# Emotion and Theme Recognition in Music Using Attention-Based Methods

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#### ABSTRACT

Emotion and theme recognition in music plays a vital role in music information retrieval and recommendation systems. Deep learning based techniques have shown great promise in this regard. Realising optimal network con gurations with least number of FLOPS and model parameters is of paramount importance to obtain e cient deployable models, especially for resource constrained hardware. Yet, not much research has happened in this direction especially in the context of music emotion recognition. As part of the MediaEval 2020: Emotions and Themes in Music challenge, we (team name: AUGment), propose novel integration of attention based techniques for the task of emotion/mood recognition in music. We demonstrate that using stand-alone self-attention in the later layers of a VGG-ish network, matches the baseline PR-AUC with 11 % fewer FLOPS and 22 % fewer parameters. Further, utilising the learnable Attentionbased Recti ed Linear Unit (AReLU) activation helps to achieve better performance than the baseline. As an additional gain, a late fusion of these two models with the baseline also improved the PR-AUC and ROC-AUC by 1%.

#### 1 INTRODUCTION

Automatic detection of mood/theme of music is a challenging and widely researched topic that aids in music tagging and recommendation systems. This involves acoustic feature extraction followed by single or multi-label classi cation. Conventional approaches used hand-crafted audio features representing physical or perceived aspects of sound as input to machine learning algorithms [\[14,](#page--1-0) [18,](#page--1-1) [21\]](#page--1-2). Contemporary methods make use of Deep Neural Networks (DNNs) with hand-crafted or automatically learnt features from audio [\[1,](#page--1-3) [10,](#page--1-4) [12,](#page--1-5) [13,](#page--1-6) [24\]](#page--1-7).

Attention based mechanisms have shown great promise and achieved state-of-the-art results in several tasks such as Natu-ral language processing (NLP) [\[23\]](#page--1-8), image classi cation and segmentation [\[15\]](#page--1-9), computer vision [\[22\]](#page--1-10), as well as speech analysis  $[5, 9, 17, 26, 28]$  $[5, 9, 17, 26, 28]$  $[5, 9, 17, 26, 28]$  $[5, 9, 17, 26, 28]$  $[5, 9, 17, 26, 28]$  $[5, 9, 17, 26, 28]$  $[5, 9, 17, 26, 28]$  $[5, 9, 17, 26, 28]$  $[5, 9, 17, 26, 28]$ . The eectiveness of these mechanisms in the task of music mood/emotion recognition, however, is less explored. We perform an investigation of the e ectiveness of di erent attention based techniques for multi-label music mood classi cation.

## 2 EXPERIMENTAL SETUP

The data used in the MediaEval 2020 task is a subset of the MTG-Jamendo dataset [\[4\]](#page--1-16). The subset used in the MediaEval 2020 task

[\[3\]](#page--1-17) includes 18 486 full-length audio tracks of varying length with mood and theme annotations.The dataset comprises of 56 distinct mood/themes tags. All tracks have at least one tag, but many have more than one making it a multi-label classi cation task.

The Mel-spectrogram is a widely used feature for audio related tasks such as boundary detection, tagging [\[11\]](#page--1-18), and latent feature learning. It is also shown to be an e ective time-frequency representation of audio for the task of automatic music tagging [\[8\]](#page--1-19). Using Mel-spectrogram as the input enables the use of image classication networks like Convolution Neural Networks (CNN) or Residual Neural Networks (ResNets). CNNs, including their variants like Visual Geometry Group (VGG) networks, have been successfully used for image recognition [\[25,](#page--1-20) [29\]](#page--1-21), object detection [\[16,](#page--1-22) [20\]](#page--1-23), and image segmentation [\[7\]](#page--1-24). VGG-like architectures that comprise of a stack of convolution layer followed by a fully connected layer are further shown to be well-suited for the task of music tagging [\[3\]](#page--1-17).

We consider the rst 1 400 time bins of the Mel-spectrogram of each track as input, since the central theme or mood is usually established in the opening of a track. This approach, as opposed to taking time bins from the center of the track or using random chunks, additionally ensures that the input is guaranteed to have non-silent segments. Optionally, trimming silence from the start would make it even more robust on tracks that potentially could have delayed onset. A VGG-ish architecture [\[8\]](#page--1-19) with ve 2D convolutional layers followed by a dense connection is used as the baseline for our experiments. We determine the e ectiveness of various attention mechanisms on this baseline for the task of music mood/theme detection<sup>[1](#page-0-0)</sup>. Training is done for a maximum of 100 epochs with early stopping if the validation ROC-AUC does not increase for over 35 epochs.

### 3 METHODS

#### 3.1 Stand-alone self-attention

Self-attention is attention applied to a single context instead of across multiple contexts (i. e., the query, keys, and values are extracted from the same context). Stand-alone self-attention replaces spatial convolutions with a form of self-attention rather than using attention as an augmentation on top of convolutions. Stand-alone self-attention especially in later layers of a network is shown to outperform the baseline on image classi cation with far fewer oating point operations per second (FLOPS) and parameters [\[19\]](#page--1-25). We experiment using stand-alone self-attention in later layers of the baseline VGG-ish network.

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<span id="page-0-0"></span><sup>&</sup>lt;sup>1</sup>The source code is published at https://github.com/SrividyaTR/MediaEval2020-EmotionAndThemeInMusic

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No.	Model	<b>GFLOPS</b>	#Parameters ROC-AUC PR-AUC		
	VGG-ish baseline	3.32	448122	.725	.107
2	Self-attention in Layer3	2.94	350074	.716	.108
3	Self-attention in Layer4	3.28	350074	.723	.108
4	Self-attention in Layer5	3.32	399098	.716	.101
-5	AReLU activation in baseline	3.32	448132	.728	.107
6	Late fusion of models 2 and 5			.732	.114
	Late fusion of models 1, 2 and 5			.735	.118

Table 1: Results on MediaEval2020 test set. Using self-attention in Layer3 matches the baseline PR-AUC with 11 % fewer FLOPS and 22 % fewer parameters

#### 3.2 Attention-based Rectified Linear Unit

The Attention-based Rectified Linear Unit (AReLU) is a learnable activation function [\[6\]](#page-2-0). It exploits an element-wise attention mechanism and amplies positive elements and suppresses negative ones through learnt, data-adaptive parameters. The network training is more resistant to gradient vanishing as the attention module within AReLU learns element-wise residues of the activated part of the input. With only two extra learnable parameters (alpha and beta) per layer, AReLU enables fast network training under small learning rates. We experiment using AReLU activation in all of the 5 layers of the baseline VGG-ish network and observe improved performance.

#### 3.3 Fusion Experiments

We perform late fusion experiments by averaging the prediction scores of our di erent models for the test partition. By a fusion of the prediction scores from the stand-alone self-attention based model, AReLU-activation based model, and the baseline, we further improve the performance as compared to the baseline.

#### 4 SUBMISSIONS AND RESULTS

Figure [1](#page-1-0) provides an overview of our approach and the di erent attention mechanisms that we utilise for the task of emotion and theme recognition in music. Overall, we submitted 4 models to the challenge. The rst model is based on self-attention in Layer3 of the VGG-ish baseline and the second is based on using AReLU activation in all the 5 convolution layers of the baseline. The next 2 submissions are a late-fusion of these 2 models and with the baseline.

Table [1](#page-1-1) summarises the results of our experiments. Using standalone self-attention instead of 2D convolution in Layer3 of the VGG-ish network resulted in a PR-AUC comparable to the baseline with 11% fewer FLOPS and 22% fewer parameters. Using AReLU activation in all of the 5 layers of the VGG-ish network improved the ROC-AUC as compared to the baseline. A late fusion of these 2 model's prediction resulted in about 1 % increase in both PR-AUC and ROC-AUC . A fusion of our model with the baseline model helped in further improving the performance.

We experimented using self-attention in other convolution layers of the baseline VGG-ish network, but the best performance with least trainable parameters was noted when using self-attention in Layer3. Using self-attention in Layer4 also gave comparable

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Figure 1: Overview of our different Attention-based approaches for Emotion and Theme Recognition in Music

performance though with 1.2 % fewer FLOPS and 22 % fewer parameters. Further, when using self-attention in initial layers (Layer1 or Layer2), the amount of memory required to hold the activations was signi cantly large, leading to the observation that it works best on down-sampled input. We also observed that using a batch-size of 16 and learning rate of 0.0001 helped in faster convergence to the best model. The best model was learnt within 25 epochs in all our experiments.

### 5 DISCUSSION AND OUTLOOK

We demonstrated the e ectiveness of a self-attention-based VGGlike network for multi-label emotion and theme recognition in music. This network's computational e ciency is particularly relevant when executing the model inference on a mobile device or other resource constrained computing hardware. We also established the performance bene ts of using AReLU activation for this task. A potential future work is to evaluate the e ectiveness of incorporating AReLU activation within a self-attention based VGG-like network instead of performing a late fusion. One should evaluate the e ectiveness of other attention-based techniques like attention augmented convolution [\[2\]](#page-2-1) for this task. Data Augmentation using  $mix-up$  [\[27\]](#page-2-2) could also be evaluated to analyse the impact on performance.

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