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Zinc for the prevention and treatment of SARS-CoV-2 and other acute viral respiratory infections: a rapid review

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ABSTRACT

Background: The global COVID-19 pandemic has prompted an urgent search for interventions to prevent and treat SARS-CoV-2. Higher risk of infection and adverse outcomes coincide with populations with chronic diseases and elderly who are at risk of zinc deficiency. Through several mechanisms zinc may prevent, reduce severity and duration of symptoms.

Method: An *a priori* protocol was registered with PROSPERO on 27th April 2020 (CRD42020182044). Eight databases (one Chinese) and four clinical trial registries (one Chinese) were searched for randomised and quasi-randomised controlled trials (RCTs), evaluating single or adjunct zinc against placebo or active controls, for prevention and/or treatment of SARS-CoV-2, other coronaviruses or related infections. RR constraints included not searching bibliographies or contacting authors, single reviewers with calibration and second reviewer checking, meta-analyses and quality appraisal of critical and study primary outcomes only and reporting results as they became available.

Results: 118 publications of 1,627 records met the inclusion criteria (35 Chinese and 83 English publications), 32 for prevention, 78 for treatment and 8 for both. Four RCTs specific to SARS-CoV-2 are ongoing; two are investigating zinc for prevention and two for treatment. As of 7 July 2020, no results were available. A wide range of zinc forms, including nasal spray/gel, lozenges, liquid, tablets and intramuscular were investigated.

Conclusion: Currently, indirect evidence suggests zinc may potentially reduce the risk, duration and severity of SARS-CoV-2 infections, particularly for populations at risk of zinc deficiency including people with chronic disease co-morbidities and older adults. Direct evidence to determine if zinc is effective for either prevention or treatment of SARS-CoV-2 is pending. In the interim, assessing zinc status of people with chronic diseases and older adults, as part of a SARS-CoV-2 clinical work-up, is reasonable as both groups have a higher risk of zinc deficiency/insufficiency and poorer outcomes from SARS-CoV-2.

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1. Brief overview

As of 9 June 2020, indirect evidence from other types of viral respiratory infections suggests that zinc may potentially reduce the risk, duration and severity of SARS-CoV-2 infections; particularly for populations at risk of zinc deficiency. Notably, people with chronic disease co-morbidities and older adults are at

risk of lower zinc status. Pending the results of SARS-CoV-2 clinical trials, clinicians might consider assessing zinc status as part of a SARS-CoV-2 clinical work-up to determine if short-term zinc supplementation, either orally or intravenously is indicated for those with low or borderline low results, low dietary intake and/or increased needs.

2. Verdict

Zinc may potentially reduce the risk of SARS-CoV-2 infections and shorten the duration and severity of illness, including recovery

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from stroke, through several mechanisms. Indirect evidence from systematic reviews have found zinc supplementation is effective for the prevention of acute respiratory infections in young children and zinc lozenges may reduce the duration of the common cold in adults. Safety concerns associated with high doses or prolonged intake of zinc include anosmia (loss of smell) and copper deficiency.

As of the 9 June 2020, the preliminary findings of a rapid review of zinc for the prevention or treatment of SARS-CoV-2 and other viral respiratory tract infections included 122 randomised controlled trials (87 were published in English and 35 in Chinese). Only four were specific to SARS-CoV-2, and all are ongoing. Other ongoing SARS-CoV-2 trials are investigating the potential role of zinc as an agonist (additive) to hydroxychloroquine against placebo controls, or in combination with other nutraceuticals, most commonly Vitamin C and D. No other direct evidence pertaining to SARS-CoV-2 nor other coronavirus infections was identified. A detailed analysis of the indirect evidence, including meta-analyses, is underway.

Pending any definitive evidence, clinicians might consider assessing the zinc status of people with chronic disease co-morbidities and older adults as part of a SARS-CoV-2 clinical work-up, as both groups have a higher risk of zinc deficiency/insufficiency and poorer outcomes from SARS-CoV-2. Supplementation might be indicated for those with low or borderline low results, low dietary intake and/or increased needs.

3. Background

The global COVID-19 pandemic has prompted an urgent search for pharmaceutical and traditional, complementary and integrative medicine (TCIM) interventions. Data from all countries indicate that the case fatality and morbidity rates from SARS-CoV-2 increases with age and for those with non-communicable chronic disease co-morbidities. [1–4] Notably, zinc deficiency/

insufficiency is prevalent in populations aged over 71 years [5–9], in people with chronic diseases, [10–12] including diabetes [10,12,13], and cardiovascular diseases, [10,12] and hospitalised patients following stroke [14] – see Box 1.

Zinc is widely available for self-prescribed use and is a common naturopathic medicine used for a variety of clinical indications, including the prevention and treatment of viral respiratory infections, tissue repair and supporting a healthy immune system [25]. Zinc plays an important role in immune function, wound healing, insulin and blood pressure regulation, and the regulation of gene expression [26]. Zinc may be formulated as a stand-alone nutraceutical or as a combination product containing other minerals, vitamins and/or herbs. Most zinc supplements are administered orally either in single or divided daily doses, in the form of a lozenge, tablet, capsule, liquid or syrup. Some products are formulated for intramuscular or intravenous administration.

Zinc supplementation is not without potential safety concerns, that includes anosmia [27] and copper deficiency associated with higher doses and prolonged intake [28]. The daily recommended dietary intake (RDI) of elemental zinc is around 2 mg for infants up to 6 months of age, and gradually increases to 11 mg for males, and 8 mg per day for females older than 13 years [29]. Tolerable upper limits for zinc are estimated to be 7 mg for children aged 1–3 years of age, increasing up to 25 mg for adults and females of any age who are pregnant or lactating. The no observed adverse effect level (NOAEL) for adults is around 50 mg/day [28].

Over 17 % of the global population is estimated to be zinc deficient [30], and 20 % of national diets contain insufficient zinc to meet minimum health requirements [31,32]. Deficiency is highest in South-East Asia, Sub-Saharan and Central and South American regions, however, marginal deficiencies are also prevalent in developed regions [33,34].

Assessment of zinc status is notoriously difficult due to absence of sensitive and precise biochemical indicators. The most reliable methods involve combining a clinical assessment with laboratory

Box 1. Populations at risk of zinc deficiency.

Insufficient dietary intake of zinc

- Limited access to animal foods [15–17]
- Consume plant based diets high in cereals, starchy roots, tubers and legumes (containing phytic acid which may reduce zinc bioavailability [17,18])
- Infants weaned from breast milk
- Elderly [7,8]
- Hospitalisation following stroke [14]

People with increased biological need

- Pregnant and breastfeeding women [19,20]
- Early post-natal infants [20,21]
- Children [19]
- Chronic diseases (e.g. chronic obstructive pulmonary diseases, asthma, anaemia, renal disease, inflammatory bowel and other chronic gastrointestinal diseases, HIV, Alzheimer's disease, rheumatoid arthritis) [22,23]
- Alcohol abuse [24]

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tests assessing tissue concentrations of zinc in plasma or hair [35]. Clinical manifestations of mild-moderate zinc deficiency include recurrent infections, slow tissue repair, rough skin, mental lethargy, irritability, headaches and reduced lean body mass [36]. Assessment of dietary zinc with validated food frequency instruments may help identify dietary insufficiency [37] however zinc status is still likely to be underestimated due to individual physiological characteristics [31]. For instance, whilst zinc insufficiency/deficiency is known to diminish antibody and cell-mediated immunity in humans that in turn increases the risk of infections, this may only become apparent upon immune system provocation [38,39].

Through several mechanisms, zinc has the potential to reduce the risk of viral respiratory tract infections, including SARS-CoV-2, and shorten the duration and severity of illness. The authors of a recent non-systematic narrative review of the underlying mechanisms postulate that along with its direct antiviral properties, zinc has the potential to reduce inflammation, improve mucociliary clearance, prevent of ventilator-induced lung injury, and modulate antiviral immunity [40] (Fig. 1).

In vitro studies have demonstrated that zinc can inhibit the enzymatic activity and replication of SARS-CoV RNA polymerase and may inhibit angiotensin-converting enzyme 2 (ACE2) activity [40,42,43]. The antiviral effects of zinc are also hypothesised to potentiate the therapeutic effects of chloroquine [44], as chloroquine acts as a zinc ionophore increasing Zn^{2+} influx into the cell [40]. Zinc may also modify the host's response to an infection as it is an essential co-factor element with a broad range of functions in the body. Zinc has an essential role in immune and airways function, wound healing and tissue repair that in turn, may delay or prevent recovery from viral respiratory illnesses [45–51]. Other consequences of zinc deficiency include an increased risk of vitamin A deficiency that is also critical for immune function, due to carrier proteins and activation enzymes being dependant on sufficient zinc status [52].

The potential role of zinc as an adjuvant therapy for SARS-CoV-2 may be broader than just antiviral and/or immunological support. Zinc also plays a complex role in haemostatic modulation acting as an effector of coagulation, anticoagulation and fibrinolysis [53,54].

Zinc is also essential for neurological function and normalisation of zinc intake has been shown to improve neurological recovery following stroke [14].

The effectiveness of zinc in preventing or treating SARS-CoV-2 infections is yet to be systematically evaluated and, along with other nutritional supplements, was not mentioned in a recent narrative review of TCIM for the treatment of coronavirus disease 2019 (COVID-19) [55]. The findings of systematic reviews of related populations are promising; however, the reviews are limited by population, intervention, or are out of date [56–58].

A 2016 Cochrane review of six RCTs concluded zinc supplementation was effective for the prevention of pneumonia in children aged two to 59 months [57]. Unlike an earlier review in 2000 of seven RCTs with adult participants and one RCT with children [59], an updated 2011 systematic review of 13 RCTs found a dose-dependent effect of zinc lozenges compared to placebo controls for reduced duration of common colds in adults [60]. Daily dosages less than 75 mg of zinc had no significant effect on duration of colds, however, daily dosage over 75 mg reduced the duration of colds by 42 % (95 % CI: 35 %–48 %). In a subsequent 2017 systematic review of seven RCTs of zinc lozenges with a daily dose >75 mg, a smaller reduction of 33 % (95 % CI 21 %–45 %) in the duration of common colds was found [61]. No differences in duration were found for daily doses of 192–207 mg compared to doses of 80–92 mg.

Other formats of zinc for preventing or treating upper respiratory infections were examined in three Cochrane systematic reviews, however, all were withdrawn [56,62,63]. A protocol for the systematic review of zinc for prevention and treatment of common colds was withdrawn in 2019 due to non-completion within the editorial time-frame [64].

4. Search strategy

4.1. Research question

The primary objective of this rapid review was to assess the effects of zinc on the incidence, duration and severity of acute

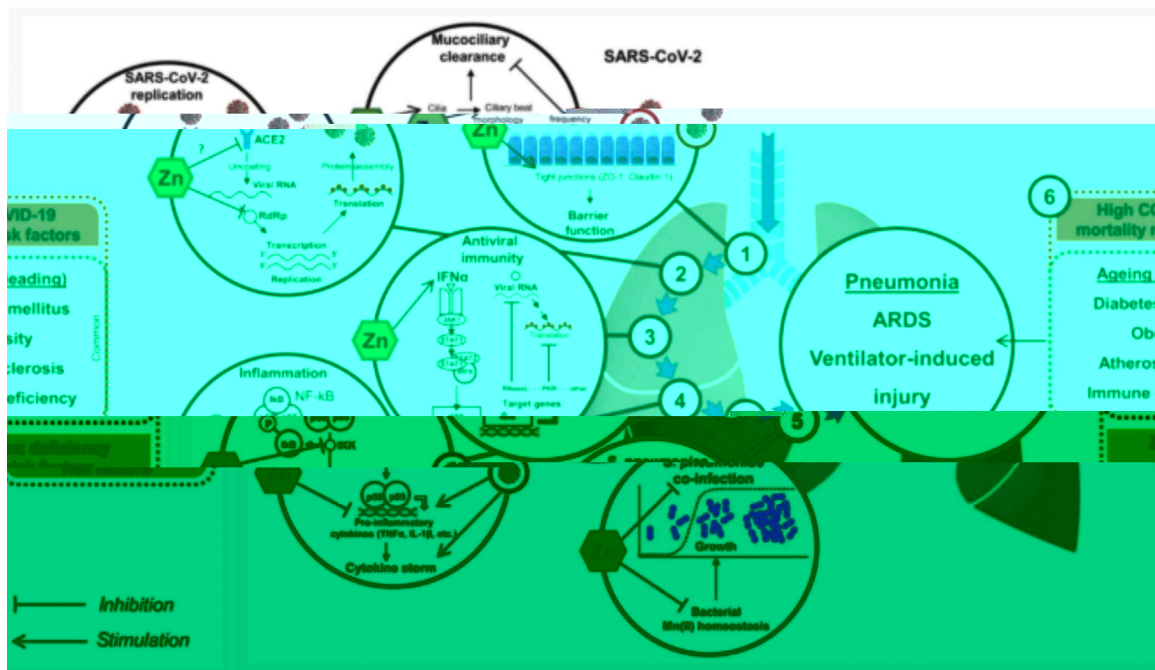


Fig. 1. The proposed protective mechanisms of zinc in Covid-19. From "Zinc and respiratory tract infections: Perspectives for COVID-19 (Review)" by Skalny, A.V., Rink, L., Ajsuvakova O. et al. 2020 in the *International Journal of Molecular Medicine*; Volume 46, Issue 1, page 21. Copyright Spandidos Publications [41].

upper or lower respiratory tract infections caused by SARS-CoV-2 infection in people of any age and of any zinc status when used as a preventive supplement or as a therapy.

The secondary objectives are to assess the effects of zinc on the incidence, duration and severity of acute upper or lower respiratory tract infections

- 1 caused by other coronavirus species, with a focus on SARS-CoV and MERS-CoV infections;
- 2 predominantly caused by viruses; and
- 3 in subgroups of populations at risk of zinc insufficiency/ deficiency and those with a higher risk of severe acute respiratory syndrome (SARS) caused by SARS-CoV-2 infection.

4.2. *Protocol*

A protocol for this rapid review outlining the methods in detail, including the methodological constraints employed to facilitate a timely answer to the review questions, was registered on 24 April 2020 with PROSPERO: CRD42020182044 [65].

Rapid review method constraints included not systematically searching the bibliographies of included articles, and jointly screening (SA, JH, GY, JG), only 30 title/abstracts and 5 full-text articles for calibration and consistency, after which only one reviewer (SA, JH, GY, JG) screened each article. Similarly, only three studies and their outcomes were jointly assessed (SA, GY, JH) for calibration and consistency using the Cochrane RoB 2.0 tool [66,67] and a piloted rubric. Study characteristics and data were extracted into a piloted electronic spreadsheet, after which only one reviewer (SA, GY, JH) assessed RoB and extracted data for each study.

4.3. *Inclusion/exclusion criteria*

4.3.1. *Included*

Primary studies included were randomized controlled trials (RCTs) and quasi-randomised controlled trials. There were no date nor language restrictions, however, studies published in languages other than English or Chinese are yet to be translated.

Included were people of any age, gender and zinc status in any setting who are 1) at risk of contracting an acute upper or lower viral respiratory tract infection, including healthy populations, 2) have a confirmed SARS-CoV-2 or other respiratory infection caused by a coronavirus species, including SARS-CoV and MERS-CoV, and/ or 3) have either a laboratory confirmed viral respiratory tract

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evaluated zinc for treating or preventing upper and/or lower acute respiratory infections in children. (Table 1). All the studies of adult participants were for acute upper respiratory infections i.e. the common cold (Table 1), of which 21 were naturally occurring infections and six inoculated the participants with human rhinovirus species.

The prevention effect of zinc was assessed in a variety of ways, mostly as the incidence or recurrence of respiratory infections as reported by study clinicians, participants' physician or healthcare providers, parents or self-reports, hospitalisation and/or laboratory tests. Treatment effects for severity and duration included time to symptom resolution, fever or respiratory distress, time in hospital, viral shedding, and self or clinician reported clinical severity.

A wide range of zinc formulations and dosages were used, including lozenges, nasal gels and sprays, and oral zinc

Table 2

Registered randomized control trials of zinc for SARS-CoV-2 (9 June 2020).

HCQ and Zinc in the Prevention of COVID-19 Infection in Military Healthcare Workers (COVID-Milit)	
Registration no.	NCT04377646
Registration date	4 May 2020
Completion date	31 July 2020 (not confirmed)
Location	Tunisia
Setting	Tunisia Military Academy
Design	Multicentre, double-blind RCT, 3 arms
Sample size	N = 660
Demographics	Military professionals aged 18–65
Inclusion criteria	At risk of infection by SARS-CoV-2 at 2 levels
Exclusion criteria	1. Allergy to medications 2. Heart rhythm disturbances 3. Severe hepatic impairment 4. Retinal pathology 5. Epilepsy 6. Myasthenia 7. Psoriasis 8. Methemoglobinemia 9. Porphyria 10. Pregnant or lactating women 11. Concomitant treatments
Zinc intervention (elemental dose)	Zinc capsules 15 mg/day + HCQ 400 mg on day 1 and 2 and HCQ 400 mg/week for 2 months
Comparator	1. Placebo zinc, 1 per day for 28 days + HCQ 400mg on day 1 and 2 and 400 mg/week for 2 months 2. Placebo zinc, 1 each day + placebo HCQ on day 1 and 2 and weekly for 2 months
Primary Outcomes	Incidence of SARS CoV2 infection
Secondary Outcomes	1. Incidence of any COVID-19 related symptoms 2. Adverse events
Follow-up time	28 days
Coronavirus 2019 (COVID-19) - Using Ascorbic Acid and Zinc Supplementation (COVIDatoZ)	
Registration no.	NCT04342728
Registration date	8 April 2020
Completion date	8 April 2020
Location	US
Setting	Community health clinics and hospital outpatients, Ohio and Florida
Design	Multicentre, open label RCT, 4 arms
Sample size	N = 520
Demographics	Adults, including women of child-bearing potential
Inclusion criteria	Confirmed diagnosis of SARS-CoV-2 not requiring hospitalisation
Exclusion criteria	1. SARS-CoV-2 detected during hospitalisation 2. Pregnant and lactating 3. CKD 4. Liver disease (waiting transplant) 5. Calcium oxalate stones
Zinc intervention (elemental dose)	1. Zinc gluconate 50 mg (7 mg)/day for 28 days 2. Zinc gluconate 50mg (7 mg)/day + vitamin C 8000 mg/day for 28 days
Comparator	1. Usual (standard) care 2. Vitamin C alone
Primary Outcomes	Days to 50% reduction of symptoms
Secondary Outcomes	1. Symptom resolution 2. Total symptom score on day 5 3. Hospitalisation 4. Adjunctive medicines 5. Adverse events
Follow-up time	28 days
The effect of zinc on the treatment and clinical course of patients with SARS-cov2 (COVID-19)	
Registration no.	IRCT20180425039414N2
Registration date	31 May 2020
Completion date	NI
Location	Iran
Setting	Amin Hospital, Isfahan
Design	Open label RCT, 2 arms
Sample size	N = 80
Demographics	Adults
Inclusion criteria	Hospitalised with confirmed SARS-CoV-2 infection (RT, PCR and CT scan of the lungs). Blood oxygen levels: 90–3%; Breathing rate 20–24 breaths/min; Heart rate 100–130 bpm
Exclusion criteria	1. Intubation 2. Blood oxygen below 90% Breathing rate equal to 30 or more breaths per minute 3. Allergic to interventions 4. Cardiogenic pulmonary oedema associated shortness of breath 5. Pregnancy and lactation 6. Oxygen therapy at home 7. End stage lung, malignant, G6PD deficiency, diabetic ketoacidosis, cardiac arrhythmia
Zinc intervention (elemental dose)	Zinc tablets 440 mg/day + HCQ sulphate tablets 400mg every 12 hours on day 1 and 200 mg every 12 hours during hospitalisation

7. Clinical significance

Preliminary findings of this rapid systematic review found limited direct evidence evaluating zinc for the prevention or treatment of SARS-CoV-2, as results of four registered RCTs are pending. Once available, the findings from the COVIDatoZ trial that is evaluating the comparative effectiveness of zinc supplements against vitamin C and usual care for treatment of mild to moderate symptoms of community-based SARS-CoV2-19, will be relevant to the general population who can self-prescribe, along with a wide range of health practitioners who provide TCIM advice. The findings from the HDIVZn trial evaluating the efficacy and safety of intravenous zinc infusions for hospitalised patients, may provide safer and less expensive therapeutic options compared to other pharmaceuticals currently being evaluated. Delivery of the intervention, however, requires medical oversight that will restrict its application to hospital settings and perhaps a few primary care settings. The two comparative effectiveness studies will not explain the preventative or treatment effects of zinc as a stand-alone therapy, however they will explain the potential benefits of zinc adjunct to hydroxychloroquine in populations at high risk of zinc deficiency [34], for prevention of SARS-CoV-2 in health professionals and for treatment of patients hospitalised due to SARS-CoV-2.

In contrast, a substantial volume of indirect clinical evidence from RCTs investigating zinc for preventing and/or treating acute respiratory infections commonly caused by viruses was identified. Only 20 of the 120 RCTs included in this rapid review have previously been meta-analysed and whilst the results are promising they are limited to infants (n = 6) [57], children (n = 1) [59] and zinc lozenges in adults (n = 13) [59–61]. The studies identified in this rapid review therefore warrant further in-depth appraisal and meta-analysis where possible. To facilitate the rapid dissemination of results that are most relevant to populations at a higher risk of morbidity and mortality from SARS-CoV-2, an analysis of the 20 RCTs of zinc for upper respiratory tract infections in adults will be undertaken first prior to analysing the studies involving children. Whilst the grading of the evidence will be downrated due to indirectness, in the absence of more direct evidence, the findings are clinically relevant as an estimated 15 % of upper respiratory tract infections

inflammation, coagulation and neurological function to name a few [14,38–40,45–54].

Pending any definitive evidence, it might be reasonable for clinicians to consider assessing the zinc status of people with chronic disease co-morbidities and older adults as part of a SARS-CoV-2 clinical work-up, as both groups have a higher risk of zinc deficiency/insufficiency and poorer outcomes from SARS-CoV-2. Zinc status can be assessed by taking a diet and clinical history (see Box 1), clinical examination and laboratory tests. Plasma zinc may be more reliable than serum zinc and whilst hair mineral analysis is another option although a timely result may not be available [35].

For prevention of SARS-CoV-2 and most importantly for general health, given that zinc supplements are readily available, they may be indicated for people with low or borderline low results, low dietary intake and/or increased needs. To optimise safety, a daily dose lower than the tolerable upper limits (<7 mg for children aged 1–3 years up to 22 mg for those aged 15–17 years) should be used along with dietary modifications whenever possible. In adults, doses up to the no observed adverse effect level (NOAEL) of 50 mg/day should be considered [28]. At this stage, it is unclear if there is any additional benefit from supplementing zinc for the prevention of SARS-CoV-2 or other viral respiratory infections in low risk populations nor for people with normal zinc status.

It is also unclear if there are any benefits from supplementing with zinc for the treatment of SARS-CoV-2. There is limited indirect evidence from viral upper respiratory infections that zinc lozenges with a daily dose of >75 mg of zinc may shorten the duration of the common cold. However, there are risks with higher doses above the NOAEL including permanent loss of smell [28]. Therefore, a daily dose higher than 100 mg of elemental zinc in a lozenge is probably not advisable, as it is questionable whether there are any additional therapeutic effects [61].

Disclaimer

This article should not replace individual clinical judgement. The views expressed in this rapid review are the views of the authors and not necessarily from the host institutions. The views are not a substitute for professional medical advice.

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