallowing)	Dysphagia	Symptomatic, able to eat regular diet	Symptomatic and altered eating/swallowing (e.g., altered dietary habits, oral supplements); IV fluids indicated <24 hrs	Symptomatic and severely altered eating/swallowing (e.g., inadequate oral caloric or fluid intake); IV fluids, tube feedings, or TPN indicated ≥24 hrs	Life-threatening consequences (e.g., obstruction, perforation)	Death
REMARK: Dysphagia (difficulty swallowing) is to be used for swallowing difficulty from oral, pharyngeal, esophageal, or neurologic origin. Dysphagia requiring dilation is graded as Stricture/stenosis (including anastomotic), GI – <i>Select</i>. ALSO CONSIDER: Dehydration; Esophagitis.						
Enteritis (inflammation of the small bowel)	Enteritis	Asymptomatic, pathologic or radiographic findings only	Abdominal pain; mucus or blood in stool	Abdominal pain, fever, change in bowel habits with ileus; peritoneal signs	Life-threatening consequences (e.g., perforation, bleeding, ischemia, necrosis)	Death
ALSO CONSIDER: Hemorrhage, GI – <i>Select</i>; Typhlitis (cecal inflammation).						
Esophagitis	Esophagitis	Asymptomatic pathologic, radiographic, or endoscopic findings only	Symptomatic; altered eating/swallowing (e.g., altered dietary habits, oral supplements); IV fluids indicated <24 hrs	Symptomatic and severely altered eating/swallowing (e.g., inadequate oral caloric or fluid intake); IV fluids, tube feedings, or TPN indicated ≥24 hrs	Life-threatening consequences	Death
REMARK: Esophagitis includes reflux esophagitis. ALSO CONSIDER: Dysphagia (difficulty swallowing).						

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Fistula, GI – <i>Select</i> : – Abdomen NOS – Anus – Biliary tree – Colon/cecum/appendix – Duodenum – Esophagus – Gallbladder – Ileum – Jejunum – Oral cavity – Pancreas – Pharynx – Rectum – Salivary gland – Small bowel NOS – Stomach	Fistula, GI – <i>Select</i>	Asymptomatic, radiographic findings only	Symptomatic; altered GI function (e.g., altered dietary habits, diarrhea, or GI fluid loss); IV fluids indicated <24 hrs	Symptomatic and severely altered GI function (e.g., altered dietary habits, diarrhea, or GI fluid loss); IV fluids, tube feedings, or TPN indicated ≥24 hrs	Life-threatening consequences	Death
REMARK: A fistula is defined as an abnormal communication between two body cavities, potential spaces, and/or the skin. The site indicated for a fistula should be the site from which the abnormal process is believed to have originated. For example, a tracheo-esophageal fistula arising in the context of a resected or irradiated esophageal cancer is graded as Fistula, GI – esophagus.						
Flatulence	Flatulence	Mild	Moderate	—	—	—
Gastritis (including bile reflux gastritis)	Gastritis	Asymptomatic radiographic or endoscopic findings only	Symptomatic; altered gastric function (e.g., inadequate oral caloric or fluid intake); IV fluids indicated <24 hrs	Symptomatic and severely altered gastric function (e.g., inadequate oral caloric or fluid intake); IV fluids, tube feedings, or TPN indicated ≥24 hrs	Life-threatening consequences; operative intervention requiring complete organ resection (e.g., gastrectomy)	Death
ALSO CONSIDER: Hemorrhage, GI – <i>Select</i> ; Ulcer, GI – <i>Select</i> .						
NAVIGATION NOTE: Head and neck soft tissue necrosis is graded as Soft tissue necrosis – <i>Select</i> in the MUSCULOSKELETAL/SOFT TISSUE CATEGORY.						
Heartburn/dyspepsia	Heartburn	Mild	Moderate	Severe	—	—
Hemorrhoids	Hemorrhoids	Asymptomatic	Symptomatic; banding or medical intervention indicated	Interfering with ADL; interventional radiology, endoscopic, or operative intervention indicated	Life-threatening consequences	Death

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Ileus, GI (functional obstruction of bowel, i.e., neuroconstipation)	Ileus	Asymptomatic, radiographic findings only	Symptomatic; altered GI function (e.g., altered dietary habits); IV fluids indicated <24 hrs	Symptomatic and severely altered GI function; IV fluids, tube feeding, or TPN indicated ≥24 hrs	Life-threatening consequences	Death
REMARK: Ileus, GI is to be used for altered upper or lower GI function (e.g., delayed gastric or colonic emptying). ALSO CONSIDER: Constipation; Nausea; Obstruction, GI – <i>Select</i> ; Vomiting.						
Incontinence, anal	Incontinence, anal	Occasional use of pads required	Daily use of pads required	Interfering with ADL; operative intervention indicated	Permanent bowel diversion indicated	Death
REMARK: Incontinence, anal is to be used for loss of sphincter control as sequelae of operative or therapeutic intervention.						
Leak (including anastomotic), GI – <i>Select</i> : – Biliary tree – Esophagus – Large bowel – Leak NOS – Pancreas – Pharynx – Rectum – Small bowel – Stoma – Stomach	Leak, GI – <i>Select</i>	Asymptomatic radiographic findings only	Symptomatic; medical intervention indicated	Symptomatic and interfering with GI function; invasive or endoscopic intervention indicated	Life-threatening consequences	Death
REMARK: Leak (including anastomotic), GI – <i>Select</i> is to be used for clinical signs/symptoms or radiographic confirmation of anastomotic or conduit leak (e.g., biliary, esophageal, intestinal, pancreatic, pharyngeal, rectal), but without development of fistula.						
Malabsorption	Malabsorption	—	Altered diet; oral therapies indicated (e.g., enzymes, medications, dietary supplements)	Inability to aliment adequately via GI tract (i.e., TPN indicated)	Life-threatening consequences	Death

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Mucositis/stomatitis (clinical exam) – <i>Select</i> : – Anus – Esophagus – Large bowel – Larynx – Oral cavity – Pharynx – Rectum – Small bowel – Stomach – Trachea	Mucositis (clinical exam) – <i>Select</i>	Erythema of the mucosa	Patchy ulcerations or pseudomembranes	Confluent ulcerations or pseudomembranes; bleeding with minor trauma	Tissue necrosis; significant spontaneous bleeding; life-threatening consequences	Death
REMARK: Mucositis/stomatitis (functional/symptomatic) may be used for mucositis of the upper aero-digestive tract caused by radiation, agents, or GVHD.						
Mucositis/stomatitis (functional/symptomatic) – <i>Select</i> : – Anus – Esophagus – Large bowel – Larynx – Oral cavity – Pharynx – Rectum – Small bowel – Stomach – Trachea	Mucositis (functional/symptomatic) – <i>Select</i>	<u>Upper aerodigestive tract sites</u> : Minimal symptoms, normal diet; minimal respiratory symptoms but not interfering with function <u>Lower GI sites</u> : Minimal discomfort, intervention not indicated	<u>Upper aerodigestive tract sites</u> : Symptomatic but can eat and swallow modified diet; respiratory symptoms interfering with function but not interfering with ADL <u>Lower GI sites</u> : Symptomatic, medical intervention indicated but not interfering with ADL	<u>Upper aerodigestive tract sites</u> : Symptomatic and unable to adequately aliment or hydrate orally; respiratory symptoms interfering with ADL <u>Lower GI sites</u> : Stool incontinence or other symptoms interfering with ADL	Symptoms associated with life-threatening consequences	Death
Nausea	Nausea	Loss of appetite without alteration in eating habits	Oral intake decreased without significant weight loss, dehydration or malnutrition; IV fluids indicated <24 hrs	Inadequate oral caloric or fluid intake; IV fluids, tube feedings, or TPN indicated ≥24 hrs	Life-threatening consequences	Death
ALSO CONSIDER: Anorexia; Vomiting.						

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Necrosis, GI – <i>Select</i> : – Anus – Colon/cecum/appendix – Duodenum – Esophagus – Gallbladder – Hepatic – Ileum – Jejunum – Oral – Pancreas – Peritoneal cavity – Pharynx – Rectum – Small bowel NOS – Stoma – Stomach	Necrosis, GI – <i>Select</i>	—	—	Inability to aliment adequately by GI tract (e.g., requiring enteral or parenteral nutrition); interventional radiology, endoscopic, or operative intervention indicated	Life-threatening consequences; operative intervention requiring complete organ resection (e.g., total colectomy)	Death
ALSO CONSIDER: Visceral arterial ischemia (non-myocardial).						
Obstruction, GI – <i>Select</i> : – Cecum – Colon – Duodenum – Esophagus – Gallbladder – Ileum – Jejunum – Rectum – Small bowel NOS – Stoma – Stomach	Obstruction, GI – <i>Select</i>	Asymptomatic radiographic findings only	Symptomatic; altered GI function (e.g., altered dietary habits, vomiting, diarrhea, or GI fluid loss); IV fluids indicated <24 hrs	Symptomatic and severely altered GI function (e.g., altered dietary habits, vomiting, diarrhea, or GI fluid loss); IV fluids, tube feedings, or TPN indicated ≥24 hrs; operative intervention indicated	Life-threatening consequences; operative intervention requiring complete organ resection (e.g., total colectomy)	Death
NAVIGATION NOTE: Operative injury is graded as Intra-operative injury – <i>Select Organ or Structure</i> in the SURGERY/INTRA-OPERATIVE INJURY CATEGORY.						
NAVIGATION NOTE: Pelvic pain is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Perforation, GI – <i>Select</i> : – Appendix – Biliary tree – Cecum – Colon – Duodenum – Esophagus – Gallbladder – Ileum – Jejunum – Rectum – Small bowel NOS – Stomach	Perforation, GI – <i>Select</i>	Asymptomatic radiographic findings only	Medical intervention indicated; IV fluids indicated <24 hrs	IV fluids, tube feedings, or TPN indicated ≥24 hrs; operative intervention indicated	Life-threatening consequences	Death
Proctitis	Proctitis	Rectal discomfort, intervention not indicated	Symptoms not interfering with ADL; medical intervention indicated	Stool incontinence or other symptoms interfering with ADL; operative intervention indicated	Life-threatening consequences (e.g., perforation)	Death
Prolapse of stoma, GI	Prolapse of stoma, GI	Asymptomatic	Extraordinary local care or maintenance; minor revision indicated	Dysfunctional stoma; major revision indicated	Life-threatening consequences	Death
REMARK: Other stoma complications may be graded as Fistula, GI – <i>Select</i> ; Leak (including anastomotic), GI – <i>Select</i> ; Obstruction, GI – <i>Select</i> ; Perforation, GI – <i>Select</i> ; Stricture/stenosis (including anastomotic), GI – <i>Select</i> .						
NAVIGATION NOTE: Rectal or perirectal pain (proctalgia) is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
Salivary gland changes/saliva	Salivary gland changes	Slightly thickened saliva; slightly altered taste (e.g., metallic)	Thick, ropy, sticky saliva; markedly altered taste; alteration in diet indicated; secretion-induced symptoms not interfering with ADL	Acute salivary gland necrosis; severe secretion-induced symptoms interfering with ADL	Disabling	—
ALSO CONSIDER: Dry mouth/salivary gland (xerostomia); Mucositis/stomatitis (clinical exam) – <i>Select</i> ; Mucositis/stomatitis (functional/symptomatic) – <i>Select</i> ; Taste alteration (dysgeusia).						
NAVIGATION NOTE: Splenic function is graded in the BLOOD/BONE MARROW CATEGORY.						

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Stricture/stenosis (including anastomotic), GI – <i>Select</i> : – Anus – Biliary tree – Cecum – Colon – Duodenum – Esophagus – Ileum – Jejunum – Pancreas/pancreatic duct – Pharynx – Rectum – Small bowel NOS – Stoma – Stomach	Stricture, GI – <i>Select</i>	Asymptomatic radiographic findings only	Symptomatic; altered GI function (e.g., altered dietary habits, vomiting, bleeding, diarrhea); IV fluids indicated <24 hrs	Symptomatic and severely altered GI function (e.g., altered dietary habits, diarrhea, or GI fluid loss); IV fluids, tube feedings, or TPN indicated ≥24 hrs; operative intervention indicated	Life-threatening consequences; operative intervention requiring complete organ resection (e.g., total colectomy)	Death
Taste alteration (dysgeusia)	Taste alteration	Altered taste but no change in diet	Altered taste with change in diet (e.g., oral supplements); noxious or unpleasant taste; loss of taste	—	—	—
Typhlitis (cecal inflammation)	Typhlitis	Asymptomatic, pathologic or radiographic findings only	Abdominal pain; mucus or blood in stool	Abdominal pain, fever, change in bowel habits with ileus; peritoneal signs	Life-threatening consequences (e.g., perforation, bleeding, ischemia, necrosis); operative intervention indicated	Death

ALSO CONSIDER: Colitis; Hemorrhage, GI – *Select* ; Ileus, GI (functional obstruction of bowel, i.e., neuroconstipation).

GASTROINTESTINAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Ulcer, GI – <i>Select</i> : – Anus – Cecum – Colon – Duodenum – Esophagus – Ileum – Jejunum – Rectum – Small bowel NOS – Stoma – Stomach	Ulcer, GI – <i>Select</i>	Asymptomatic, radiographic or endoscopic findings only	Symptomatic; altered GI function (e.g., altered dietary habits, oral supplements); IV fluids indicated <24 hrs	Symptomatic and severely altered GI function (e.g., inadequate oral caloric or fluid intake); IV fluids, tube feedings, or TPN indicated ≥24 hrs	Life-threatening consequences	Death
ALSO CONSIDER: Hemorrhage, GI – <i>Select</i> .						
Vomiting	Vomiting	1 episode in 24 hrs	2 – 5 episodes in 24 hrs; IV fluids indicated <24 hrs	≥6 episodes in 24 hrs; IV fluids, or TPN indicated ≥24 hrs	Life-threatening consequences	Death
ALSO CONSIDER: Dehydration.						
Gastrointestinal – Other (Specify, __)	GI – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

GROWTH AND DEVELOPMENT

Page 1 of 1

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Bone age (alteration in bone age)	Bone age	—	±2 SD (standard deviation) from normal	—	—	—
Bone growth: femoral head; slipped capital femoral epiphysis	Femoral head growth	Mild valgus/varus deformity	Moderate valgus/varus deformity, symptomatic, interfering with function but not interfering with ADL	Mild slipped capital femoral epiphysis; operative intervention (e.g., fixation) indicated; interfering with ADL	Disabling; severe slipped capital femoral epiphysis >60%; avascular necrosis	—
Bone growth: limb length discrepancy	Limb length	Mild length discrepancy <2 cm	Moderate length discrepancy 2 – 5 cm; shoe lift indicated	Severe length discrepancy >5 cm; operative intervention indicated; interfering with ADL	Disabling; epiphysiodesis	—
Bone growth: spine kyphosis/lordosis	Kyphosis/lordosis	Mild radiographic changes	Moderate accentuation; interfering with function but not interfering with ADL	Severe accentuation; operative intervention indicated; interfering with ADL	Disabling (e.g., cannot lift head)	—
Growth velocity (reduction in growth velocity)	Reduction in growth velocity	10 – 29% reduction in growth from the baseline growth curve	30 – 49% reduction in growth from the baseline growth curve	≥50% reduction in growth from the baseline growth curve	—	—
Puberty (delayed)	Delayed puberty	—	No breast development by age 13 yrs for females; no Tanner Stage 2 development by age 14.5 yrs for males	No sexual development by age 14 yrs for girls, age 16 yrs for boys; hormone replacement indicated	—	—
REMARK: Do not use testicular size for Tanner Stage in male cancer survivors.						
Puberty (precocious)	Precocious puberty	—	Physical signs of puberty <7 years for females, <9 years for males	—	—	—
Short stature	Short stature	Beyond two standard deviations of age and gender mean height	Altered ADL	—	—	—
REMARK: Short stature is secondary to growth hormone deficiency. ALSO CONSIDER: Neuroendocrine: growth hormone secretion abnormality.						
Growth and Development – Other (Specify, __)	Growth and Development – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

HEMORRHAGE/BLEEDING

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Hematoma	Hematoma	Minimal symptoms, invasive intervention not indicated	Minimally invasive evacuation or aspiration indicated	Transfusion, interventional radiology, or operative intervention indicated	Life-threatening consequences; major urgent intervention indicated	Death
REMARK: Hematoma refers to extravasation at wound or operative site or secondary to other intervention. Transfusion implies pRBC. ALSO CONSIDER: Fibrinogen; INR (International Normalized Ratio of prothrombin time); Platelets; PTT (Partial Thromboplastin Time).						
Hemorrhage/bleeding associated with surgery, intra-operative or postoperative	Hemorrhage with surgery	—	—	Requiring transfusion of 2 units non-autologous (10 cc/kg for pediatrics) pRBCs beyond protocol specification; postoperative interventional radiology, endoscopic, or operative intervention indicated	Life-threatening consequences	Death
REMARK: Postoperative period is defined as ≤72 hours after surgery. Verify protocol-specific acceptable guidelines regarding pRBC transfusion. ALSO CONSIDER: Fibrinogen; INR (International Normalized Ratio of prothrombin time); Platelets; PTT (Partial Thromboplastin Time).						
Hemorrhage, CNS	CNS hemorrhage	Asymptomatic, radiographic findings only	Medical intervention indicated	Ventriculostomy, ICP monitoring, intraventricular thrombolysis, or operative intervention indicated	Life-threatening consequences; neurologic deficit or disability	Death
ALSO CONSIDER: Fibrinogen; INR (International Normalized Ratio of prothrombin time); Platelets; PTT (Partial Thromboplastin Time).						

HEMORRHAGE/BLEEDING

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Hemorrhage, GI – <i>Select</i> : – Abdomen NOS – Anus – Biliary tree – Cecum/appendix – Colon – Duodenum – Esophagus – Ileum – Jejunum – Liver – Lower GI NOS – Oral cavity – Pancreas – Peritoneal cavity – Rectum – Stoma – Stomach – Upper GI NOS – Varices (esophageal) – Varices (rectal)	Hemorrhage, GI – <i>Select</i>	Mild, intervention (other than iron supplements) not indicated	Symptomatic and medical intervention or minor cauterization indicated	Transfusion, interventional radiology, endoscopic, or operative intervention indicated; radiation therapy (i.e., hemostasis of bleeding site)	Life-threatening consequences; major urgent intervention indicated	Death

REMARK: Transfusion implies pRBC.

ALSO CONSIDER: Fibrinogen; INR (International Normalized Ratio of prothrombin time); Platelets; PTT (Partial Thromboplastin Time).

HEMORRHAGE/BLEEDING

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Hemorrhage, GU – <i>Select</i> : – Bladder – Fallopian tube – Kidney – Ovary – Prostate – Retroperitoneum – Spermatic cord – Stoma – Testes – Ureter – Urethra – Urinary NOS – Uterus – Vagina – Vas deferens	Hemorrhage, GU – <i>Select</i>	Minimal or microscopic bleeding; intervention not indicated	Gross bleeding, medical intervention, or urinary tract irrigation indicated	Transfusion, interventional radiology, endoscopic, or operative intervention indicated; radiation therapy (i.e., hemostasis of bleeding site)	Life-threatening consequences; major urgent intervention indicated	Death
REMARK: Transfusion implies pRBC. ALSO CONSIDER: Fibrinogen; INR (International Normalized Ratio of prothrombin time); Platelets; PTT (Partial Thromboplastin Time).						
Hemorrhage, pulmonary/upper respiratory – <i>Select</i> : – Bronchopulmonary NOS – Bronchus – Larynx – Lung – Mediastinum – Nose – Pharynx – Pleura – Respiratory tract NOS – Stoma – Trachea	Hemorrhage pulmonary – <i>Select</i>	Mild, intervention not indicated	Symptomatic and medical intervention indicated	Transfusion, interventional radiology, endoscopic, or operative intervention indicated; radiation therapy (i.e., hemostasis of bleeding site)	Life-threatening consequences; major urgent intervention indicated	Death
REMARK: Transfusion implies pRBC. ALSO CONSIDER: Fibrinogen; INR (International Normalized Ratio of prothrombin time); Platelets; PTT (Partial Thromboplastin Time).						
Petechiae/purpura (hemorrhage/bleeding into skin or mucosa)	Petechiae	Few petechiae	Moderate petechiae; purpura	Generalized petechiae or purpura	—	—
ALSO CONSIDER: Fibrinogen; INR (International Normalized Ratio of prothrombin time); Platelets; PTT (Partial Thromboplastin Time).						

HEMORRHAGE/BLEEDING

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
NAVIGATION NOTE: Vitreous hemorrhage is graded in the OCULAR/VISUAL CATEGORY.						
Hemorrhage/Bleeding – Other (Specify, __)	Hemorrhage – Other (Specify)	Mild without transfusion	—	Transfusion indicated	Catastrophic bleeding, requiring major non-elective intervention	Death

HEPATOBIILIARY/PANCREAS

Page 1 of 1

		Grade				
Adverse Event	Short Name	1	2	3	4	5
NAVIGATION NOTE: Biliary tree damage is graded as Fistula, GI – <i>Select</i> ; Leak (including anastomotic), GI – <i>Select</i> ; Necrosis, GI – <i>Select</i> ; Obstruction, GI – <i>Select</i> ; Perforation, GI – <i>Select</i> ; Stricture/stenosis (including anastomotic), GI – <i>Select</i> in the GASTROINTESTINAL CATEGORY.						
Cholecystitis	Cholecystitis	Asymptomatic, radiographic findings only	Symptomatic, medical intervention indicated	Interventional radiology, endoscopic, or operative intervention indicated	Life-threatening consequences (e.g., sepsis or perforation)	Death
ALSO CONSIDER: Infection (documented clinically or microbiologically) with Grade 3 or 4 neutrophils – <i>Select</i> ; Infection with normal ANC or Grade 1 or 2 neutrophils – <i>Select</i> ; Infection with unknown ANC – <i>Select</i> .						
Liver dysfunction/failure (clinical)	Liver dysfunction	—	Jaundice	Asterixis	Encephalopathy or coma	Death
REMARK: Jaundice is not an AE, but occurs when the liver is not working properly or when a bile duct is blocked. It is graded as a result of liver dysfunction/failure or elevated bilirubin.						
ALSO CONSIDER: Bilirubin (hyperbilirubinemia).						
Pancreas, exocrine enzyme deficiency	Pancreas, exocrine enzyme deficiency	—	Increase in stool frequency, bulk, or odor; steatorrhea	Sequelae of absorption deficiency (e.g., weight loss)	Life-threatening consequences	Death
ALSO CONSIDER: Diarrhea.						
Pancreatitis	Pancreatitis	Asymptomatic, enzyme elevation and/or radiographic findings	Symptomatic, medical intervention indicated	Interventional radiology or operative intervention indicated	Life-threatening consequences (e.g., circulatory failure, hemorrhage, sepsis)	Death
ALSO CONSIDER: Amylase.						
NAVIGATION NOTE: Stricture (biliary tree, hepatic or pancreatic) is graded as Stricture/stenosis (including anastomotic), GI – <i>Select</i> in the GASTROINTESTINAL CATEGORY.						
Hepatobiliary/Pancreas – Other (Specify, __)	Hepatobiliary – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

INFECTION

Page 1 of 3

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Colitis, infectious (e.g., Clostridium difficile)	Colitis, infectious	Asymptomatic, pathologic or radiographic findings only	Abdominal pain with mucus and/or blood in stool	IV antibiotics or TPN indicated	Life-threatening consequences (e.g., perforation, bleeding, ischemia, necrosis or toxic megacolon); operative resection or diversion indicated	Death
ALSO CONSIDER: Hemorrhage, GI – <i>Select</i> ; Typhlitis (cecal inflammation).						
Febrile neutropenia (fever of unknown origin without clinically or microbiologically documented infection) (ANC <1.0 x 10 ⁹ /L, fever ≥38.5°C)	Febrile neutropenia	—	—	Present	Life-threatening consequences (e.g., septic shock, hypotension, acidosis, necrosis)	Death
ALSO CONSIDER: Neutrophils/granulocytes (ANC/AGC).						
Infection (documented clinically or microbiologically) with Grade 3 or 4 neutrophils (ANC <1.0 x 10 ⁹ /L) – <i>Select</i> ' <i>Select</i> ' AEs appear at the end of the CATEGORY.	Infection (documented clinically) with Grade 3 or 4 ANC – <i>Select</i>	—	Localized, local intervention indicated	IV antibiotic, antifungal, or antiviral intervention indicated; interventional radiology or operative intervention indicated	Life-threatening consequences (e.g., septic shock, hypotension, acidosis, necrosis)	Death
REMARK: Fever with Grade 3 or 4 neutrophils in the absence of documented infection is graded as Febrile neutropenia (fever of unknown origin without clinically or microbiologically documented infection). ALSO CONSIDER: Neutrophils/granulocytes (ANC/AGC).						
Infection with normal ANC or Grade 1 or 2 neutrophils – <i>Select</i> ' <i>Select</i> ' AEs appear at the end of the CATEGORY.	Infection with normal ANC – <i>Select</i>	—	Localized, local intervention indicated	IV antibiotic, antifungal, or antiviral intervention indicated; interventional radiology or operative intervention indicated	Life-threatening consequences (e.g., septic shock, hypotension, acidosis, necrosis)	Death

INFECTION

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Infection with unknown ANC – <i>Select</i> ‘ <i>Select</i> ’ AEs appear at the end of the CATEGORY.	Infection with unknown ANC – <i>Select</i>	—	Localized, local intervention indicated	IV antibiotic, antifungal, or antiviral intervention indicated; interventional radiology or operative intervention indicated	Life-threatening consequences (e.g., septic shock, hypotension, acidosis, necrosis)	Death
REMARK: Infection with unknown ANC – <i>Select</i> is to be used in the rare case when ANC is unknown.						
Opportunistic infection associated with ≥Grade 2 Lymphopenia	Opportunistic infection	—	Localized, local intervention indicated	IV antibiotic, antifungal, or antiviral intervention indicated; interventional radiology or operative intervention indicated	Life-threatening consequences (e.g., septic shock, hypotension, acidosis, necrosis)	Death
ALSO CONSIDER: Lymphopenia.						
Viral hepatitis	Viral hepatitis	Present; transaminases and liver function normal	Transaminases abnormal, liver function normal	Symptomatic liver dysfunction; fibrosis by biopsy; compensated cirrhosis	Decompensated liver function (e.g., ascites, coagulopathy, encephalopathy, coma)	Death
REMARK: Non-viral hepatitis is graded as Infection – <i>Select</i> .						
ALSO CONSIDER: Albumin, serum-low (hypoalbuminemia); ALT, SGPT (serum glutamic pyruvic transaminase); AST, SGOT (serum glutamic oxaloacetic transaminase); Bilirubin (hyperbilirubinemia); Encephalopathy.						
Infection – Other (Specify, __)	Infection – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

AUDITORY/EAR

- External ear (otitis externa)
- Middle ear (otitis media)

CARDIOVASCULAR

- Artery
- Heart (endocarditis)
- Spleen
- Vein

DERMATOLOGY/SKIN

- Lip/perioral
- Peristomal
- Skin (cellulitis)
- Ungual (nails)

GASTROINTESTINAL

- Abdomen NOS
- Anal/perianal
- Appendix
- Cecum
- Colon
- Dental-tooth
- Duodenum
- Esophagus
- Ileum
- Jejunum
- Oral cavity-gums (gingivitis)
- Peritoneal cavity
- Rectum
- Salivary gland
- Small bowel NOS
- Stomach

GENERAL

- Blood
- Catheter-related
- Foreign body (e.g., graft, implant, prosthesis, stent)
- Wound

HEPATOBIILIARY/PANCREAS

- Biliary tree
- Gallbladder (cholecystitis)
- Liver
- Pancreas

LYMPHATIC

- Lymphatic

MUSCULOSKELETAL

- Bone (osteomyelitis)
- Joint
- Muscle (infection myositis)
- Soft tissue NOS

NEUROLOGY

- Brain (encephalitis, infectious)
- Brain + Spinal cord (encephalomyelitis)
- Meninges (meningitis)
- Nerve-cranial
- Nerve-peripheral
- Spinal cord (myelitis)

OCULAR

- Conjunctiva
- Cornea
- Eye NOS
- Lens

PULMONARY/UPPER RESPIRATORY

- Bronchus
- Larynx
- Lung (pneumonia)
- Mediastinum NOS
- Mucosa
- Neck NOS
- Nose
- Paranasal
- Pharynx
- Pleura (empyema)
- Sinus
- Trachea
- Upper aerodigestive NOS
- Upper airway NOS

RENAL/GENITOURINARY

- Bladder (urinary)
- Kidney
- Prostate
- Ureter
- Urethra
- Urinary tract NOS

SEXUAL/REPRODUCTIVE FUNCTION

- Cervix
- Fallopian tube
- Pelvis NOS
- Penis
- Scrotum
- Uterus
- Vagina
- Vulva

LYMPHATICS

Page 1 of 2

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Chyle or lymph leakage	Chyle or lymph leakage	Asymptomatic, clinical or radiographic findings	Symptomatic, medical intervention indicated	Interventional radiology or operative intervention indicated	Life-threatening complications	Death
ALSO CONSIDER: Chylothorax.						
Dermal change lymphedema, phlebolympedema	Dermal change	Trace thickening or faint discoloration	Marked discoloration; leathery skin texture; papillary formation	—	—	—
REMARK: Dermal change lymphedema, phlebolympedema refers to changes due to venous stasis.						
ALSO CONSIDER: Ulceration.						
Edema: head and neck	Edema: head and neck	Localized to dependent areas, no disability or functional impairment	Localized facial or neck edema with functional impairment	Generalized facial or neck edema with functional impairment (e.g., difficulty in turning neck or opening mouth compared to baseline)	Severe with ulceration or cerebral edema; tracheotomy or feeding tube indicated	Death
Edema: limb	Edema: limb	5 – 10% inter-limb discrepancy in volume or circumference at point of greatest visible difference; swelling or obscuration of anatomic architecture on close inspection; pitting edema	>10 – 30% inter-limb discrepancy in volume or circumference at point of greatest visible difference; readily apparent obscuration of anatomic architecture; obliteration of skin folds; readily apparent deviation from normal anatomic contour	>30% inter-limb discrepancy in volume; lymphorrhea; gross deviation from normal anatomic contour; interfering with ADL	Progression to malignancy (i.e., lymphangiosarcoma); amputation indicated; disabling	Death
Edema: trunk/genital	Edema: trunk/genital	Swelling or obscuration of anatomic architecture on close inspection; pitting edema	Readily apparent obscuration of anatomic architecture; obliteration of skin folds; readily apparent deviation from normal anatomic contour	Lymphorrhea; interfering with ADL; gross deviation from normal anatomic contour	Progression to malignancy (i.e., lymphangiosarcoma); disabling	Death
Edema: viscera	Edema: viscera	Asymptomatic; clinical or radiographic findings only	Symptomatic; medical intervention indicated	Symptomatic and unable to aliment adequately orally; interventional radiology or operative intervention indicated	Life-threatening consequences	Death

LYMPHATICS

Page 2 of 2

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Lymphedema-related fibrosis	Lymphedema-related fibrosis	Minimal to moderate redundant soft tissue, unresponsive to elevation or compression, with moderately firm texture or spongy feel	Marked increase in density and firmness, with or without tethering	Very marked density and firmness with tethering affecting $\geq 40\%$ of the edematous area	—	—
Lymphocele	Lymphocele	Asymptomatic, clinical or radiographic findings only	Symptomatic; medical intervention indicated	Symptomatic and interventional radiology or operative intervention indicated	—	—
Phlebolympatic cording	Phlebolympatic cording	Asymptomatic, clinical findings only	Symptomatic; medical intervention indicated	Symptomatic and leading to contracture or reduced range of motion	—	—
Lymphatics – Other (Specify, __)	Lymphatics – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

METABOLIC/LABORATORY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Acidosis (metabolic or respiratory)	Acidosis	pH <normal, but ≥ 7.3	—	pH <7.3	pH <7.3 with life-threatening consequences	Death
Albumin, serum-low (hypoalbuminemia)	Hypoalbuminemia	<LLN – 3 g/dL <LLN – 30 g/L	<3 – 2 g/dL <30 – 20 g/L	<2 g/dL <20 g/L	—	Death
Alkaline phosphatase	Alkaline phosphatase	>ULN – 2.5 x ULN	>2.5 – 5.0 x ULN	>5.0 – 20.0 x ULN	>20.0 x ULN	—
Alkalosis (metabolic or respiratory)	Alkalosis	pH >normal, but ≤ 7.5	—	pH >7.5	pH >7.5 with life-threatening consequences	Death
ALT, SGPT (serum glutamic pyruvic transaminase)	ALT	>ULN – 2.5 x ULN	>2.5 – 5.0 x ULN	>5.0 – 20.0 x ULN	>20.0 x ULN	—
Amylase	Amylase	>ULN – 1.5 x ULN	>1.5 – 2.0 x ULN	>2.0 – 5.0 x ULN	>5.0 x ULN	—
AST, SGOT (serum glutamic oxaloacetic transaminase)	AST	>ULN – 2.5 x ULN	>2.5 – 5.0 x ULN	>5.0 – 20.0 x ULN	>20.0 x ULN	—
Bicarbonate, serum-low	Bicarbonate, serum-low	<LLN – 16 mmol/L	<16 – 11 mmol/L	<11 – 8 mmol/L	<8 mmol/L	Death
Bilirubin (hyperbilirubinemia)	Bilirubin	>ULN – 1.5 x ULN	>1.5 – 3.0 x ULN	>3.0 – 10.0 x ULN	>10.0 x ULN	—
REMARK: Jaundice is not an AE, but may be a manifestation of liver dysfunction/failure or elevated bilirubin. If jaundice is associated with elevated bilirubin, grade bilirubin.						
Calcium, serum-low (hypocalcemia)	Hypocalcemia	<LLN – 8.0 mg/dL <LLN – 2.0 mmol/L Ionized calcium: <LLN – 1.0 mmol/L	<8.0 – 7.0 mg/dL <2.0 – 1.75 mmol/L Ionized calcium: <1.0 – 0.9 mmol/L	<7.0 – 6.0 mg/dL <1.75 – 1.5 mmol/L Ionized calcium: <0.9 – 0.8 mmol/L	<6.0 mg/dL <1.5 mmol/L Ionized calcium: <0.8 mmol/L	Death
REMARK: Calcium can be falsely low if hypoalbuminemia is present. Serum albumin is <4.0 g/dL, hypocalcemia is reported after the following corrective calculation has been performed: Corrected Calcium (mg/dL) = Total Calcium (mg/dL) – 0.8 [Albumin (g/dL) – 4] ⁴ . Alternatively, direct measurement of ionized calcium is the definitive method to diagnose metabolically relevant alterations in serum calcium.						

⁴Crit Rev Clin Lab Sci 1984;21(1):51-97

METABOLIC/LABORATORY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Calcium, serum-high (hypercalcemia)	Hypercalcemia	>ULN – 11.5 mg/dL >ULN – 2.9 mmol/L Ionized calcium: >ULN – 1.5 mmol/L	>11.5 – 12.5 mg/dL >2.9 – 3.1 mmol/L Ionized calcium: >1.5 – 1.6 mmol/L	>12.5 – 13.5 mg/dL >3.1 – 3.4 mmol/L Ionized calcium: >1.6 – 1.8 mmol/L	>13.5 mg/dL >3.4 mmol/L Ionized calcium: >1.8 mmol/L	Death
Cholesterol, serum-high (hypercholesteremia)	Cholesterol	>ULN – 300 mg/dL >ULN – 7.75 mmol/L	>300 – 400 mg/dL >7.75 – 10.34 mmol/L	>400 – 500 mg/dL >10.34 – 12.92 mmol/L	>500 mg/dL >12.92 mmol/L	Death
CPK (creatine phosphokinase)	CPK	>ULN – 2.5 x ULN	>2.5 x ULN – 5 x ULN	>5 x ULN – 10 x ULN	>10 x ULN	Death
Creatinine	Creatinine	>ULN – 1.5 x ULN	>1.5 – 3.0 x ULN	>3.0 – 6.0 x ULN	>6.0 x ULN	Death
REMARK: Adjust to age-appropriate levels for pediatric patients. ALSO CONSIDER: Glomerular filtration rate.						
GGT (γ-Glutamyl transpeptidase)	GGT	>ULN – 2.5 x ULN	>2.5 – 5.0 x ULN	>5.0 – 20.0 x ULN	>20.0 x ULN	—
Glomerular filtration rate	GFR	<75 – 50% LLN	<50 – 25% LLN	<25% LLN, chronic dialysis not indicated	Chronic dialysis or renal transplant indicated	Death
ALSO CONSIDER: Creatinine.						
Glucose, serum-high (hyperglycemia)	Hyperglycemia	>ULN – 160 mg/dL >ULN – 8.9 mmol/L	>160 – 250 mg/dL >8.9 – 13.9 mmol/L	>250 – 500 mg/dL >13.9 – 27.8 mmol/L	>500 mg/dL >27.8 mmol/L or acidosis	Death
REMARK: Hyperglycemia, in general, is defined as fasting unless otherwise specified in protocol.						
Glucose, serum-low (hypoglycemia)	Hypoglycemia	<LLN – 55 mg/dL <LLN – 3.0 mmol/L	<55 – 40 mg/dL <3.0 – 2.2 mmol/L	<40 – 30 mg/dL <2.2 – 1.7 mmol/L	<30 mg/dL <1.7 mmol/L	Death
Hemoglobinuria	Hemoglobinuria	Present	—	—	—	Death
Lipase	Lipase	>ULN – 1.5 x ULN	>1.5 – 2.0 x ULN	>2.0 – 5.0 x ULN	>5.0 x ULN	—
Magnesium, serum-high (hypermagnesemia)	Hypermagnesemia	>ULN – 3.0 mg/dL >ULN – 1.23 mmol/L	—	>3.0 – 8.0 mg/dL >1.23 – 3.30 mmol/L	>8.0 mg/dL >3.30 mmol/L	Death
Magnesium, serum-low (hypomagnesemia)	Hypomagnesemia	<LLN – 1.2 mg/dL <LLN – 0.5 mmol/L	<1.2 – 0.9 mg/dL <0.5 – 0.4 mmol/L	<0.9 – 0.7 mg/dL <0.4 – 0.3 mmol/L	<0.7 mg/dL <0.3 mmol/L	Death
Phosphate, serum-low (hypophosphatemia)	Hypophosphatemia	<LLN – 2.5 mg/dL <LLN – 0.8 mmol/L	<2.5 – 2.0 mg/dL <0.8 – 0.6 mmol/L	<2.0 – 1.0 mg/dL <0.6 – 0.3 mmol/L	<1.0 mg/dL <0.3 mmol/L	Death
Potassium, serum-high (hyperkalemia)	Hyperkalemia	>ULN – 5.5 mmol/L	>5.5 – 6.0 mmol/L	>6.0 – 7.0 mmol/L	>7.0 mmol/L	Death

METABOLIC/LABORATORY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Potassium, serum-low (hypokalemia)	Hypokalemia	<LLN – 3.0 mmol/L	—	<3.0 – 2.5 mmol/L	<2.5 mmol/L	Death
Proteinuria	Proteinuria	1+ or 0.15 – 1.0 g/24 hrs	2+ to 3+ or >1.0 – 3.5 g/24 hrs	4+ or >3.5 g/24 hrs	Nephrotic syndrome	Death
Sodium, serum-high (hypernatremia)	Hypernatremia	>ULN – 150 mmol/L	>150 – 155 mmol/L	>155 – 160 mmol/L	>160 mmol/L	Death
Sodium, serum-low (hyponatremia)	Hyponatremia	<LLN – 130 mmol/L	—	<130 – 120 mmol/L	<120 mmol/L	Death
Triglyceride, serum-high (hypertriglyceridemia)	Hypertriglyceridemia	>ULN – 2.5 x ULN	>2.5 – 5.0 x ULN	>5.0 – 10 x ULN	>10 x ULN	Death
Uric acid, serum-high (hyperuricemia)	Hyperuricemia	>ULN – 10 mg/dL ≤0.59 mmol/L without physiologic consequences	—	>ULN – 10 mg/dL ≤0.59 mmol/L with physiologic consequences	>10 mg/dL >0.59 mmol/L	Death
ALSO CONSIDER: Creatinine; Potassium, serum-high (hyperkalemia); Renal failure; Tumor lysis syndrome.						
Metabolic/Laboratory – Other (Specify, __)	Metabolic/Lab – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

MUSCULOSKELETAL/SOFT TISSUE

Page 1 of 4

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Arthritis (non-septic)	Arthritis	Mild pain with inflammation, erythema, or joint swelling, but not interfering with function	Moderate pain with inflammation, erythema, or joint swelling interfering with function, but not interfering with ADL	Severe pain with inflammation, erythema, or joint swelling and interfering with ADL	Disabling	Death
REMARK: Report only when the diagnosis of arthritis (e.g., inflammation of a joint or a state characterized by inflammation of joints) is made. Arthralgia (sign or symptom of pain in a joint, especially non-inflammatory in character) is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
Bone: spine-scoliosis	Scoliosis	≤20 degrees; clinically undetectable	>20 – 45 degrees; visible by forward flexion; interfering with function but not interfering with ADL	>45 degrees; scapular prominence in forward flexion; operative intervention indicated; interfering with ADL	Disabling (e.g., interfering with cardiopulmonary function)	Death
Cervical spine-range of motion	Cervical spine ROM	Mild restriction of rotation or flexion between 60 – 70 degrees	Rotation <60 degrees to right or left; <60 degrees of flexion	Ankylosed/fused over multiple segments with no C-spine rotation	—	—
REMARK: 60 – 65 degrees of rotation is required for reversing a car; 60 – 65 degrees of flexion is required to tie shoes.						
Exostosis	Exostosis	Asymptomatic	Involving multiple sites; pain or interfering with function	Excision indicated	Progression to malignancy (i.e., chondrosarcoma)	Death
Extremity-lower (gait/walking)	Gait/walking	Limp evident only to trained observer and able to walk ≥1 kilometer; cane indicated for walking	Noticeable limp, or limitation of limb function, but able to walk ≥0.1 kilometer (1 city block); quad cane indicated for walking	Severe limp with stride modified to maintain balance (widened base of support, marked reduction in step length); ambulation limited to walker; crutches indicated	Unable to walk	—
ALSO CONSIDER: Ataxia (incoordination); Muscle weakness, generalized or specific area (not due to neuropathy) – <i>Select</i> .						
Extremity-upper (function)	Extremity-upper (function)	Able to perform most household or work activities with affected limb	Able to perform most household or work activities with compensation from unaffected limb	Interfering with ADL	Disabling; no function of affected limb	—
Fibrosis-cosmesis	Fibrosis-cosmesis	Visible only on close examination	Readily apparent but not disfiguring	Significant disfigurement; operative intervention indicated if patient chooses	—	—

MUSCULOSKELETAL/SOFT TISSUE

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Fibrosis-deep connective tissue	Fibrosis-deep connective tissue	Increased density, "spongy" feel	Increased density with firmness or tethering	Increased density with fixation of tissue; operative intervention indicated; interfering with ADL	Life-threatening; disabling; loss of limb; interfering with vital organ function	Death
ALSO CONSIDER: Induration/fibrosis (skin and subcutaneous tissue); Muscle weakness, generalized or specific area (not due to neuropathy) – <i>Select</i> ; Neuropathy: motor; Neuropathy: sensory.						
Fracture	Fracture	Asymptomatic, radiographic findings only (e.g., asymptomatic rib fracture on plain x-ray, pelvic insufficiency fracture on MRI, etc.)	Symptomatic but non-displaced; immobilization indicated	Symptomatic and displaced or open wound with bone exposure; operative intervention indicated	Disabling; amputation indicated	Death
Joint-effusion	Joint-effusion	Asymptomatic, clinical or radiographic findings only	Symptomatic; interfering with function but not interfering with ADL	Symptomatic and interfering with ADL	Disabling	Death
ALSO CONSIDER: Arthritis (non-septic).						
Joint-function ⁵	Joint-function	Stiffness interfering with athletic activity; ≤25% loss of range of motion (ROM)	Stiffness interfering with function but not interfering with ADL; >25 – 50% decrease in ROM	Stiffness interfering with ADL; >50 – 75% decrease in ROM	Fixed or non-functional joint (arthrodesis); >75% decrease in ROM	—
ALSO CONSIDER: Arthritis (non-septic).						
Local complication – device/prosthesis-related	Device/prosthesis	Asymptomatic	Symptomatic, but not interfering with ADL; local wound care; medical intervention indicated	Symptomatic, interfering with ADL; operative intervention indicated (e.g., hardware/device replacement or removal, reconstruction)	Life-threatening; disabling; loss of limb or organ	Death
Lumbar spine-range of motion	Lumbar spine ROM	Stiffness and difficulty bending to the floor to pick up a very light object but able to do activity	Some lumbar spine flexion but requires a reaching aid to pick up a very light object from the floor	Ankylosed/fused over multiple segments with no L-spine flexion (i.e., unable to reach to floor to pick up a very light	—	—

⁵ Adapted from the *International SFTR Method of Measuring and Recording Joint Motion, International Standard Orthopedic Measurements (ISOM)*, Jon J. Gerhardt and Otto A. Russee, Bern, Switzerland, Han Huber 9 Publisher), 1975.

MUSCULOSKELETAL/SOFT TISSUE

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
				object)		
Muscle weakness, generalized or specific area (not due to neuropathy) – <i>Select</i> : – Extraocular – Extremity-lower – Extremity-upper – Facial – Left-sided – Ocular – Pelvic – Right-sided – Trunk – Whole body/generalized	Muscle weakness – <i>Select</i>	Asymptomatic, weakness on physical exam	Symptomatic and interfering with function, but not interfering with ADL	Symptomatic and interfering with ADL	Life-threatening; disabling	Death
ALSO CONSIDER: Fatigue (asthenia, lethargy, malaise).						
Muscular/skeletal hypoplasia	Muscular/skeletal hypoplasia	Cosmetically and functionally insignificant hypoplasia	Deformity, hypoplasia, or asymmetry able to be remediated by prosthesis (e.g., shoe insert) or covered by clothing	Functionally significant deformity, hypoplasia, or asymmetry, unable to be remediated by prosthesis or covered by clothing	Disabling	—
Myositis (inflammation/damage of muscle)	Myositis	Mild pain, not interfering with function	Pain interfering with function, but not interfering with ADL	Pain interfering with ADL	Disabling	Death
REMARK: Myositis implies muscle damage (i.e., elevated CPK). ALSO CONSIDER: CPK (creatine phosphokinase); Pain – <i>Select</i> .						
Osteonecrosis (avascular necrosis)	Osteonecrosis	Asymptomatic, radiographic findings only	Symptomatic and interfering with function, but not interfering with ADL; minimal bone removal indicated (i.e., minor sequestrectomy)	Symptomatic and interfering with ADL; operative intervention or hyperbaric oxygen indicated	Disabling	Death

MUSCULOSKELETAL/SOFT TISSUE

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Osteoporosis ⁶	Osteoporosis	Radiographic evidence of osteoporosis or Bone Mineral Density (BMD) t-score –1 to –2.5 (osteopenia) and no loss of height or therapy indicated	BMD t-score < –2.5; loss of height <2 cm; anti-osteoporotic therapy indicated	Fractures; loss of height ≥2 cm	Disabling	Death
Seroma	Seroma	Asymptomatic	Symptomatic; medical intervention or simple aspiration indicated	Symptomatic, interventional radiology or operative intervention indicated	—	—
Soft tissue necrosis – <i>Select</i> : – Abdomen – Extremity-lower – Extremity-upper – Head – Neck – Pelvic – Thorax	Soft tissue necrosis – <i>Select</i>	—	Local wound care; medical intervention indicated	Operative debridement or other invasive intervention indicated (e.g., hyperbaric oxygen)	Life-threatening consequences; major invasive intervention indicated (e.g., tissue reconstruction, flap, or grafting)	Death
Trismus (difficulty, restriction or pain when opening mouth)	Trismus	Decreased range of motion without impaired eating	Decreased range of motion requiring small bites, soft foods or purees	Decreased range of motion with inability to adequately aliment or hydrate orally	—	—
NAVIGATION NOTE: Wound-infectious is graded as Infection – <i>Select</i> in the INFECTION CATEGORY.						
NAVIGATION NOTE: Wound non-infectious is graded as Wound complication, non-infectious in the DERMATOLOGY/SKIN CATEGORY.						
Musculoskeletal/Soft Tissue – Other (Specify, __)	Musculoskeletal – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

⁶ "Assessment of Fracture Risk and its Application to Screening for Postmenopausal Osteoporosis," Report of a WHO Study Group Technical Report Series, No. 843, 1994, v + 129 pages [C*, E, F, R, S], ISBN 92 4 120843 0, Sw.fr. 22.-/US \$19.80; in developing countries: Sw.fr. 15.40, Order no. 1100843

NEUROLOGY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
NAVIGATION NOTE: ADD (Attention Deficit Disorder) is graded as Cognitive disturbance.						
NAVIGATION NOTE: Aphasia, receptive and/or expressive, is graded as Speech impairment (e.g., dysphasia or aphasia).						
Apnea	Apnea	—	—	Present	Intubation indicated	Death
Arachnoiditis/ meningismus/radiculitis	Arachnoiditis	Symptomatic, not interfering with function; medical intervention indicated	Symptomatic (e.g., photophobia, nausea) interfering with function but not interfering with ADL	Symptomatic, interfering with ADL	Life-threatening; disabling (e.g., paraplegia)	Death
ALSO CONSIDER: Fever (in the absence of neutropenia, where neutropenia is defined as ANC <1.0 x 10 ⁹ /L); Infection (documented clinically or microbiologically) with Grade 3 or 4 neutrophils (ANC <1.0 x 10 ⁹ /L) – <i>Select</i> ; Infection with normal ANC or Grade 1 or 2 neutrophils – <i>Select</i> ; Infection with unknown ANC – <i>Select</i> ; Pain – <i>Select</i> ; Vomiting.						
Ataxia (incoordination)	Ataxia	Asymptomatic	Symptomatic, not interfering with ADL	Symptomatic, interfering with ADL; mechanical assistance indicated	Disabling	Death
REMARK: Ataxia (incoordination) refers to the consequence of medical or operative intervention.						
Brachial plexopathy	Brachial plexopathy	Asymptomatic	Symptomatic, not interfering with ADL	Symptomatic, interfering with ADL	Disabling	Death
CNS cerebrovascular ischemia	CNS ischemia	—	Asymptomatic, radiographic findings only	Transient ischemic event or attack (TIA) ≤24 hrs duration	Cerebral vascular accident (CVA, stroke), neurologic deficit >24 hrs	Death
NAVIGATION NOTE: CNS hemorrhage/bleeding is graded as Hemorrhage, CNS in the HEMORRHAGE/BLEEDING CATEGORY.						
CNS necrosis/cystic progression	CNS necrosis	Asymptomatic, radiographic findings only	Symptomatic, not interfering with ADL; medical intervention indicated	Symptomatic and interfering with ADL; hyperbaric oxygen indicated	Life-threatening; disabling; operative intervention indicated to prevent or treat CNS necrosis/cystic progression	Death
Cognitive disturbance	Cognitive disturbance	Mild cognitive disability; not interfering with work/school/life performance; specialized educational services/devices not indicated	Moderate cognitive disability; interfering with work/school/life performance but capable of independent living; specialized resources on part-time basis indicated	Severe cognitive disability; significant impairment of work/school/life performance	Unable to perform ADL; full-time specialized resources or institutionalization indicated	Death
REMARK: Cognitive disturbance may be used for Attention Deficit Disorder (ADD).						

NEUROLOGY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Confusion	Confusion	Transient confusion, disorientation, or attention deficit	Confusion, disorientation, or attention deficit interfering with function, but not interfering with ADL	Confusion or delirium interfering with ADL	Harmful to others or self; hospitalization indicated	Death
REMARK: Attention Deficit Disorder (ADD) is graded as Cognitive disturbance.						
NAVIGATION NOTE: Cranial neuropathy is graded as Neuropathy-cranial – <i>Select</i> .						
Dizziness	Dizziness	With head movements or nystagmus only; not interfering with function	Interfering with function, but not interfering with ADL	Interfering with ADL	Disabling	—
REMARK: Dizziness includes disequilibrium, lightheadedness, and vertigo.						
ALSO CONSIDER: Neuropathy: cranial – <i>Select</i> ; Syncope (fainting).						
NAVIGATION NOTE: Dysphasia, receptive and/or expressive, is graded as Speech impairment (e.g., dysphasia or aphasia).						
Encephalopathy	Encephalopathy	—	Mild signs or symptoms; not interfering with ADL	Signs or symptoms interfering with ADL; hospitalization indicated	Life-threatening; disabling	Death
ALSO CONSIDER: Cognitive disturbance; Confusion; Dizziness; Memory impairment; Mental status; Mood alteration – <i>Select</i> ; Psychosis (hallucinations/delusions); Somnolence/depressed level of consciousness.						
Extrapyramidal/ involuntary movement/ restlessness	Involuntary movement	Mild involuntary movements not interfering with function	Moderate involuntary movements interfering with function, but not interfering with ADL	Severe involuntary movements or torticollis interfering with ADL	Disabling	Death
NAVIGATION NOTE: Headache/neuropathic pain (e.g., jaw pain, neurologic pain, phantom limb pain, post-infectious neuralgia, or painful neuropathies) is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
Hydrocephalus	Hydrocephalus	Asymptomatic, radiographic findings only	Mild to moderate symptoms not interfering with ADL	Severe symptoms or neurological deficit interfering with ADL	Disabling	Death
Irritability (children <3 years of age)	Irritability	Mild; easily consolable	Moderate; requiring increased attention	Severe; inconsolable	—	—
Laryngeal nerve dysfunction	Laryngeal nerve	Asymptomatic, weakness on clinical examination/testing only	Symptomatic, but not interfering with ADL; intervention not indicated	Symptomatic, interfering with ADL; intervention indicated (e.g., thyroplasty, vocal cord injection)	Life-threatening; tracheostomy indicated	Death

NEUROLOGY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Leak, cerebrospinal fluid (CSF)	CSF leak	Transient headache; postural care indicated	Symptomatic, not interfering with ADL; blood patch indicated	Symptomatic, interfering with ADL; operative intervention indicated	Life-threatening; disabling	Death
REMARK: Leak, cerebrospinal fluid (CSF) may be used for CSF leak associated with operation and persisting >72 hours.						
Leukoencephalopathy (radiographic findings)	Leukoencephalopathy	Mild increase in subarachnoid space (SAS); mild ventriculomegaly; small (+/- multiple) focal T2 hyperintensities, involving periventricular white matter or <1/3 of susceptible areas of cerebrum	Moderate increase in SAS; moderate ventriculomegaly; focal T2 hyperintensities extending into centrum ovale or involving 1/3 to 2/3 of susceptible areas of cerebrum	Severe increase in SAS; severe ventriculomegaly; near total white matter T2 hyperintensities or diffuse low attenuation (CT)	—	—
REMARK: Leukoencephalopathy is a diffuse white matter process, specifically NOT associated with necrosis. Leukoencephalopathy (radiographic findings) does not include lacunas, which are areas that become void of neural tissue.						
Memory impairment	Memory impairment	Memory impairment not interfering with function	Memory impairment interfering with function, but not interfering with ADL	Memory impairment interfering with ADL	Amnesia	—
Mental status ⁷	Mental status	—	1 – 3 point below age and educational norm in Folstein Mini-Mental Status Exam (MMSE)	>3 point below age and educational norm in Folstein MMSE	—	—
Mood alteration – <i>Select</i> : – Agitation – Anxiety – Depression – Euphoria	Mood alteration – <i>Select</i>	Mild mood alteration not interfering with function	Moderate mood alteration interfering with function, but not interfering with ADL; medication indicated	Severe mood alteration interfering with ADL	Suicidal ideation; danger to self or others	Death
Myelitis	Myelitis	Asymptomatic, mild signs (e.g., Babinski's or Lhermitte's sign)	Weakness or sensory loss not interfering with ADL	Weakness or sensory loss interfering with ADL	Disabling	Death

⁷ Folstein MF, Folstein, SE and McHugh PR (1975) "Mini-Mental State: A Practical Method for Grading the State of Patients for the Clinician," *Journal of Psychiatric Research*, 12: 189-198

NEUROLOGY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
NAVIGATION NOTE: Neuropathic pain is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
Neuropathy: cranial – <i>Select</i> : – CN I Smell – CN II Vision – CN III Pupil, upper eyelid, extra ocular movements – CN IV Downward, inward movement of eye – CN V Motor-jaw muscles; Sensory-facial – CN VI Lateral deviation of eye – CN VII Motor-face; Sensory-taste – CN VIII Hearing and balance – CN IX Motor-pharynx; Sensory-ear, pharynx, tongue – CN X Motor-palate; pharynx, larynx – CN XI Motor-sternomastoid and trapezius – CN XII Motor-tongue	Neuropathy: cranial – <i>Select</i>	Asymptomatic, detected on exam/testing only	Symptomatic, not interfering with ADL	Symptomatic, interfering with ADL	Life-threatening; disabling	Death
Neuropathy: motor	Neuropathy-motor	Asymptomatic, weakness on exam/testing only	Symptomatic weakness interfering with function, but not interfering with ADL	Weakness interfering with ADL; bracing or assistance to walk (e.g., cane or walker) indicated	Life-threatening; disabling (e.g., paralysis)	Death
REMARK: Cranial nerve <u>motor</u> neuropathy is graded as Neuropathy: cranial – <i>Select</i> . ALSO CONSIDER: Laryngeal nerve dysfunction; Phrenic nerve dysfunction.						
Neuropathy: sensory	Neuropathy-sensory	Asymptomatic; loss of deep tendon reflexes or paresthesia (including tingling) but not interfering with function	Sensory alteration or paresthesia (including tingling), interfering with function, but not interfering with ADL	Sensory alteration or paresthesia interfering with ADL	Disabling	Death
REMARK: Cranial nerve <u>sensory</u> neuropathy is graded as Neuropathy: cranial – <i>Select</i> .						
Personality/behavioral	Personality	Change, but not adversely affecting patient or family	Change, adversely affecting patient or family	Mental health intervention indicated	Change harmful to others or self; hospitalization indicated	Death
Phrenic nerve dysfunction	Phrenic nerve	Asymptomatic weakness on exam/testing only	Symptomatic but not interfering with ADL; intervention not indicated	Significant dysfunction; intervention indicated (e.g., diaphragmatic plication)	Life-threatening respiratory compromise; mechanical ventilation indicated	Death
Psychosis (hallucinations/delusions)	Psychosis	—	Transient episode	Interfering with ADL; medication, supervision	Harmful to others or self; life-threatening	Death

NEUROLOGY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
				or restraints indicated	consequences	
Pyramidal tract dysfunction (e.g., ↑ tone, hyperreflexia, positive Babinski, ↓ fine motor coordination)	Pyramidal tract dysfunction	Asymptomatic, abnormality on exam or testing only	Symptomatic; interfering with function but not interfering with ADL	Interfering with ADL	Disabling; paralysis	Death
Seizure	Seizure	—	One brief generalized seizure; seizure(s) well controlled by anticonvulsants or infrequent focal motor seizures not interfering with ADL	Seizures in which consciousness is altered; poorly controlled seizure disorder, with breakthrough generalized seizures despite medical intervention	Seizures of any kind which are prolonged, repetitive, or difficult to control (e.g., status epilepticus, intractable epilepsy)	Death
Somnolence/depressed level of consciousness	Somnolence	—	Somnolence or sedation interfering with function, but not interfering with ADL	Obtundation or stupor; difficult to arouse; interfering with ADL	Coma	Death
Speech impairment (e.g., dysphasia or aphasia)	Speech impairment	—	Awareness of receptive or expressive dysphasia, not impairing ability to communicate	Receptive or expressive dysphasia, impairing ability to communicate	Inability to communicate	—
REMARK: Speech impairment refers to a primary CNS process, not neuropathy or end organ dysfunction.						
ALSO CONSIDER: Laryngeal nerve dysfunction; Voice changes/dysarthria (e.g., hoarseness, loss, or alteration in voice, laryngitis).						
Syncope (fainting)	Syncope (fainting)	—	—	Present	Life-threatening consequences	Death
ALSO CONSIDER: CNS cerebrovascular ischemia; Conduction abnormality/atrioventricular heart block – <i>Select</i> ; Dizziness; Supraventricular and nodal arrhythmia – <i>Select</i> ; Vasovagal episode; Ventricular arrhythmia – <i>Select</i> .						
NAVIGATION NOTE: Taste alteration (CN VII, IX) is graded as Taste alteration (dysgeusia) in the GASTROINTESTINAL CATEGORY.						
Tremor	Tremor	Mild and brief or intermittent but not interfering with function	Moderate tremor interfering with function, but not interfering with ADL	Severe tremor interfering with ADL	Disabling	—
Neurology – Other (Specify, __)	Neurology – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

OCULAR/VISUAL

Page 1 of 3

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Cataract	Cataract	Asymptomatic, detected on exam only	Symptomatic, with moderate decrease in visual acuity (20/40 or better); decreased visual function correctable with glasses	Symptomatic with marked decrease in visual acuity (worse than 20/40); operative intervention indicated (e.g., cataract surgery)	—	—
Dry eye syndrome	Dry eye	Mild, intervention not indicated	Symptomatic, interfering with function but not interfering with ADL; medical intervention indicated	Symptomatic or decrease in visual acuity interfering with ADL; operative intervention indicated	—	—
Eyelid dysfunction	Eyelid dysfunction	Asymptomatic	Symptomatic, interfering with function but not ADL; requiring topical agents or epilation	Symptomatic; interfering with ADL; surgical intervention indicated	—	—
REMARK: Eyelid dysfunction includes canalicular stenosis, ectropion, entropion, erythema, madarosis, symblepharon, telangiectasis, thickening, and trichiasis.						
ALSO CONSIDER: Neuropathy: cranial – <i>Select</i> .						
Glaucoma	Glaucoma	Elevated intraocular pressure (EIOP) with single topical agent for intervention; no visual field deficit	EIOP causing early visual field deficit (i.e., nasal step or arcuate deficit); multiple topical or oral agents indicated	EIOP causing marked visual field deficits (i.e., involving both superior and inferior visual fields); operative intervention indicated	EIOP resulting in blindness (20/200 or worse); enucleation indicated	—
Keratitis (corneal inflammation/corneal ulceration)	Keratitis	Abnormal ophthalmologic changes only; intervention not indicated	Symptomatic and interfering with function, but not interfering with ADL	Symptomatic and interfering with ADL; operative intervention indicated	Perforation or blindness (20/200 or worse)	—
NAVIGATION NOTE: Ocular muscle weakness is graded as Muscle weakness, generalized or specific area (not due to neuropathy) – <i>Select</i> in the MUSCULOSKELETAL/SOFT TISSUE CATEGORY.						
Night blindness (nyctalopia)	Nyctalopia	Symptomatic, not interfering with function	Symptomatic and interfering with function but not interfering with ADL	Symptomatic and interfering with ADL	Disabling	—

OCULAR/VISUAL

Page 2 of 3

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Nystagmus	Nystagmus	Asymptomatic	Symptomatic and interfering with function but not interfering with ADL	Symptomatic and interfering with ADL	Disabling	—
ALSO CONSIDER: Neuropathy: cranial – <i>Select</i> ; Ophthalmoplegia/diplopia (double vision).						
Ocular surface disease	Ocular surface disease	Asymptomatic or minimally symptomatic but not interfering with function	Symptomatic, interfering with function but not interfering with ADL; topical antibiotics or other topical intervention indicated	Symptomatic, interfering with ADL; operative intervention indicated	—	—
REMARK: Ocular surface disease includes conjunctivitis, keratoconjunctivitis sicca, chemosis, keratinization, and palpebral conjunctival epithelial metaplasia.						
Ophthalmoplegia/diplopia (double vision)	Diplopia	Intermittently symptomatic, intervention not indicated	Symptomatic and interfering with function but not interfering with ADL	Symptomatic and interfering with ADL; surgical intervention indicated	Disabling	—
ALSO CONSIDER: Neuropathy: cranial – <i>Select</i> .						
Optic disc edema	Optic disc edema	Asymptomatic	Decreased visual acuity (20/40 or better); visual field defect present	Decreased visual acuity (worse than 20/40); marked visual field defect but sparing the central 20 degrees	Blindness (20/200 or worse)	—
ALSO CONSIDER: Neuropathy: cranial – <i>Select</i> .						
Proptosis/enophthalmos	Proptosis/enophthalmos	Asymptomatic, intervention not indicated	Symptomatic and interfering with function, but not interfering with ADL	Symptomatic and interfering with ADL	—	—
Retinal detachment	Retinal detachment	Exudative; no central vision loss; intervention not indicated	Exudative and visual acuity 20/40 or better but intervention not indicated	Rhegmatogenous or exudative detachment; operative intervention indicated	Blindness (20/200 or worse)	—
Retinopathy	Retinopathy	Asymptomatic	Symptomatic with moderate decrease in visual acuity (20/40 or better)	Symptomatic with marked decrease in visual acuity (worse than 20/40)	Blindness (20/200 or worse)	—

OCULAR/VISUAL

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Scleral necrosis/melt	Scleral necrosis	Asymptomatic or symptomatic but not interfering with function	Symptomatic, interfering with function but not interfering with ADL; moderate decrease in visual acuity (20/40 or better); medical intervention indicated	Symptomatic, interfering with ADL; marked decrease in visual acuity (worse than 20/40); operative intervention indicated	Blindness (20/200 or worse); painful eye with enucleation indicated	—
Uveitis	Uveitis	Asymptomatic	Anterior uveitis; medical intervention indicated	Posterior or pan-uveitis; operative intervention indicated	Blindness (20/200 or worse)	—
Vision-blurred vision	Blurred vision	Symptomatic not interfering with function	Symptomatic and interfering with function, but not interfering with ADL	Symptomatic and interfering with ADL	Disabling	—
Vision-flashing lights/floaters	Flashing lights	Symptomatic not interfering with function	Symptomatic and interfering with function, but not interfering with ADL	Symptomatic and interfering with ADL	Disabling	—
Vision-photophobia	Photophobia	Symptomatic not interfering with function	Symptomatic and interfering with function, but not interfering with ADL	Symptomatic and interfering with ADL	Disabling	—
Vitreous hemorrhage	Vitreous hemorrhage	Asymptomatic, clinical findings only	Symptomatic, interfering with function, but not interfering with ADL; intervention not indicated	Symptomatic, interfering with ADL; vitrectomy indicated	—	—
Watery eye (epiphora, tearing)	Watery eye	Symptomatic, intervention not indicated	Symptomatic, interfering with function but not interfering with ADL	Symptomatic, interfering with ADL	—	—
Ocular/Visual – Other (Specify, __)	Ocular – Other (Specify)	Symptomatic not interfering with function	Symptomatic and interfering with function, but not interfering with ADL	Symptomatic and interfering with ADL	Blindness (20/200 or worse)	Death

PAIN							Page 1 of 1
		Grade					
Adverse Event	Short Name	1	2	3	4	5	
Pain – <i>Select</i> : ' <i>Select</i> ' AEs appear at the end of the CATEGORY.	Pain – <i>Select</i>	Mild pain not interfering with function	Moderate pain; pain or analgesics interfering with function, but not interfering with ADL	Severe pain; pain or analgesics severely interfering with ADL	Disabling	—	
Pain – Other (Specify, __)	Pain – Other (Specify)	Mild pain not interfering with function	Moderate pain; pain or analgesics interfering with function, but not interfering with ADL	Severe pain; pain or analgesics severely interfering with ADL	Disabling	—	
PAIN – SELECT							
AUDITORY/EAR – External ear – Middle ear CARDIOVASCULAR – Cardiac/heart – Pericardium DERMATOLOGY/SKIN – Face – Lip – Oral-gums – Scalp – Skin GASTROINTESTINAL – Abdomen NOS – Anus – Dental/teeth/peridontal – Esophagus – Oral cavity – Peritoneum – Rectum – Stomach GENERAL – Pain NOS – Tumor pain		HEPATOBIILIARY/PANCREAS – Gallbladder – Liver LYMPHATIC – Lymph node MUSCULOSKELETAL – Back – Bone – Buttock – Extremity-limb – Intestine – Joint – Muscle – Neck – Phantom (pain associated with missing limb) NEUROLOGY – Head/headache – Neuralgia/peripheral nerve OCULAR – Eye PULMONARY/UPPER RESPIRATORY – Chest wall – Chest/thorax NOS		PULMONARY/UPPER RESPIRATORY (<i>continued</i>) – Larynx – Pleura – Sinus – Throat/pharynx/larynx RENAL/GENITOURINARY – Bladder – Kidney SEXUAL/REPRODUCTIVE FUNCTION – Breast – Ovulatory – Pelvis – Penis – Perineum – Prostate – Scrotum – Testicle – Urethra – Uterus – Vagina			

PULMONARY/UPPER RESPIRATORY

Page 1 of 4

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Adult Respiratory Distress Syndrome (ARDS)	ARDS	—	—	Present, intubation not indicated	Present, intubation indicated	Death
ALSO CONSIDER: Dyspnea (shortness of breath); Hypoxia; Pneumonitis/pulmonary infiltrates.						
Aspiration	Aspiration	Asymptomatic ("silent aspiration"); endoscopy or radiographic (e.g., barium swallow) findings	Symptomatic (e.g., altered eating habits, coughing or choking episodes consistent with aspiration); medical intervention indicated (e.g., antibiotics, suction or oxygen)	Clinical or radiographic signs of pneumonia or pneumonitis; unable to aliment orally	Life-threatening (e.g., aspiration pneumonia or pneumonitis)	Death
ALSO CONSIDER: Infection (documented clinically or microbiologically) with Grade 3 or 4 neutrophils (ANC <1.0 x 10 ⁹ /L) – <i>Select</i> ; Infection with normal ANC or Grade 1 or 2 neutrophils – <i>Select</i> ; Infection with unknown ANC – <i>Select</i> ; Laryngeal nerve dysfunction; Neuropathy: cranial – <i>Select</i> ; Pneumonitis/pulmonary infiltrates.						
Atelectasis	Atelectasis	Asymptomatic	Symptomatic (e.g., dyspnea, cough), medical intervention indicated (e.g., bronchoscopic suctioning, chest physiotherapy, suctioning)	Operative (e.g., stent, laser) intervention indicated	Life-threatening respiratory compromise	Death
ALSO CONSIDER: Adult Respiratory Distress Syndrome (ARDS); Cough; Dyspnea (shortness of breath); Hypoxia; Infection (documented clinically or microbiologically) with Grade 3 or 4 neutrophils (ANC <1.0 x 10 ⁹ /L) – <i>Select</i> ; Infection with normal ANC or Grade 1 or 2 neutrophils – <i>Select</i> ; Infection with unknown ANC – <i>Select</i> ; Obstruction/stenosis of airway – <i>Select</i> ; Pneumonitis/pulmonary infiltrates; Pulmonary fibrosis (radiographic changes).						
Bronchospasm, wheezing	Bronchospasm	Asymptomatic	Symptomatic not interfering with function	Symptomatic interfering with function	Life-threatening	Death
ALSO CONSIDER: Allergic reaction/hypersensitivity (including drug fever); Dyspnea (shortness of breath).						
Carbon monoxide diffusion capacity (DL _{CO})	DL _{CO}	90 – 75% of predicted value	<75 – 50% of predicted value	<50 – 25% of predicted value	<25% of predicted value	Death
ALSO CONSIDER: Hypoxia; Pneumonitis/pulmonary infiltrates; Pulmonary fibrosis (radiographic changes).						
Chylothorax	Chylothorax	Asymptomatic	Symptomatic; thoracentesis or tube drainage indicated	Operative intervention indicated	Life-threatening (e.g., hemodynamic instability or ventilatory support indicated)	Death
Cough	Cough	Symptomatic, non-narcotic medication only indicated	Symptomatic and narcotic medication indicated	Symptomatic and significantly interfering with sleep or ADL	—	—

PULMONARY/UPPER RESPIRATORY

Page 2 of 4

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Dyspnea (shortness of breath)	Dyspnea	Dyspnea on exertion, but can walk 1 flight of stairs without stopping	Dyspnea on exertion but unable to walk 1 flight of stairs or 1 city block (0.1km) without stopping	Dyspnea with ADL	Dyspnea at rest; intubation/ventilator indicated	Death
ALSO CONSIDER: Hypoxia; Neuropathy: motor; Pneumonitis/pulmonary infiltrates; Pulmonary fibrosis (radiographic changes).						
Edema, larynx	Edema, larynx	Asymptomatic edema by exam only	Symptomatic edema, no respiratory distress	Stridor; respiratory distress; interfering with ADL	Life-threatening airway compromise; tracheotomy, intubation, or laryngectomy indicated	Death
ALSO CONSIDER: Allergic reaction/hypersensitivity (including drug fever).						
FEV ₁	FEV ₁	90 – 75% of predicted value	<75 – 50% of predicted value	<50 – 25% of predicted value	<25% of predicted	Death
Fistula, pulmonary/upper respiratory – <i>Select</i> : – Bronchus – Larynx – Lung – Oral cavity – Pharynx – Pleura – Trachea	Fistula, pulmonary – <i>Select</i>	Asymptomatic, radiographic findings only	Symptomatic, tube thoracostomy or medical management indicated; associated with altered respiratory function but not interfering with ADL	Symptomatic and associated with altered respiratory function interfering with ADL; or endoscopic (e.g., stent) or primary closure by operative intervention indicated	Life-threatening consequences; operative intervention with thoracoplasty, chronic open drainage or multiple thoracotomies indicated	Death
REMARK: A fistula is defined as an abnormal communication between two body cavities, potential spaces, and/or the skin. The site indicated for a fistula should be the site from which the abnormal process is believed to have arisen. For example, a tracheo-esophageal fistula arising in the context of a resected or irradiated esophageal cancer should be graded as Fistula, GI – esophagus in the GASTROINTESTINAL CATEGORY.						
NAVIGATION NOTE: Hemoptysis is graded as Hemorrhage, pulmonary/upper respiratory – <i>Select</i> in the HEMORRHAGE/BLEEDING CATEGORY.						
Hiccoughs (hiccups, singultus)	Hiccoughs	Symptomatic, intervention not indicated	Symptomatic, intervention indicated	Symptomatic, significantly interfering with sleep or ADL	—	—
Hypoxia	Hypoxia	—	Decreased O ₂ saturation with exercise (e.g., pulse oximeter <88%); intermittent supplemental oxygen	Decreased O ₂ saturation at rest; continuous oxygen indicated	Life-threatening; intubation or ventilation indicated	Death

PULMONARY/UPPER RESPIRATORY

Page 3 of 4

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Nasal cavity/paranasal sinus reactions	Nasal/paranasal reactions	Asymptomatic mucosal crusting, blood-tinged secretions	Symptomatic stenosis or edema/narrowing interfering with airflow	Stenosis with significant nasal obstruction; interfering with ADL	Necrosis of soft tissue or bone	Death
ALSO CONSIDER: Infection (documented clinically or microbiologically) with Grade 3 or 4 neutrophils (ANC $<1.0 \times 10^9/L$) – <i>Select</i> ; Infection with normal ANC or Grade 1 or 2 neutrophils – <i>Select</i> ; Infection with unknown ANC – <i>Select</i> .						
Obstruction/stenosis of airway – <i>Select</i> : – Bronchus – Larynx – Pharynx – Trachea	Airway obstruction – <i>Select</i>	Asymptomatic obstruction or stenosis on exam, endoscopy, or radiograph	Symptomatic (e.g., noisy airway breathing), but causing no respiratory distress; medical management indicated (e.g., steroids)	Interfering with ADL; stridor or endoscopic intervention indicated (e.g., stent, laser)	Life-threatening airway compromise; tracheotomy or intubation indicated	Death
Pleural effusion (non-malignant)	Pleural effusion	Asymptomatic	Symptomatic, intervention such as diuretics or up to 2 therapeutic thoracenteses indicated	Symptomatic and supplemental oxygen, >2 therapeutic thoracenteses, tube drainage, or pleurodesis indicated	Life-threatening (e.g., causing hemodynamic instability or ventilatory support indicated)	Death
ALSO CONSIDER: Atelectasis; Cough; Dyspnea (shortness of breath); Hypoxia; Pneumonitis/pulmonary infiltrates; Pulmonary fibrosis (radiographic changes).						
NAVIGATION NOTE: Pleuritic pain is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
Pneumonitis/pulmonary infiltrates	Pneumonitis	Asymptomatic, radiographic findings only	Symptomatic, not interfering with ADL	Symptomatic, interfering with ADL; O ₂ indicated	Life-threatening; ventilatory support indicated	Death
ALSO CONSIDER: Adult Respiratory Distress Syndrome (ARDS); Cough; Dyspnea (shortness of breath); Hypoxia; Infection (documented clinically or microbiologically) with Grade 3 or 4 neutrophils (ANC $<1.0 \times 10^9/L$) – <i>Select</i> ; Infection with normal ANC or Grade 1 or 2 neutrophils – <i>Select</i> ; Infection with unknown ANC – <i>Select</i> ; Pneumonitis/pulmonary infiltrates; Pulmonary fibrosis (radiographic changes).						
Pneumothorax	Pneumothorax	Asymptomatic, radiographic findings only	Symptomatic; intervention indicated (e.g., hospitalization for observation, tube placement without sclerosis)	Sclerosis and/or operative intervention indicated	Life-threatening, causing hemodynamic instability (e.g., tension pneumothorax); ventilatory support indicated	Death
Prolonged chest tube drainage or air leak after pulmonary resection	Chest tube drainage or leak	—	Sclerosis or additional tube thoracostomy indicated	Operative intervention indicated (e.g., thoracotomy with stapling or sealant application)	Life-threatening; debilitating; organ resection indicated	Death

PULMONARY/UPPER RESPIRATORY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Prolonged intubation after pulmonary resection (>24 hrs after surgery)	Prolonged intubation	—	Extubated within 24 – 72 hrs postoperatively	Extubated >72 hrs postoperatively, but before tracheostomy indicated	Tracheostomy indicated	Death
NAVIGATION NOTE: Pulmonary embolism is graded as Grade 4 either as Thrombosis/embolism (vascular access-related) or Thrombosis/thrombus/embolism in the VASCULAR CATEGORY.						
Pulmonary fibrosis (radiographic changes)	Pulmonary fibrosis	Minimal radiographic findings (or patchy or bi-basilar changes) with estimated radiographic proportion of total lung volume that is fibrotic of <25%	Patchy or bi-basilar changes with estimated radiographic proportion of total lung volume that is fibrotic of 25 – <50%	Dense or widespread infiltrates/consolidation with estimated radiographic proportion of total lung volume that is fibrotic of 50 – <75%	Estimated radiographic proportion of total lung volume that is fibrotic is ≥75%; honeycombing	Death
REMARK: Fibrosis is usually a “late effect” seen >3 months after radiation or combined modality therapy (including surgery). It is thought to represent scar/fibrotic lung tissue. It may be difficult to distinguish from pneumonitis that is generally seen within 3 months of radiation or combined modality therapy.						
ALSO CONSIDER: Adult Respiratory Distress Syndrome (ARDS); Cough; Dyspnea (shortness of breath); Hypoxia; Infection (documented clinically or microbiologically) with Grade 3 or 4 neutrophils (ANC <1.0 x 10 ⁹ /L) – <i>Select</i> ; Infection with normal ANC or Grade 1 or 2 neutrophils – <i>Select</i> ; Infection with unknown ANC – <i>Select</i> .						
NAVIGATION NOTE: Recurrent laryngeal nerve dysfunction is graded as Laryngeal nerve dysfunction in the NEUROLOGY CATEGORY.						
Vital capacity	Vital capacity	90 – 75% of predicted value	<75 – 50% of predicted value	<50 – 25% of predicted value	<25% of predicted value	Death
Voice changes/dysarthria (e.g., hoarseness, loss or alteration in voice, laryngitis)	Voice changes	Mild or intermittent hoarseness or voice change, but fully understandable	Moderate or persistent voice changes, may require occasional repetition but understandable on telephone	Severe voice changes including predominantly whispered speech; may require frequent repetition or face-to-face contact for understandability; requires voice aid (e.g., electrolarynx) for ≤50% of communication	Disabling; non-understandable voice or aphonic; requires voice aid (e.g., electrolarynx) for >50% of communication or requires >50% written communication	Death
ALSO CONSIDER: Laryngeal nerve dysfunction; Speech impairment (e.g., dysphasia or aphasia).						
Pulmonary/Upper Respiratory – Other (Specify, __)	Pulmonary – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

RENAL/GENITOURINARY

Page 1 of 3

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Bladder spasms	Bladder spasms	Symptomatic, intervention not indicated	Symptomatic, antispasmodics indicated	Narcotics indicated	Major surgical intervention indicated (e.g., cystectomy)	—
Cystitis	Cystitis	Asymptomatic	Frequency with dysuria; macroscopic hematuria	Transfusion; IV pain medications; bladder irrigation indicated	Catastrophic bleeding; major non-elective intervention indicated	Death
ALSO CONSIDER: Infection (documented clinically or microbiologically) with Grade 3 or 4 neutrophils (ANC <1.0 x 10 ⁹ /L) – <i>Select</i> ; Infection with normal ANC or Grade 1 or 2 neutrophils – <i>Select</i> ; Infection with unknown ANC – <i>Select</i> ; Pain – <i>Select</i> .						
Fistula, GU – <i>Select</i> : – Bladder – Genital tract-female – Kidney – Ureter – Urethra – Uterus – Vagina	Fistula, GU – <i>Select</i>	Asymptomatic, radiographic findings only	Symptomatic; noninvasive intervention indicated	Symptomatic interfering with ADL; invasive intervention indicated	Life-threatening consequences; operative intervention requiring partial or full organ resection; permanent urinary diversion	Death
REMARK: A fistula is defined as an abnormal communication between two body cavities, potential spaces, and/or the skin. The site indicated for a fistula should be the site from which the abnormal process is believed to have originated.						
Incontinence, urinary	Incontinence, urinary	Occasional (e.g., with coughing, sneezing, etc.), pads not indicated	Spontaneous, pads indicated	Interfering with ADL; intervention indicated (e.g., clamp, collagen injections)	Operative intervention indicated (e.g., cystectomy or permanent urinary diversion)	—
Leak (including anastomotic), GU – <i>Select</i> : – Bladder – Fallopian tube – Kidney – Spermatic cord – Stoma – Ureter – Urethra – Uterus – Vagina – Vas deferens	Leak, GU – <i>Select</i>	Asymptomatic, radiographic findings only	Symptomatic; medical intervention indicated	Symptomatic, interfering with GU function; invasive or endoscopic intervention indicated	Life-threatening	Death
REMARK: Leak (including anastomotic), GU – <i>Select</i> refers to clinical signs and symptoms or radiographic confirmation of anastomotic leak but without development of fistula.						

RENAL/GENITOURINARY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Obstruction, GU – <i>Select</i> : – Bladder – Fallopian tube – Prostate – Spermatic cord – Stoma – Testes – Ureter – Urethra – Uterus – Vagina – Vas deferens	Obstruction, GU – <i>Select</i>	Asymptomatic, radiographic or endoscopic findings only	Symptomatic but no hydronephrosis, sepsis or renal dysfunction; dilation or endoscopic repair or stent placement indicated	Symptomatic and altered organ function (e.g., sepsis or hydronephrosis, or renal dysfunction); operative intervention indicated	Life-threatening consequences; organ failure or operative intervention requiring complete organ resection indicated	Death
NAVIGATION NOTE: Operative injury is graded as Intra-operative injury – <i>Select Organ or Structure</i> in the SURGERY/INTRA-OPERATIVE INJURY CATEGORY.						
Perforation, GU – <i>Select</i> : – Bladder – Fallopian tube – Kidney – Ovary – Prostate – Spermatic cord – Stoma – Testes – Ureter – Urethra – Uterus – Vagina – Vas deferens	Perforation, GU – <i>Select</i>	Asymptomatic radiographic findings only	Symptomatic, associated with altered renal/GU function	Symptomatic, operative intervention indicated	Life-threatening consequences or organ failure; operative intervention requiring organ resection indicated	Death
Prolapse of stoma, GU	Prolapse stoma, GU	Asymptomatic; special intervention, extraordinary care not indicated	Extraordinary local care or maintenance; minor revision under local anesthesia indicated	Dysfunctional stoma; operative intervention or major stomal revision indicated	Life-threatening consequences	Death
REMARK: Other stoma complications may be graded as Fistula, GU – <i>Select</i> ; Leak (including anastomotic), GU – <i>Select</i> ; Obstruction, GU – <i>Select</i> ; Perforation, GU – <i>Select</i> ; Stricture/stenosis (including anastomotic), GU – <i>Select</i> .						
Renal failure	Renal failure	—	—	Chronic dialysis not indicated	Chronic dialysis or renal transplant indicated	Death
ALSO CONSIDER: Glomerular filtration rate.						

RENAL/GENITOURINARY

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Stricture/stenosis (including anastomotic), GU – <i>Select</i> : – Bladder – Fallopian tube – Prostate – Spermatic cord – Stoma – Testes – Ureter – Urethra – Uterus – Vagina – Vas deferens	Stricture, anastomotic, GU – <i>Select</i>	Asymptomatic, radiographic or endoscopic findings only	Symptomatic but no hydronephrosis, sepsis or renal dysfunction; dilation or endoscopic repair or stent placement indicated	Symptomatic and altered organ function (e.g., sepsis or hydronephrosis, or renal dysfunction); operative intervention indicated	Life-threatening consequences; organ failure or operative intervention requiring organ resection indicated	Death
ALSO CONSIDER: Obstruction, GU – <i>Select</i> .						
Urinary electrolyte wasting (e.g., Fanconi's syndrome, renal tubular acidosis)	Urinary electrolyte wasting	Asymptomatic, intervention not indicated	Mild, reversible and manageable with replacement	Irreversible, requiring continued replacement	—	—
ALSO CONSIDER: Acidosis (metabolic or respiratory); Bicarbonate, serum-low; Calcium, serum-low (hypocalcemia); Phosphate, serum-low (hypophosphatemia).						
Urinary frequency/urgency	Urinary frequency	Increase in frequency or nocturia up to 2 x normal; enuresis	Increase >2 x normal but <hourly	≥1 x/hr; urgency; catheter indicated	—	—
Urinary retention (including neurogenic bladder)	Urinary retention	Hesitancy or dribbling, no significant residual urine; retention occurring during the immediate postoperative period	Hesitancy requiring medication; or operative bladder atony requiring indwelling catheter beyond immediate postoperative period but for <6 weeks	More than daily catheterization indicated; urological intervention indicated (e.g., TURP, suprapubic tube, urethrotomy)	Life-threatening consequences; organ failure (e.g., bladder rupture); operative intervention requiring organ resection indicated	Death
REMARK: The etiology of retention (if known) is graded as Obstruction, GU – <i>Select</i> ; Stricture/stenosis (including anastomotic), GU – <i>Select</i> . ALSO CONSIDER: Obstruction, GU – <i>Select</i> ; Stricture/stenosis (including anastomotic), GU – <i>Select</i> .						
Urine color change	Urine color change	Present	—	—	—	—
REMARK: Urine color refers to change that is not related to other dietary or physiologic cause (e.g., bilirubin, concentrated urine, and hematuria).						
Renal/Genitourinary – Other (Specify, __)	Renal – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

SECONDARY MALIGNANCY

Page 1 of 1

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Secondary Malignancy – possibly related to cancer treatment (Specify, __)	Secondary Malignancy (possibly related to cancer treatment)	—	—	Non-life-threatening basal or squamous cell carcinoma of the skin	Solid tumor, leukemia or lymphoma	Death

REMARK: Secondary malignancy excludes metastasis from initial primary. Any malignancy possibly related to cancer treatment (including AML/MDS) should be reported via the routine reporting mechanisms outlined in each protocol. Important: Secondary Malignancy is an exception to NCI Expedited Adverse Event Reporting Guidelines. Secondary Malignancy is “Grade 4, present” but NCI does not require AdEERS Expedited Reporting for any (related or unrelated to treatment) Secondary Malignancy. A diagnosis of AML/MDS following treatment with an NCI-sponsored investigational agent is to be reported using the form available from the CTAP Web site at <http://ctep.cancer.gov>. Cancers not suspected of being treatment-related are not to be reported here.

SEXUAL/REPRODUCTIVE FUNCTION

Page 1 of 2

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Breast function/lactation	Breast function	Mammary abnormality, not functionally significant	Mammary abnormality, functionally significant	—	—	—
Breast nipple/areolar deformity	Nipple/areolar	Limited areolar asymmetry with no change in nipple/areolar projection	Asymmetry of nipple areolar complex with slight deviation in nipple projection	Marked deviation of nipple projection	—	—
Breast volume/hypoplasia	Breast	Minimal asymmetry; minimal hypoplasia	Asymmetry exists, $\leq 1/3$ of the breast volume; moderate hypoplasia	Asymmetry exists, $> 1/3$ of the breast volume; severe hypoplasia	—	—
REMARK: Breast volume is referenced with both arms straight overhead.						
NAVIGATION NOTE: Dysmenorrhea is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
NAVIGATION NOTE: Dyspareunia is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
NAVIGATION NOTE: Dysuria (painful urination) is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
Erectile dysfunction	Erectile dysfunction	Decrease in erectile function (frequency/rigidity of erections) but erectile aids not indicated	Decrease in erectile function (frequency/rigidity of erections), erectile aids indicated	Decrease in erectile function (frequency/rigidity of erections) but erectile aids not helpful; penile prosthesis indicated	—	—
Ejaculatory dysfunction	Ejaculatory dysfunction	Diminished ejaculation	Anejaculation or retrograde ejaculation	—	—	—
NAVIGATION NOTE: Feminization of male is graded in the ENDOCRINE CATEGORY.						
Gynecomastia	Gynecomastia	—	Asymptomatic breast enlargement	Symptomatic breast enlargement; intervention indicated	—	—
ALSO CONSIDER: Pain – <i>Select</i> .						
Infertility/sterility	Infertility/sterility	—	Male: oligospermia/low sperm count Female: diminished fertility/ovulation	Male: sterile/azoospermia Female: infertile/anovulatory	—	—
Irregular menses (change from baseline)	Irregular menses	1 – 3 months without menses	> 3 – 6 months without menses but continuing menstrual cycles	Persistent amenorrhea for > 6 months	—	—

SEXUAL/REPRODUCTIVE FUNCTION

Page 2 of 2

		Grade				
Adverse Event	Short Name	1	2	3	4	5
Libido	Libido	Decrease in interest but not affecting relationship; intervention not indicated	Decrease in interest and adversely affecting relationship; intervention indicated	—	—	—
NAVIGATION NOTE: Masculinization of female is graded in the ENDOCRINE CATEGORY.						
Orgasmic dysfunction	Orgasmic function	Transient decrease	Decrease in orgasmic response requiring intervention	Complete inability of orgasmic response; not responding to intervention	—	—
NAVIGATION NOTE: Pelvic pain is graded as Pain – <i>Select</i> in the PAIN CATEGORY.						
NAVIGATION NOTE: Ulcers of the labia or perineum are graded as Ulceration in DERMATOLOGY/SKIN CATEGORY.						
Vaginal discharge (non-infectious)	Vaginal discharge	Mild	Moderate to heavy; pad use indicated	—	—	—
Vaginal dryness	Vaginal dryness	Mild	Interfering with sexual function; dyspareunia; intervention indicated	—	—	—
ALSO CONSIDER: Pain – <i>Select</i> .						
Vaginal mucositis	Vaginal mucositis	Erythema of the mucosa; minimal symptoms	Patchy ulcerations; moderate symptoms or dyspareunia	Confluent ulcerations; bleeding with trauma; unable to tolerate vaginal exam, sexual intercourse or tampon placement	Tissue necrosis; significant spontaneous bleeding; life-threatening consequences	—
Vaginal stenosis/length	Vaginal stenosis	Vaginal narrowing and/or shortening not interfering with function	Vaginal narrowing and/or shortening interfering with function	Complete obliteration; not surgically correctable	—	—
Vaginitis (not due to infection)	Vaginitis	Mild, intervention not indicated	Moderate, intervention indicated	Severe, not relieved with treatment; ulceration, but operative intervention not indicated	Ulceration and operative intervention indicated	—
Sexual/Reproductive Function – Other (Specify, __)	Sexual – Other (Specify)	Mild	Moderate	Severe	Disabling	Death

SURGERY/INTRA-OPERATIVE INJURY

Page 1 of 2

		Grade				
Adverse Event	Short Name	1	2	3	4	5
NAVIGATION NOTE: Intra-operative hemorrhage is graded as Hemorrhage/bleeding associated with surgery, intra-operative or postoperative in the HEMORRHAGE/BLEEDING CATEGORY.						
Intra-operative injury – <i>Select Organ or Structure</i> <i>'Select'</i> AEs appear at the end of the CATEGORY.	Intraop injury – <i>Select</i>	Primary repair of injured organ/structure indicated	Partial resection of injured organ/structure indicated	Complete resection or reconstruction of injured organ/structure indicated	Life threatening consequences; disabling	—
REMARK: The <i>'Select'</i> AEs are defined as significant, unanticipated injuries that are recognized at the time of surgery. These AEs do not refer to additional surgical procedures that must be performed because of a change in the operative plan based on intra-operative findings. Any sequelae resulting from the intra-operative injury that result in an adverse outcome for the patient must also be recorded and graded under the relevant CTCAE Term.						
Intra-operative Injury – Other (Specify, __)	Intraop Injury – Other (Specify)	Primary repair of injured organ/structure indicated	Partial resection of injured organ/structure indicated	Complete resection or reconstruction of injured organ/structure indicated	Life threatening consequences; disabling	—
REMARK: Intra-operative Injury – Other (Specify, __) is to be used only to report an organ/structure not included in the <i>'Select'</i> AEs found at the end of the CATEGORY. Any sequelae resulting from the intra-operative injury that result in an adverse outcome for the patient must also be recorded and graded under the relevant CTCAE Term.						

SURGERY/INTRA-OPERATIVE INJURY – SELECT

Page 2 of 2

AUDITORY/EAR	ENDOCRINE <i>(continued)</i>	GASTROINTESTINAL <i>(continued)</i>	NEUROLOGY <i>(continued)</i>	PULMONARY/UPPER
– Inner ear	– Thyroid	– Stoma (GI)	NERVES:	RESPIRATORY
– Middle ear		– Stomach	– CN V (trigeminal) motor	– Bronchus
– Outer ear NOS	HEAD AND NECK	HEPATOBIILIARY/ PANCREAS	– CN V (trigeminal) sensory	– Lung
– Outer ear-Pinna	– Gingiva	– Biliary tree-common bile duct	– CN VI (abducens)	– Mediastinum
CARDIOVASCULAR	– Larynx	– Biliary tree-common hepatic duct	– CN VII (facial) motor-face	– Pleura
– Artery-aorta	– Lip/perioral area	– Biliary tree-left hepatic duct	– CN VII (facial) sensory-taste	– Thoracic duct
– Artery-carotid	– Face NOS	– Biliary tree-right hepatic duct	– CN VIII (vestibulocochlear)	– Trachea
– Artery-cerebral	– Nasal cavity	– Biliary tree NOS	– CN IX (glossopharyngeal) motor pharynx	– Upper airway NOS
– Artery-extremity (lower)	– Nasopharynx	– Gallbladder	– CN IX (glossopharyngeal) sensory ear-pharynx-tongue	RENAL/GENITOURINARY
– Artery-extremity (upper)	– Neck NOS	– Liver	– CN X (vagus)	– Bladder
– Artery-hepatic	– Nose	– Pancreas	– CN XI (spinal accessory)	– Cervix
– Artery-major visceral artery	– Oral cavity NOS	– Pancreatic duct	– CN XII (hypoglossal)	– Fallopian tube
– Artery-pulmonary	– Parotid gland	MUSCULOSKELETAL	– Cranial nerve or branch NOS	– Kidney
– Artery NOS	– Pharynx	– Bone	– Lingual	– Ovary
– Heart	– Salivary duct	– Cartilage	– Lung thoracic	– Pelvis NOS
– Spleen	– Salivary gland	– Extremity-lower	– Peripheral motor NOS	– Penis
– Vein-extremity (lower)	– Sinus	– Extremity-upper	– Peripheral sensory NOS	– Prostate
– Vein-extremity (upper)	– Teeth	– Joint	– Recurrent laryngeal	– Scrotum
– Vein-hepatic	– Tongue	– Ligament	– Sacral plexus	– Testis
– Vein-inferior vena cava	– Upper aerodigestive NOS	– Muscle	– Sciatic	– Ureter
– Vein-jugular	GASTROINTESTINAL	– Soft tissue NOS	– Thoracodorsal	– Urethra
– Vein-major visceral vein	– Abdomen NOS	– Tendon	OCULAR	– Urinary conduit
– Vein-portal vein	– Anal sphincter	NEUROLOGY	– Conjunctiva	– Urinary tract NOS
– Vein-pulmonary	– Anus	– Brain	– Cornea	– Uterus
– Vein-superior vena cava	– Appendix	– Meninges	– Eye NOS	– Vagina
– Vein NOS	– Cecum	– Spinal cord	– Lens	– Vulva
DERMATOLOGY/SKIN	– Colon	NERVES:	– Retina	
– Breast	– Duodenum	– Brachial plexus		
– Nails	– Esophagus	– CN I (olfactory)		
– Skin	– Ileum	– CN II (optic)		
ENDOCRINE	– Jejunum	– CN III (oculomotor)		
– Adrenal gland	– Oral	– CN IV (trochlear)		
– Parathyroid	– Peritoneal cavity			
– Pituitary	– Rectum			
	– Small bowel NOS			

SYNDROMES

Page 1 of 2

		Grade				
Adverse Event	Short Name	1	2	3	4	5
NAVIGATION NOTE: Acute vascular leak syndrome is graded in the VASCULAR CATEGORY.						
NAVIGATION NOTE: Adrenal insufficiency is graded in the ENDOCRINE CATEGORY.						
NAVIGATION NOTE: Adult Respiratory Distress Syndrome (ARDS) is graded in the PULMONARY/UPPER RESPIRATORY CATEGORY.						
Alcohol intolerance syndrome (antabuse-like syndrome)	Alcohol intolerance syndrome	—	—	Present	—	Death
REMARK: An antabuse-like syndrome occurs with some new anti-androgens (e.g., nilutamide) when patient also consumes alcohol.						
NAVIGATION NOTE: Autoimmune reaction is graded as Autoimmune reaction/hypersensitivity (including drug fever) in the ALLERGY/IMMUNOLOGY CATEGORY.						
Cytokine release syndrome/acute infusion reaction	Cytokine release syndrome	Mild reaction; infusion interruption not indicated; intervention not indicated	Requires therapy or infusion interruption but responds promptly to symptomatic treatment (e.g., antihistamines, NSAIDS, narcotics, IV fluids); prophylactic medications indicated for ≤24 hrs	Prolonged (i.e., not rapidly responsive to symptomatic medication and/or brief interruption of infusion); recurrence of symptoms following initial improvement; hospitalization indicated for other clinical sequelae (e.g., renal impairment, pulmonary infiltrates)	Life-threatening; pressor or ventilatory support indicated	Death
REMARK: Cytokine release syndromes/acute infusion reactions are different from Allergic/hypersensitive reactions, although some of the manifestations are common to both AEs. An acute infusion reaction may occur with an agent that causes cytokine release (e.g., monoclonal antibodies or other biological agents). Signs and symptoms usually develop during or shortly after drug infusion and generally resolve completely within 24 hrs of completion of infusion. Signs/symptoms may include: Allergic reaction/hypersensitivity (including drug fever); Arthralgia (joint pain); Bronchospasm; Cough; Dizziness; Dyspnea (shortness of breath); Fatigue (asthenia, lethargy, malaise); Headache; Hypertension; Hypotension; Myalgia (muscle pain); Nausea; Pruritis/itching; Rash/desquamation; Rigors/chills; Sweating (diaphoresis); Tachycardia; Tumor pain (onset or exacerbation of tumor pain due to treatment); Urticaria (hives, welts, wheals); Vomiting.						
ALSO CONSIDER: Allergic reaction/hypersensitivity (including drug fever); Bronchospasm, wheezing; Dyspnea (shortness of breath); Hypertension; Hypotension; Hypoxia; Prolonged QTc interval; Supraventricular and nodal arrhythmia – <i>Select</i> ; Ventricular arrhythmia – <i>Select</i> .						
NAVIGATION NOTE: Disseminated intravascular coagulation (DIC) is graded in the COAGULATION CATEGORY.						
NAVIGATION NOTE: Fanconi's syndrome is graded as Urinary electrolyte wasting (e.g., Fanconi's syndrome, renal tubular acidosis) in the RENAL/GENITOURINARY CATEGORY.						
Flu-like syndrome	Flu-like syndrome	Symptoms present but not interfering with function	Moderate or causing difficulty performing some ADL	Severe symptoms interfering with ADL	Disabling	Death
REMARK: Flu-like syndrome represents a constellation of symptoms which may include cough with catarrhal symptoms, fever, headache, malaise, myalgia, prostration, and is to be used when the symptoms occur in a cluster consistent with one single pathophysiological process.						
NAVIGATION NOTE: Renal tubular acidosis is graded as Urinary electrolyte wasting (e.g., Fanconi's syndrome, renal tubular acidosis) in the RENAL/GENITOURINARY CATEGORY.						

SYNDROMES

Page 2 of 2

		Grade				
Adverse Event	Short Name	1	2	3	4	5
"Retinoic acid syndrome"	"Retinoic acid syndrome"	Fluid retention; less than 3 kg of weight gain; intervention with fluid restriction and/or diuretics indicated	Mild to moderate signs/symptoms; steroids indicated	Severe signs/symptoms; hospitalization indicated	Life-threatening; ventilatory support indicated	Death
REMARK: Patients with acute promyelocytic leukemia may experience a syndrome similar to "retinoic acid syndrome" in association with other agents such as arsenic trioxide. The syndrome is usually manifested by otherwise unexplained fever, weight gain, respiratory distress, pulmonary infiltrates and/or pleural effusion, with or without leukocytosis. ALSO CONSIDER: Acute vascular leak syndrome; Pleural effusion (non-malignant); Pneumonitis/pulmonary infiltrates.						
NAVIGATION NOTE: SIADH is graded as Neuroendocrine: ADH secretion abnormality (e.g., SIADH or low ADH) in the ENDOCRINE CATEGORY.						
NAVIGATION NOTE: Stevens-Johnson syndrome is graded as Rash: erythema multiforme (e.g., Stevens-Johnson syndrome, toxic epidermal necrolysis) in the DERMATOLOGY/SKIN CATEGORY.						
NAVIGATION NOTE: Thrombotic microangiopathy is graded as Thrombotic microangiopathy (e.g., thrombotic thrombocytopenic purpura [TTP] or hemolytic uremic syndrome [HUS]) in the COAGULATION CATEGORY.						
Tumor flare	Tumor flare	Mild pain not interfering with function	Moderate pain; pain or analgesics interfering with function, but not interfering with ADL	Severe pain; pain or analgesics interfering with function and interfering with ADL	Disabling	Death
REMARK: Tumor flare is characterized by a constellation of signs and symptoms in direct relation to initiation of therapy (e.g., anti-estrogens/androgens or additional hormones). The symptoms/signs include tumor pain, inflammation of visible tumor, hypercalcemia, diffuse bone pain, and other electrolyte disturbances. ALSO CONSIDER: Calcium, serum-high (hypercalcemia).						
Tumor lysis syndrome	Tumor lysis syndrome	—	—	Present	—	Death
ALSO CONSIDER: Creatinine; Potassium, serum-high (hyperkalemia).						
Syndromes – Other (Specify, __)	Syndromes – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Acute vascular leak syndrome	Acute vascular leak syndrome	—	Symptomatic, fluid support not indicated	Respiratory compromise or fluids indicated	Life-threatening; pressor support or ventilatory support indicated	Death
Peripheral arterial ischemia	Peripheral arterial ischemia	—	Brief (<24 hrs) episode of ischemia managed non-surgically and without permanent deficit	Recurring or prolonged (≥24 hrs) and/or invasive intervention indicated	Life-threatening, disabling and/or associated with end organ damage (e.g., limb loss)	Death
Phlebitis (including superficial thrombosis)	Phlebitis	—	Present	—	—	—
ALSO CONSIDER: Injection site reaction/extravasation changes.						
Portal vein flow	Portal flow	—	Decreased portal vein flow	Reversal/retrograde portal vein flow	—	—
Thrombosis/embolism (vascular access-related)	Thrombosis/embolism (vascular access)	—	Deep vein thrombosis or cardiac thrombosis; intervention (e.g., anticoagulation, lysis, filter, invasive procedure) not indicated	Deep vein thrombosis or cardiac thrombosis; intervention (e.g., anticoagulation, lysis, filter, invasive procedure) indicated	Embolic event including pulmonary embolism or life-threatening thrombus	Death
Thrombosis/thrombus/embolism	Thrombosis/thrombus/embolism	—	Deep vein thrombosis or cardiac thrombosis; intervention (e.g., anticoagulation, lysis, filter, invasive procedure) not indicated	Deep vein thrombosis or cardiac thrombosis; intervention (e.g., anticoagulation, lysis, filter, invasive procedure) indicated	Embolic event including pulmonary embolism or life-threatening thrombus	Death
Vessel injury-artery – <i>Select</i> : – Aorta – Carotid – Extremity-lower – Extremity-upper – Other NOS – Visceral	Artery injury – <i>Select</i>	Asymptomatic diagnostic finding; intervention not indicated	Symptomatic (e.g., claudication); not interfering with ADL; repair or revision not indicated	Symptomatic interfering with ADL; repair or revision indicated	Life-threatening; disabling; evidence of end organ damage (e.g., stroke, MI, organ or limb loss)	Death
NAVIGATION NOTE: Vessel injury to an artery intra-operatively is graded as Intra-operative injury – <i>Select Organ or Structure</i> in the SURGERY/INTRA-OPERATIVE INJURY CATEGORY.						

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		Grade				
Adverse Event	Short Name	1	2	3	4	5
Vessel injury-vein – <i>Select</i> : – Extremity-lower – Extremity-upper – IVC – Jugular – Other NOS – SVC – Viscera	Vein injury – <i>Select</i>	Asymptomatic diagnostic finding; intervention not indicated	Symptomatic (e.g., claudication); not interfering with ADL; repair or revision not indicated	Symptomatic interfering with ADL; repair or revision indicated	Life-threatening; disabling; evidence of end organ damage	Death
NAVIGATION NOTE: Vessel injury to a vein intra-operatively is graded as Intra-operative injury – <i>Select Organ or Structure</i> in the SURGERY/INTRA-OPERATIVE INJURY CATEGORY.						
Visceral arterial ischemia (non-myocardial)	Visceral arterial ischemia	—	Brief (<24 hrs) episode of ischemia managed medically and without permanent deficit	Prolonged (≥24 hrs) or recurring symptoms and/or invasive intervention indicated	Life-threatening; disabling; evidence of end organ damage	Death
ALSO CONSIDER: CNS cerebrovascular ischemia.						
Vascular – Other (Specify, __)	Vascular – Other (Specify)	Mild	Moderate	Severe	Life-threatening; disabling	Death