

check that I have all these

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## **SORTING NUMBERS FOR CYLINDERS AND OTHER CLASSIFICATION NUMBERS**

(2)

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167-176

0. Introduction. Set partitions (corresponding to equivalence relations) are in

[REDACTED]

and values (eg of Stirling numbers)

MN  
LN

$L^N$  (in-valence  $\leq 1$  on  $L$ ) the set of injections (ends in  $L$ ),

$L^N$  the set of bijections,  
 $M! = M^M$  the set of permutations of  $M$ .

To analogize to  $|MN| = mn$   $|L^N| = l^n$   $|M!| = m!$  we define

But this doesn't change the numbers

$$l^n_{>} = |L^N_{>}|, \quad l^n_{<} = |L^N_{<}| = \binom{l}{n} n!,$$

and similarly in the sequel. Since

$$l^n_{>} = 0 \quad \text{for } l > n \quad \text{and} \quad l^n_{<} = 0 \quad \text{for } n > l,$$

the sums

$$\sum_l l^n_{>} \quad \text{and} \quad \sum_{n=0}^l l^n_{<}$$

are finite; such sums will be abbreviated to

$$\sum_l^n \quad \text{and} \quad l^n_{<},$$

and their largest terms to

$$\max_l^n \quad \text{and} \quad l^n_{<}^{\max} = l!.$$

Deemphasizing the individuality of  $N$ , the sets  $L^N$ ,  $L^N_{<}$ ,  $L^N_{>}$ ,  $L^N_{=}$  can be regarded as

By substituting  $\bar{L}_s$  for  $L_s$  in the definition of  $L_s$ , the set  $L_s$  becomes

$$= \text{Bell}(x)$$

$f_2' = f_2 n!$  follows

mispunt

*[Faint handwritten notes at the top of the page, possibly bleed-through from the reverse side.]*

whenever  $n > 1$  not every 1-level class has only one element. The

number of proper setwise classifications of  $N$  is finite and will be denoted by  $h_n$ .

**4. Sortings of a product.** Among numbers connected with mappings from a product  $MN$  we mention

$$(1) \quad !l^{lm \cdot n}, \quad !l^{cy m \cdot n}, \quad !l^{lm \cdot n}, \quad !l^{ex m \cdot n}.$$

For  $l \geq mn$  they are independent of  $l$  and can be denoted by

$$!m \cdot n, \quad !cy m \cdot n, \quad !m \cdot n, \quad !ex m \cdot n.$$

Some of these letter combinatorial functions arise in the study of the number of

identities in semigroups.

For  $m=1$  the numbers in (1) become  $!l^n$ . For  $m=2$  still  $M! = M_{cy}$ , and

$$!l^{2 \cdot n} = 1(!l^{2n} + !l^{2 \cdot n}).$$

$$!(n+1)+ = \sum_0^n \binom{n}{\nu} (\nu+1)! \, !(n-\nu)+$$

delete?

It can be shown that if an umbral series  $w$  generates the numbers of classifications of a certain level, then those of the next higher level are generated by  $e^{w-1}$  if the new classifications consist of sets of old ones, and by  $1/(2-w)$  if they consist of

lists (add)

7. Values. The relative size of numbers of lists or sets is apparent from the following table where packing is, but covering is not assumed.

elements	$n$	0	1	2	3	4	5	For further values or references see
$n_{\leq}^{1\sum}$ (from (2))		1	2	5	16	65	326	[11]
$n_{\leq}^{1\max} = n!$		1	1	2	6	24	120	[1, pp. 272-273]; [11]
$n_{\leq}^{1\sum} = 2^n$		1	2	4	8	16	32	see below
$n_{\leq}^{1\max} = \binom{n}{\lfloor n/2 \rfloor}$		1	1	2	3	6	10	see below

For level 2: numbers of lists (or sets) of lists (or sets or numbers) we reassume covering, and obtain by inspection (i.e., without machines) and use of recurrences

$n$	0	1	2	3	4	5	6	7	8	9	For further values or references see
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greatest S. II

greatest S. II

date

partition

why did I have this

#100.5!  
Add it!

old

where  $\nu \log \nu = n$ . A better approximation seems to be

$$\mu_n = \nu^n e^{\nu-n-1} \left( \frac{n}{\nu} + 1 \right)^{-1/2} \left( 1 - \frac{n(2n^2 + 7n\nu + 10\nu^2)}{24\nu(n+\nu)^3} \right),$$

old

given in [8] without error term or indication of the way in which it might be

superior to other asymptotic expressions. Setting  $!^n = \mu_n(1 - \lambda_n/n)$  we find

$$\begin{aligned} \lambda_{50} &= .0015 \dots, \\ \lambda_{100} &= .0008 \dots, \\ \lambda_{150} &= .0006 \dots, \\ \lambda_{200} &= .0004 \dots \end{aligned}$$

\*\*\* By [7], p. 413 last line (at whose end an exponent 1/2 should be appended) and p. 412, line 17 (both only with hints to proofs).  $!^{\max}$  is asymptotic to

old

$$\exp(((\log \nu)^2 - \log \nu + 1)\nu - \frac{1}{2}\log \nu - 1 - \frac{1}{2}\log 2\pi) = \nu^{n-1/2} e^{\nu-n-1} / \sqrt{2\pi}$$

Similarly we obtain these numbers of certain sets of subsets of direct products:

$n$	0	1	2	3	4	5	6	7	8	9
$\{!^{1..n}$	1	2	6	22	94	454	2430	14214	89918	
$\{!^{\max_{1..n}}$	1	2	4	12	48	200	1040	5600	33600	
$\{!^{12..n}$	1	2	7	31	164	999	6841	51790	428131	3929021

of degree  $n$  and with constant coefficients [6]. A combinatorial proof of (9) is the,

by far simplest, case  $t=1$  of the proof of (10).

From (9) follows, first letting  $n=0$ , then using (4) for  $n=p-1$ ,

$$!^p_p = 2, \quad \sum_{k=2}^{p-1} (-1)^k !^k_p = 2.$$

The recurrence (9) holds also for  $\alpha^n$  if  $\alpha^p = \alpha + 1$ . In the field  $\text{GF}(p^p)$ , the characteristic polynomial  $g(x) = x^p - x - 1$  has  $p$  roots  $\alpha_k = \alpha_p + k$ , no proper subset of which has its sum in the prime field  $\text{GF}(p)$ , over which  $g(x)$  is therefore irre-

ducible. The  $\alpha_k^n$  are the  $p$  fundamental solutions of (9); the linear combination that represents  $!^n$  can be shown to be

$$!^n_p = \sum_{k=0}^{p-1} f(\alpha_k) \alpha_k^n, \quad f(x) = x^p - \sum_{j=0}^{p-1} !^j x^j.$$

Since  $!^j_p$  have  $p'-1$  where

$$p' = 1 + p + \cdots + p^{p-1} = (p^p - 1)/(p - 1);$$

hence

$$!^{n+p'}_p = !^n_p.$$

It is unknown where  $!^n \bmod p$  can have a smaller period. The prime decompositions of the first values of  $p'$  are, according to J. L. Selfridge,

$$\begin{array}{ll} 2 & 3 \\ 3 & 13 \\ 5 & 11 \cdot 71 \end{array}$$



belong to the same class in  $\sigma$  (case I) or they belong to  $p$  different classes (case II); the latter contain no element of  $N$  nor any case I-element. Finally it is easily seen that the number of sortings of  $N + P^t$  for which exactly  $j$  of the  $p$ -sets (11) belong to case I ( $j=0, \dots, p^{t-1}$ ) is

$$s_{p^{t-1}-j}(p) \binom{p^{t-1}}{j} !^{n+j}. \quad \text{This proves (10)}$$

For  $j \neq_p 0$  we have  $\binom{p^{t-1}}{j} =_{p^{t-1}} 0$ , hence  $s_{p^{t-1}-j}(p)$  in (10) can then be replaced by its constant coefficient 1. For  $j =_p 0, \neq_{p^2} 0$  we have  $\binom{p^{t-1}}{j} =_{p^{t-2}} 0$ , but

$$s_{p^{t-1}-j}(p) = \binom{p^{t-1}-j}{p} + 1 = 1 + 0^{p-2} \cdot 2 \quad (\text{unless } p=t=j=2).$$

where  $0^0 = 1$ ; thus  $s_{p^{t-1}-j}(p)$  in (10) can be replaced by  $1 + 0^{p-2} \cdot 2$ . There follows in particular

$$!^{n+p^2}_{p^2} = \sum_0^p \binom{p}{j} !^{n+j} + 0^{p-2} \cdot 2 !^n.$$

For  $p > 2$  this can be written

$$!^{n+p^2}_{p^2} = (1 + !^{n+1})^p.$$

A more detailed analysis shows that for every  $t \geq 1$  and prime  $p > 2$  we have

$$(12) \quad !^{n+p^t}_{p^t} = (1 + !^{n+1})^{p^{t-1}}.$$

Setting  $n=0$  we obtain

$$!^{p^t}_{p^t} = !^{p^{t-1}+1}.$$

The characteristic polynomial of the recurrence (10) is

$$x^{p^t} - \sum s_{p^{t-1}-j}(p) \binom{p^{t-1}}{j} x^j.$$

For  $p \neq 2$  we can replace it by

$$x^{p^t} - (x+1)^{p^{t-1}}.$$

For  $t=2, p=2$  the characteristic polynomial is  $x^4 - x^2 - 2x - 3$ .

A similar (and simpler) proof than that of (10) shows that

$$!^{2 \cdot 2 \cdot (n+p)}_p = (2 - 0^{p-2}) !^{2 \cdot 2 \cdot n} + !^{2 \cdot 2 \cdot (n+1)}.$$

It follows from the fact that the highest and lowest coefficients of the recurrence

(10) are  $\neq_p 0$  that  $!^n$  is periodic, without preperiod, for  $p^t$  and hence for every modulus  $m$ , and that the period is  $\leq m^\mu$ , where  $\mu$  is the largest prime power dividing  $m$ . The periodicity, with possible preperiods, follows also from (4') and Fujiwara's

$$F(z, w, w', \dots, w^{(d)}) = 0$$

with integer coefficients for which

$$\frac{\partial F}{\partial w^{(d)}}(0, a_0, a_1, \dots, a_d) = 1.$$