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**Interventions for improving oral health in people after stroke (Review)**

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[Intervention Review]

# Interventions for improving oral health in people after stroke

Pauline Campbell<sup>1</sup>, Brenda Bain

n

Multi-component OHC interventions showed no evidence of a difference in the mean score (DMS) of dental plaque one month after the intervention was delivered (DMS  $-0.66$ , 95% CI  $-1.40$  to  $0.09$ ; 2 trials, 83 participants;  $I^2 = 83\%$ ;  $P = 0.08$ ; very low-quality evidence).

Stroke survivors had less plaque on their dentures when staff had access to the multi-component OHC intervention (DMS  $-1.31$ , 95% CI  $-1.96$  to  $-0.66$ ; 1 trial, 38 participants;  $P < 0.0001$ ; low-quality evidence).

There was no evidence of a difference in gingivitis (DMS  $-0.60$ , 95% CI  $-1.66$  to  $0.45$ ; 2 trials, 83 participants;  $I^2 = 93\%$ ;  $P = 0.26$ ; very low-quality evidence) or denture-induced stomatitis (DMS  $-0.33$ , 95% CI  $-0.92$  to  $0.26$ ; 1 trial, 38 participants;  $P = 0.69$ ; low-quality evidence) among participants receiving the multi-component OHC protocol compared with usual care one month after the intervention. There was no difference in the incidence of pneumonia in participants receiving a multi-component OHC intervention (99 participants; 5 incidents of pneumonia) compared with those receiving usual care (105 participants; 1 incident of pneumonia) (OR  $4.17$ , CI 95%  $0.82$  to  $21.11$ ; 1 trial, 204 participants;  $P = 0.08$ ; low-quality evidence).

OHC training for stroke survivors and healthcare providers significantly improved their OHC knowledge at one month after training (SMD  $0.70$ , 95% CI  $0.06$  to  $1.35$ ; 3 trials, 728 participants;  $I^2 = 94\%$ ;  $P = 0.03$ ; very low-quality evidence). Pooled data one month after training also showed evidence of a difference between stroke survivor and providers' oral health attitudes (SMD  $0.28$ , 95% CI  $0.01$  to  $0.54$ ; 3 trials, 728 participants;  $I^2 = 65\%$ ;  $P = 0.06$ ; very low-quality evidence).

### OHC interventions compared with placebo

Three trials (394 participants, with data for 271 participants with stroke) compared an OHC intervention with placebo. There were no data for primary outcomes. There was no evidence of a difference in the incidence of pneumonia in participants receiving an OHC intervention compared with placebo (OR  $0.39$ , CI 95%  $0.14$  to  $1.09$ ; 2 trials, 242 participants;  $I^2 = 42\%$ ;  $P = 0.07$ ; low-quality evidence). However, decontamination gel reduced the incidence of pneumonia among the intervention group compared with placebo gel group (OR  $0.20$ , 95% CI  $0.05$  to  $0.84$ ; 1 trial, 203 participants;  $P = 0.028$ ). There was no difference in the incidence of pneumonia in participants treated with povidone-iodine compared with a placebo (OR  $0.81$ , 95% CI  $0.18$  to  $3.51$ ; 1 trial, 39 participants;  $P = 0.77$ ).

### One OHC intervention compared with another OHC intervention

Twelve trials (372 participants with stroke) compared one OHC intervention with another OHC intervention. There was no difference in dental plaque scores between those participants that received an enhanced multi-component OHC intervention compared with conventional OHC interventions at three months (MD  $-0.04$ , 95% CI  $-0.33$  to  $0.25$ ; 1 trial, 61 participants;  $P = 0.78$ ; low-quality evidence). There were no data for denture plaque.

### Authors' conclusions

We found low- to very low-quality evidence suggesting that OHC interventions can improve the cleanliness of patient's dentures and stroke survivor and providers' knowledge and attitudes. There is limited low-quality evidence that selective decontamination gel may be more beneficial than placebo at reducing the incidence of pneumonia. Improvements in the cleanliness of a patient's own teeth was limited. We judged the quality of the evidence included within meta-analyses to be low or very low quality, and this limits our confidence in the results. We still lack high-quality evidence of the optimal approach to providing OHC to people after stroke.

## PLAIN LANGUAGE SUMMARY

### Interventions for improving oral health in people after stroke

#### Review question

We wanted to know whether oral healthcare (OHC) interventions improve the oral health of people who have had a stroke, and if any one OHC intervention provided more benefit than another approach.

#### Background

Three quarters of people who have had a stroke experience physical problems, and the weakness, lack of co-ordination and cognitive (attention, memory, language and orientation) problems that may accompany a stroke can make it difficult for a person to maintain the health and cleanliness of their mouth, tongue and teeth on their own. A clean mouth feels good and the practice of OHC (removing dental plaque (a soft, sticky film that builds up on your teeth) and traces of food) is a crucial factor in maintaining the health of the mouth, teeth and gums. A clean and healthy mouth also prevents pain or discomfort and allows people to eat a range of nutritious foods. Maintaining good oral care may be difficult after a stroke and healthcare staff may have to assist in providing such care.

We wanted to see whether OHC interventions could improve the cleanliness of stroke survivors' teeth by reducing dental plaque or denture plaque (our primary outcomes). We were also interested in whether OHC interventions would improve other (secondary) outcomes including patient satisfaction and quality of life, presence of oral disease, presence of related infection, and stroke survivor and providers' knowledge and attitudes to OHC.

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**Search date**

The evidence is current to February 2019.

**Study characteristics**

We included 15 studies (22 comparisons) involving 1546 people with stroke, 1028 staff and 94 carers in this updated review. Seven trials compared OHC with usual care; three trials compared OHC with placebo (pretend treatment or usual care), and 12 trials compared two different types of OHC.

**Key results**

We found little evidence to inform how OHC is best delivered. There was low-quality evidence from trials that compared OHC with standard care showing that OHC reduced denture plaque. There was no difference for studies that measured dental plaque. We found very low-quality evidence to show that training nursing staff and family carers improved their knowledge and attitudes to OHC. There was low-quality evidence that demonstrated the beneficial impact of a decontamination gel (to reduce the number of bacteria in the mouth) on the incidence of pneumonia compared with placebo gel among patients in a stroke ward. However, there was no other information on how best to provide OHC and more studies are urgently needed.

**Quality of the evidence**

Despite the inclusion of several new trials of OHC for people after stroke since our last review update there remains a lack of high-quality evidence to inform OHC in stroke care settings.

**Conclusion**

We judged the quality of the current evidence in this review to be low to very low. We lack high-quality evidence of the optimal approach to providing OHC to people after stroke. Additional well-conducted clinical trials are needed.

## SUMMARY OF FINDINGS

### Summary of findings 1. Oral health care interventions compared with usual care for people after stroke

#### Oral health interventions compared with usual care for people after stroke

**Patient or population:** adults with stroke

**Settings:** hospital, home or residential care

**Intervention:** oral health intervention

**Comparison:** usual care

| Outcomes<br>(assessed at up to<br>1 month postin-<br>tervention)                              | Relative effect<br>(95% CI)          | No of participants<br>(studies)  | Direction of effect               | Quality of the evi-<br>dence<br>(GRADE)  | Comments  |
|---|--------------------------------------|--|-----------------------------------|--|---|
| <b>Dental plaque</b><br>(Analysis 1.1)  | <b>DMS -0.66</b> (-1.40<br>to 0.09)  | 83 participants<br>(2 trials; <a href="#">Frenkel 2001</a> ; <a href="#">Kim 2014a</a> ) | No evidence of<br>benefit or harm | ⊕⊕⊕⊕ <sup>a,b,c</sup><br><b>Very low</b> | <ul style="list-style-type: none"> <li><a href="#">Frenkel 2001</a> assessed dental plaque using the simplified oral hygiene index (<a href="#">Greene 1964</a>) (scale 0–3).</li> <li><a href="#">Kim 2014a</a> used the Silness and Loe Plaque Index (<a href="#">Silness 1964</a>) to assess dental plaque (scale 0–3, where 0 = an absence of plaque and 3 = an abundance of plaque).</li> </ul>            |
| <b>Denture plaque</b><br>(Analysis 1.3)   | <b>DMS -1.31</b> (-1.96<br>to -0.66) | 38 participants<br>(1 trial; <a href="#">Frenkel 2001</a> )                              | Favoured OHC in-<br>tervention    | ⊕⊕⊕⊕ <sup>a,b</sup><br><b>Low</b>        | <ul style="list-style-type: none"> <li>Assessed with method described by <a href="#">Augsburger 1982</a> (scale 0–4)</li> </ul>   |
| <b>Presence of oral<br/>disease: gingivitis</b><br>(Analysis 1.4)                             | <b>DMS -0.60</b> (-1.66<br>to 0.45)  | 83 participants<br>(2 trials; <a href="#">Frenkel 2001</a> ; <a href="#">Kim 2014a</a> ) | No evidence of<br>benefit or harm | ⊕⊕⊕⊕ <sup>a,b,c</sup><br><b>Very low</b> | <ul style="list-style-type: none"> <li><a href="#">Frenkel 2001</a> used the method described by <a href="#">Suomi 1968</a> (scale 0–2 scale, where 0 = no inflammation, 1 = marginal gingivitis and 2 = severe gingivitis spreading to the attached gingiva).</li> <li><a href="#">Kim 2014a</a> used the <a href="#">Loe 1967</a> (scale 0–3, where 0 = no inflammation and 3 = severe gingivitis)</li> </ul> |
| <b>Presence of oral<br/>disease: den-<br/>ture-induced stom-<br/>atitis</b><br>(Analysis 1.6) | <b>DMS -0.33</b> (-0.92<br>to 0.26)  | 38 participants<br>(1 trial; <a href="#">Frenkel 2001</a> )                              | No evidence of<br>benefit or harm | ⊕⊕⊕⊕ <sup>a,b</sup><br><b>Low</b>        | <ul style="list-style-type: none"> <li>Assessed according to <a href="#">Budtz-Jorgensen 1978</a> classifica-<br/>tion (scale 0–3)</li> </ul>   |

|   |   |  |                                |  |  |
|---|---|--|--------------------------------|--|--|
| <b>Presence of re-<br/>lated infection:<br/>pneumonia</b><br><br>(Analysis 1.7)         | <b>OR 4.17</b> (0.82 to 21.11)<br><br>(intervention group: 5 incidents of pneumonia (99 participants);<br><br>usual care group: 1 incident of pneumonia (105 participants)) | 204 participants<br><br>(1 trial; <a href="#">SOCLE II</a> )   | No evidence of benefit or harm | ⊕⊕⊕⊕ <sup>a,b</sup><br><b>Low</b>          | <ul style="list-style-type: none"> <li>Assessed using Mann criteria (<a href="#">Mann 1999</a>)</li> </ul>   |
| <b>Stroke survivor and providers' knowledge to OHC: knowledge</b><br><br>(Analysis 1.8) | <b>SMD 0.70</b> (0.06 to 1.35)  | 728 participants<br><br>(3 trials; <a href="#">Ab Malik 2017</a> ; <a href="#">Frenkel 2001</a> ; <a href="#">Kuo 2016</a> ) | Favours OHC intervention       | ⊕⊕⊕⊕ <sup>a,b,c,d</sup><br><b>Very low</b> | <p>Knowledge assessed with non-validated self-administered questionnaires.</p> <ul style="list-style-type: none"> <li><a href="#">Ab Malik 2017</a> assessed knowledge of OHC using 5 items (dental plaque, gum bleeding, consequences of dental plaque, how to prevent gingivitis and how oral health affects general health) based on a questionnaire from <a href="#">Al-Omiri 2006</a>. Scores were 0–5, with higher scores indicating greater OHC knowledge.</li> <li><a href="#">Frenkel 2001</a> measured knowledge using a true/false response to 26 statements, with each correct answer scoring one. The outcome was presented as a composite outcome score.</li> <li><a href="#">Kuo 2016</a> developed and measured OHC knowledge using a 44-item Knowledge of Oral Care questionnaire; 26/44 items were based on <a href="#">Frenkel 2001</a> carers questionnaire. Items were scored using a true/false response, with each correct answer scoring 1. The outcome was presented as composite outcome score.</li> </ul> |
| <b>Stroke survivor and providers' attitudes to OHC: attitude</b><br><br>(Analysis 1.11) | <b>SMD 0.28</b> (0.01 to 0.54)  | 728 participants<br><br>(3 trials; <a href="#">Ab Malik 2017</a> ; <a href="#">Frenkel 2001</a> ; <a href="#">Kuo 2016</a> ) | Favours OHC intervention       | ⊕⊕⊕⊕ <sup>a,b,c,d</sup><br><b>Very low</b> | <p>Attitude assessed with non-validated self-administered questionnaires.</p> <ul style="list-style-type: none"> <li><a href="#">Ab Malik 2017</a> assessed attitude using items derived from the manual of "Constructing Questionnaires Based on the Theory of Planned Behavior" (<a href="#">Francis 2004</a>) and modified to the oral health context. Higher scores reflect a more positive attitude to OHC.</li> <li><a href="#">Frenkel 2001</a> assessed attitude to oral health using a 5-point Likert scale to 25 statements on OHC (including 12 on carers' own oral health) tested attitudes. The outcome was presented as a composite outcome score.</li> </ul>  |



- [Kuo 2016](#) developed and measured a 19-item Attitude to Oral Care questionnaire; 13/19 were based on [Frenkel 2001](#) carers questionnaire and scored using a 5-point Likert scale.

#### GRADE Working Group grades of evidence

**High quality:** further research is very unlikely to change our confidence in the estimate of effect.

**Moderate quality:** further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

**Low quality:** further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

**Very low quality:** we are very uncertain about the estimate.

**CI:** confidence interval; **DMS:** difference in mean score; **OHC:** oral health care; **OR:** odds ratio; **SMD:** standard mean difference.

<sup>a</sup>Downgraded one level as there were serious limitations identified in the risk of bias.

<sup>b</sup>Downgraded one level because of imprecision.

<sup>c</sup>Downgraded one level because of inconsistency of results.

<sup>d</sup>Downgraded one level because of indirectness of the evidence based on variations in outcome measures.

### Summary of findings 2. Oral health care intervention compared with placebo for people after stroke

#### Oral health care intervention compared with placebo for stroke

**Patient or population:** adults with stroke

**Settings:** hospital based

**Intervention:** oral care intervention

**Comparison:** placebo

| Outcomes   | Relative effect (95% CI) | No of participants (trials)   | Direction of effect            | Quality of the evidence (GRADE)   | Comments   |
|--|--------------------------|---|--------------------------------|-----------------------------------|--|
| Dental plaque  | —                        | —   | —                              | —                                 | We found no studies.   |
| Denture plaque   | —                        | —   | —                              | —                                 | We found no studies.   |
| Presence of oral disease                                   | —                        | —   | —                              | —                                 | We found no studies.   |
| Presence of related infection: pneumonia<br>(Analysis 2.1) | OR 0.39 (0.14 to 1.09)   | 242 participants (2 trials; <a href="#">Gosney 2006</a> ; <a href="#">Seguin 2014</a> ) | No evidence of benefit or harm | ⊕⊕⊕⊕ <sup>a,b</sup><br><b>Low</b> | <ul style="list-style-type: none"> <li>• <a href="#">Gosney 2006</a> – intervention was selective decontamination gel; evidence of benefit as compared to placebo (OR 0.20, 95% CI 0.05 to 0.84). Assessed immediately postintervention (3 weeks), based on clin-</li> </ul> |

ical signs and symptoms of pneumonia as recorded in case notes.

- [Seguin 2014](#) – intervention was povidine-iodine; no evidence of benefit or harm (OR 0.81, 95% CI 0.18 to 3.51). Assessed immediately postintervention (30 days) using criteria outlined in American Thoracic Society 2005.

|   |   |   |   |   |                      |
|---|---|---|---|---|----------------------|
|   |   |   |   |   |                      |
| <b>Stroke survivor and providers' knowledge and attitudes to oral health care</b> | — | — | — | — | We found no studies. |

#### GRADE Working Group grades of evidence

**High quality:** further research is very unlikely to change our confidence in the estimate of effect.

**Moderate quality:** further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

**Low quality:** further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

**Very low quality:** we are very uncertain about the estimate.

**CI:** confidence interval; **OR:** odds ratio; **RR:** risk ratio.

<sup>a</sup>Downgraded one level as there were serious limitations identified in the risk of bias.

<sup>b</sup>Downgraded one level because of indirectness.

### Summary of findings 3. One oral healthcare intervention compared with another oral healthcare intervention for people after stroke

#### Oral health care intervention compared with another oral health care intervention for stroke

**Patient or population:** adults with stroke

**Settings:** hospital based

**Intervention:** oral care intervention

**Comparison:** another oral health care intervention

| Outcomes                               | Relative effect (95% CI)        | No of participants (trials)                               | Direction of effect            | Quality of the evidence (GRADE)   | Comments  |
|--|---------------------------------|---|--------------------------------|-----------------------------------|---|
| <b>Dental plaque</b><br>(Analysis 3.1) | <b>MD -0.04</b> (-0.33 to 0.25) | 61 participants (1 trial; <a href="#">Ab Malik 2018</a> ) | No evidence of benefit or harm | ⊕⊕⊕⊕ <sup>a,b</sup><br><b>Low</b> | <ul style="list-style-type: none"> <li>• Assessed immediately postintervention (3 months).</li> <li>• Measured using the Silness and Loe Plaque Index (<a href="#">Silness 1964</a>) (scale 0–3, where 0 =</li> </ul> |



|   |   |   |   |   |   |
|---|---|---|---|---|---|
|   |   |   |   |   | absence of plaque and 3 = abundant presence of plaque). |
| <b>Denture plaque</b>   | — | — | — | — | We found no studies.                                    |
| <b>Presence of oral disease</b>   | — | — | — | — | We found no studies.                                    |
| <b>Presence of related infection: pneumonia</b>                                   | — | — | — | — | We found no studies.                                    |
| <b>Stroke survivor and providers' knowledge and attitudes to oral health care</b> | — | — | — | — | We found no studies.                                    |

#### GRADE Working Group grades of evidence

**High quality:** further research is very unlikely to change our confidence in the estimate of effect.

**Moderate quality:** further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

**Low quality:** further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

**Very low quality:** we are very uncertain about the estimate.

**CI:** confidence interval; **MD:** mean difference.

<sup>a</sup>Downgraded one level as there were serious limitations identified in the risk of bias.

<sup>b</sup>Downgraded one level because of imprecision.

## BACKGROUND

Three quarters of stroke survivors experience physical deficits (Adamson 2004), and the weakness, lack of co-ordination and cognitive problems that may accompany a stroke can make it difficult for a person to maintain the health and cleanliness of their mouth, tongue and teeth on their own (RCP 2016). Facial muscle strength and tone and oral sensation may alter after stroke, resulting in poorly controlled dentures and altered chewing and oral clearance patterns. Together with swallowing impairment, all these factors impact on an individual's nutritional intake, which also has a negative impact on rehabilitation and other functional outcomes (Geeganage 2012; Nakazora 2017; RCP 2016).

### Description of the condition

Dry mouth, oral ulcers and stomatitis are common side effects of medication (RCP 2016; Yuan 2015). Dysphagia and poor oral clearance of food and fluid residue further contribute to dental decay and microbial load observed among stroke survivors (Dai 2015; Kishore 2018; Zhu 2008). Some patients have pre-existing oral health problems – for example gum disease has been linked to the incidence of cardiovascular disease, diabetes and stroke (Michishige 1999). The more severe a stroke, the more dependent the stroke survivors are on others to support or facilitate their oral health care (OHC).

Pneumonia is a common complication after stroke and is associated with high mortality, long stays in hospital and a lower potential for function recovery (Hilker 2003; Katzan 2003; Langhorne 2000). While reports of the numbers affected vary, stroke-associated pneumonia has been reported to affect between 2% and 63% of stroke survivors (Hannawi 2013; Kishore 2018). The onset of stroke-associated pneumonia is thought to be related to the severity of patients' stroke, their functional impairment (both pre- and poststroke onset) and level of consciousness (Chumbler 2010). People with stroke who have swallowing problems (dysphagia) are more likely to develop pneumonia than people with stroke with normal swallowing function, but aspiration of food and fluid into the lungs alone does not fully account for the incidence of pneumonia (Chumbler 2010). The possibility of a relationship between stroke-associated pneumonia and patients' oral health has received increasing attention.

### Description of the intervention

Providing OHC to people with different stroke and dental profiles (those with natural teeth, dentures, both or neither) within stroke care settings is a challenge (Brady 2011). OHC practice varies across wards, often delegated to junior nursing staff. Staff are inadequately supported to provide this care (Horne 2015; Talbot 2005). Current descriptions of OHC interventions incorporate staff knowledge, assessment, equipment, agents, planned intervention, monitored nutritional intake and specialist referral components (Brady 2011; Wagner 2016) (see [Types of interventions](#)).

### How the intervention might work

If the mouth is not kept clean then the increasing buildup of debris contributes to plaque, tartar, dental decay and gum disease. If left untreated and in a state of continuing poor oral health this can develop into calcified plaque (tartar), tooth loss, stomatitis, gingivitis and periodontitis (Peres 2019; Watt 2019). The health benefits of high-quality care after stroke (Ingeman 2011), and

the complementary role that various members of the multi-disciplinary stroke team could play in the provision of OHC has been outlined, including dental health, dietetic and occupational therapy professionals (Bailey 2004; Bellomo 2005). As in other aspects of stroke care, rehabilitation goals that aim to maintain or regain independent OHC skills would be appropriate in the stroke care setting (Bellomo 2005).

### Why it is important to do this review

Systematic review evidence indicates that enhanced OHC has a preventive effect on the incidence of pneumonia among nursing home residents (absolute risk reductions between 6.6% and 11.7%; numbers needed to treat for an additional beneficial outcome 9 to 15) (Sjögren 2008), and ventilated populations (Chan 2007). More recently one non-randomised study in a stroke care setting suggested benefits in the use of a coproduced OHC programme involving nursing staff education, access to OHC assessments, protocols and OHC equipment (odds ratio (OR) for pneumonia in the OHC group was 0.71, 95% confidence interval (CI) 0.51 to 0.98;  $P = 0.041$ ) (Wagner 2016). The dearth of evidence underpinning staff-led oral care practice in healthcare settings has been highlighted (Lyons 2018; RCP 2016). It is crucial to undertake a rigorous systematic review and meta-analyses of the available evidence relating to the effectiveness of oral care interventions for people after stroke in order to inform evidence-based care and rehabilitation of people after stroke.

## OBJECTIVES

To compare the effectiveness of OHC interventions with usual care, or other treatment options for ensuring oral health in people after a stroke.

## METHODS

### Criteria for considering studies for this review

#### Types of studies

We identified randomised controlled trials (RCTs) that evaluated one or more interventions designed to improve oral health. We included trials that recruited from a healthcare setting with a mixed population provided it was possible to extract the data specific to the individuals poststroke.

#### Types of participants

We included adults (aged 18 years or greater) with a diagnosis of stroke who received assisted OHC led by healthcare staff.

#### Types of interventions

We included trials that evaluated an intervention designed to improve routine-assisted OHC in a stroke care setting.

The interventions fell into the following broad categories:

- assessment tool;
- equipment (e.g. toothbrush);
- agent (e.g. mouthwash);
- staff, volunteer or family carer training;
- OHC promotion.

## Types of outcome measures

A comprehensive, valid and reliable measurement tool for assessing oral health and cleanliness is currently lacking. We recorded a range of outcomes that correspond to different aspects of oral health and cleanliness and OHC delivery.

### Primary outcomes

- Dental plaque.
- Denture plaque.

### Secondary outcomes

- Presence of oral disease: gingivitis, denture-induced stomatitis, periodontal disease.
- Presence of related infection and primary oral opportunistic pathogens related to OHC and pneumonia: pneumonia, anaerobic Gram-negative bacillus (AGNB), *Candida* and *Staphylococcus aureus*.
- Oral health knowledge and attitudes.
- Patient satisfaction and quality of life: care received, oral comfort and appearance, quality of life.

We recorded outcome measurements taken up to 12 months postintervention. We took dental data of included studies at the patient level.

## Search methods for identification of studies

See the 'Specialized register' section at the Cochrane Stroke Group website ([www.dcn.ed.ac.uk/csrg/entity/searchmethods.pdf](http://www.dcn.ed.ac.uk/csrg/entity/searchmethods.pdf)). We searched for trials in all languages and planned to arrange translation of relevant papers published in languages other than English.

### Electronic searches

We searched the trials registers of the Cochrane Stroke Group (last searched 18 February 2019) and the Cochrane Oral Health Group (last searched 20 February 2018) ([Appendix 1](#)).

In addition, we searched the following databases:

- Cochrane Central Register of Controlled Trials (CENTRAL; 2019, Issue 2) in the Cochrane Library (searched 18 February 2019) ([Appendix 2](#));
- MEDLINE Ovid (1946 to 15 February 2019) ([Appendix 3](#));
- Embase Ovid (1974 to 18 February 2019) ([Appendix 4](#)); and
- CINAHL EBSCO (Cumulative Index to Nursing and Allied Health Literature; 1982 to 18 February 2019) ([Appendix 5](#)).

We also searched the following resources for ongoing trials:

- US National Institutes of Health Ongoing Trials Register ClinicalTrials.gov (<http://www.clinicaltrials.gov>; searched 18 February 2019) ([Appendix 6](#));
- World Health Organization International Clinical Trials Registry Platform ([apps.who.int/trialsearch](http://apps.who.int/trialsearch); searched 18 February 2019) ([Appendix 6](#)).

For the previous version of this review, we searched the Research Findings Electronic Register (to February 2006), and the National Research Register (Issue 1, 2006). These sources are no longer

available and so our search update did not include them. The earlier search strategy is available in [Appendix 7](#).

### Searching other resources

In an effort to identify further published, unpublished and ongoing studies, we searched Web of Science Conference Proceedings Citation Index-Science (last searched 25 February 2019), Zetoc (last searched 25 February 2019) and Proquest Dissertations and Theses (last searched 25 February 2019) using key terms shown in [Appendix 8](#).

(i.e. we made every effort to profile all interventions, including those in the comparison role of 'usual care' by their constituent components). Where trialists used the term 'usual care' in the absence of any additional details such as profiling was not possible. Usual care is highly variable (e.g. [Talbot 2005](#)), and thus we profiled the intervention by that general term only.

### Assessment of risk of bias in included studies

Two review authors (MB, BB PC, DF) independently documented the methodological quality of the included studies using items specified by the *Cochrane Handbook for Systematic Reviews of Interventions* ([Higgins 2019](#)). They judged each study as potentially 'high risk', 'low risk' or 'unclear' risk of bias, against the following nine quality criteria.

- Random sequence generation (selection bias).
- Allocation concealment (selection bias).
- Blinding of participants and personnel (performance bias).
- Blinding of outcome assessment (detection bias).
- Incomplete outcome data (attrition bias).
- Selective reporting (reporting bias).
- Baseline comparability of groups.
- Whether an a priori power calculation had been conducted.
- Other potential confounders.

We sought clarification from study authors if details were unavailable from the text. We resolved disagreements by consensus between the review authors.

### Measures of treatment effect

We grouped studies together in terms of their interventions and outcomes. Where suitable statistical summary data were available, we combined the selected outcome data in pooled meta-analyses. For dichotomous outcomes, we calculated the effect measure as the risk ratio (RR) with 95% confidence intervals (CI). For dichotomous outcomes with rare events (i.e. an event rate of less than 10%), we calculated Peto odds ratios (OR) with 95% CI.

For ordinal scales (10 or more categories) and for continuous data, and where the same measurement tool was used across trials, we calculated the treatment effect using mean differences (MD) and 95% CI. If different scales were used in different trials, we planned to use standardised mean differences (SMD) and 95% CI. For non-normal data and ordinal scales with fewer than 10 categories, we planned to use a defined cut-off and to treat the data as a dichotomous outcome.

An earlier version of the review, [Brady 2006](#), used Proc Mixed in the statistical package SAS ([www.sas.com/](http://www.sas.com/)) to analyse the individual patient data for poststroke participants to take account of the clustering in the [Frenkel 2001](#) study, and used the generic inverse variance section of Review Manager 5 for presentation purposes ([Review Manager 2014](#)). Consequently, we calculated the estimates and standard errors of the same effect measure for all the other studies in the same meta-analysis using the methods outlined in the *Cochrane Handbook for Systematic Reviews of Interventions* ([Higgins 2019](#)).

### Unit of analysis issues

The unit of analysis was per participant randomised, rather than tooth level. Where a trial included three or more arms, we split the number of participants in the control group across the two interventions. In the case of continuous data, the means and standard deviations (SD) remained the same. In the case of dichotomous data, both the number of events and total number of participants were split across the relevant number of arms. Where we identified a cluster RCT, we planned to identify the unit of randomisation, the unit of analysis and, wherever possible, the intraclass correlation coefficient to adjust results to account for cluster effect.

### Dealing with missing data

In cases where only partial summary data were reported, for example mean final value scores were available but SDs were unavailable, we calculated these values from available information using methods described in the *Cochrane Handbook for Systematic Reviews of Interventions* ([Higgins 2019](#)). In cases where data needed to be transformed (e.g. from median and interquartile range (IQR) scores to mean and SD), we used methods described in [Weir 2018](#). We also contacted trialists to request missing data.

### Assessment of heterogeneity

We assessed statistical heterogeneity between trials using the  $I^2$  statistic available in the Review Manager 5 ([Review Manager 2014](#)). If statistical heterogeneity existed (in the absence of co-existing clinical or methodological heterogeneity), we planned to use a random-effects model to pool the trials. We used a fixed-effect model if there was no evidence of clinical, methodological or statistical heterogeneity.

We interpreted the results using the  $I^2$  statistic thresholds recommended by the *Cochrane Handbook for Systematic Reviews of Interventions* ([Higgins 2019](#)):

- 0 to 40% – potentially unimportant;
- 30% to 60% – may represent moderate heterogeneity;
- 50% to 90% – may represent substantial heterogeneity;
- 75% to 100% – considerable heterogeneity.

### Data synthesis

We analysed data using Review Manager 5 ([Review Manager 2014](#)). We combined data from individual trials for meta-analysis if the interventions and outcomes were sufficiently similar (determined by consensus).

We created 'Summary of findings' tables for the three comparisons identified. We presented the key findings of the review, including a summary of the quantity of data, the magnitude of effect size, and the overall quality of evidence. We summarised the short-term findings for our primary outcomes including dental plaque and denture plaque. We also presented data for the presence of oral disease (i.e. gingivitis, denture-induced stomatitis), presence of related infection (i.e. for pneumonia only), and stroke survivor and providers' oral health knowledge and attitude.

We used the GRADE approach ([Guyatt 2008](#); [Guyatt 2011a](#)), as described in the *Cochrane Handbook for Systematic Reviews of*

*Interventions*, to present the evidence quality for each combination of intervention and outcome ([Higgins 2019](#)).

The quality of a body of evidence for a specific outcome was graded against the following factors:

- limitations of study (e.g. risk of bias due to poor study design or conduct ([Guyatt 2011b](#));
- publication bias ([Guyatt 2011c](#));
- imprecision of results (e.g. wide CIs for treatment effect) ([Guyatt 2011d](#));
- inconsistency of results (e.g. large  $I^2$  statistic) ([Guyatt 2011e](#));
- indirectness of evidence (e.g. variations in participants, interventions, comparisons and outcomes) ([Guyatt 2011f](#)).

The GRADE approach specifies four levels of quality, that is, high-, moderate-, low- and very low-quality evidence, based on the following definitions:

- high quality: it is unlikely that further research will change our confidence in the estimate of effect;
- moderate quality: further research is likely to have an impact and may change our confidence in the estimates of effect;
- low quality: further research is very likely to have an important impact on our confidence in the estimate of effect;
- very low quality: any estimate of effect is very uncertain.

### Sensitivity analysis

We planned to conduct sensitivity analyses for primary outcomes to explore the effect of the following methodological features:

- method of randomisation (high risk of bias, low risk of bias and unclear risk of bias);
- extent of allocation concealment at randomisation (high risk of bias, low risk of bias and unclear risk of bias);
- presence of assessor blinding (high risk of bias, low risk of bias and unclear risk of bias).

We planned to carry out these planned sensitivity analyses when there were six or more studies included in a single analysis.

## RESULTS

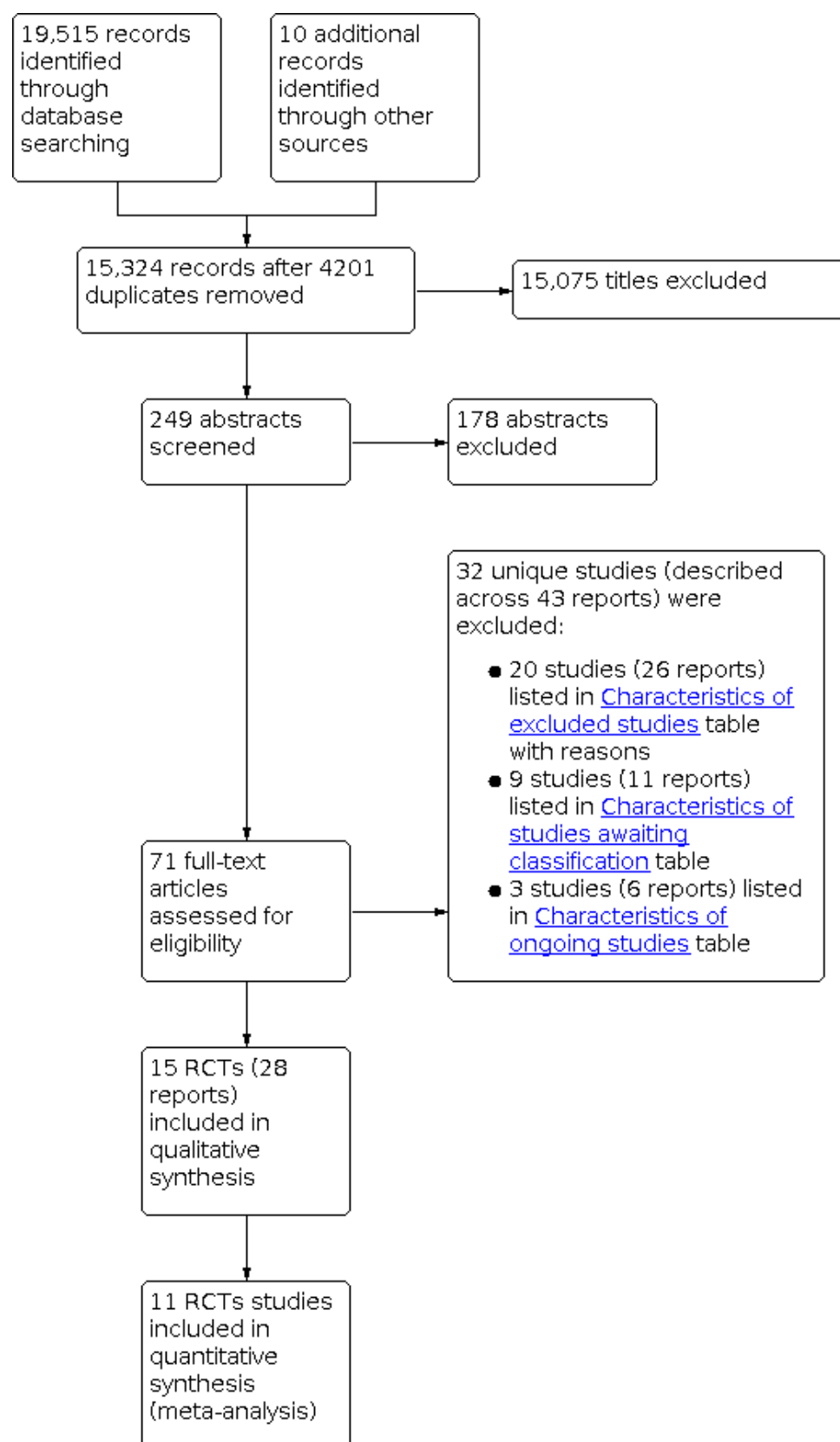
### Description of studies

#### Results of the search

Our updated search strategy identified 19,525 records from electronic databases ([bs](#)).



**Figure 1. Study flow diagram. RCT: randomised controlled trial.**





We also identified three ongoing trials ([ChiCTR-IPR-17013403](#); [Hollaar 2015](#); [MAPS-2](#)) (see [Characteristics of ongoing studies table](#)). Our searches identified nine trials which may be eligible for inclusion, but we were unable to retrieve stroke-specific data from them for the purposes of this review ([Cabov 2010](#); [IRCT2017012232101N1](#); [IRCT2017091636194N1](#); [Jin 2018](#); [Marchini 2018](#)

intervention. Details of the different intervention components are summarised in [Table 3](#).

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In this comparison we considered the benefits of OHC interventions compared to no treatment or usual care, where usual care lacked description of the nature and content of that intervention.

Of the 22 randomised trials, seven investigated OHC interventions compared with usual care. Three of these trials were educational interventions ([Ab Malik 2017](#); [Frenkel 2001](#); [Kuo 2016](#)), and the remaining four trials delivered a multi-component OHC protocol ([Fields 2008](#); [Juthani-Mehta 2015](#); [Kim 2014a](#); [SOCLE II](#)).

Four trials involved the delivery of multi-component OHC protocol compared to usual care, involving the provision of various combinations of education and training, materials (e.g. toothbrush, toothpaste, mouth gel, mouthwash, tongue cleaners, lip balm, care protocols) and assessment tools ([Table 3](#); [Fields 2008](#); [Juthani-Mehta 2015](#); [Kim 2014a](#); [SOCLE II](#)). The intervention was delivered once a day ([Kim 2014a](#)), twice a day ([Juthani-Mehta 2015](#); [SOCLE II](#)), or three times a day ([Fields 2008](#)), by a dentist ([Kim 2014a](#)), nursing aides ([Juthani-Mehta 2015](#)), or nursing staff (registered nurses, nursing assistants, nursing students) ([Fields 2008](#); [SOCLE II](#)).

Trials which delivered specific educational training interventions were diverse, and aimed at registered nurses ([Ab Malik 2017](#)), care assistants ([Frenkel 2001](#)), or informal carers ([Kuo 2016](#)). Educational interventions for staff included an online continuing professional development programme for registered nurses ([Ab Malik 2017](#)), while [Frenkel 2001](#) described face-to-face standardised OHC education training in combination with practical demonstrations for care assistants working in nursing homes. [Kuo 2016](#) delivered home-based OHC training using multiple teaching strategies

The control group was offered general stroke care training ([Ab Malik 2017](#)), delayed OHC training ([Frenkel 2001](#); [Kuo 2016](#)), usual oral care provided by nursing staff ([Fields 2008](#); [SOCLE II](#)), or standard care ([Juthani-Mehta 2015](#)). It was not clear what the control group received in [Kim 2014a](#) ([Table 3](#)).

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Three trials compared an OHC intervention with placebo ([Gosney 2006](#); [Lee 2011](#); [Seguin 2014](#)) ([Table 3](#)). The interventions included selective decontamination of the digestive tract using an Orabase gel ([Gosney 2006](#)), a povidone-iodine rinse ([Seguin 2014](#)), and Saengmaeg-san extract ([Lee 2011](#)). The interventions in this comparison were delivered by a nurse or the patient (or both) in the [Gosney 2006](#) trial. The regimens for each intervention varied: interventions were delivered three times a day ([Lee 2011](#)), four times a day ([Gosney 2006](#)), or six times per day ([Seguin 2014](#)). The duration of the intervention also varied across these trials from seven days ([Lee 2011](#)), three weeks ([Gosney 2006](#)), and up to 30 days ([Gosney 2006](#)).

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*a' ar i' trv' io'*

Twelve trials compared one OHC intervention with another OHC intervention ([Ab Malik 2018](#); [Chippes 2014](#); [Dai 2017](#); [Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#);

[Kobayashi 2017v](#); [Kobayashi 2017vi](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)).

Multi-component OHC interventions involved the provision of various combinations (see [Table 3](#)):

- training: tooth models ([Ab Malik 2018](#); [Dai 2017](#)), provision of educational leaflets ([Ab Malik 2018](#); [Dai 2017](#)), manufacturers' instructions ([Dai 2017](#));
- toothbrush: powered toothbrush ([Ab Malik 2018](#); [Chippes 2014](#); [Dai 2017](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)), or manual toothbrush ([Ab Malik 2018](#); [Chippes 2014](#); [Dai 2017](#); [Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#));
- toothpaste: commercial and generic brands ([Ab Malik 2018](#); [Chippes 2014](#); [Dai 2017](#));
- mouth gel ([Ab Malik 2018](#); [Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017vi](#));
- mouthwash: various commercial and generic brands ([Chippes 2014](#); [Dai 2017](#); [Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#));
- tongue cleaners ([Chippes 2014](#); [Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#));
- lip balm ([Chippes 2014](#));
- care protocols: for example assisted brushing ([Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#));
- other: for example floss picks ([Chippes 2014](#)); mouthpaste ([Chippes 2014](#)); water ([Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#)).

The intervention was delivered by dental assistants ([Ab Malik 2018](#); [Dai 2017](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)), registered nurses ([Chippes 2014](#); [Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#)), nurse aides ([Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)), and dentists ([Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)).

The mode of delivery was typically individual and face-to-face, but the regimen varied across trials in this comparison including OHC 'daily' ([Ab Malik 2018](#)), once a day ([Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#)), or twice daily ([Chippes 2014](#); [Dai 2017](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)).

## Outcomes

In the absence of any core outcome measurement set, we collected a broad range of outcome data reflecting data from patient, staff and service levels of care. [Table 4](#) summarises the outcome measures reported across the included trials.

## Primary outcome

- Dental plaque: eight trials measured dental plaque. Six used the Silness and Loe Plaque Index described by [Silness 1964](#) ([Ab Malik 2018](#); [Dai 2017](#); [Kim 2014a](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)), and two used the simplified Oral Hygiene Method described by [Greene 1964](#) ([Frenkel 2001](#); [SOCLE II](#)).

- Denture plaque: two trials measured denture plaque using a method described by [Augsburger 1982](#) ([Frenkel 2001](#); [SOCLE II](#)).

Registered dentists assessed plaque (dental and denture) in [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#), and dentist and dental hygienist assessed plaque in [Kim 2014a](#). In [SOCLE II](#), the [SOCLE](#) research assistant and one research nurse measured plaque. Both were trained in the procedure. A dental specialist trained the research assistant over two half-day sessions, and performed inter-rater reliability checks. The research assistant then trained the nurse and performed checks to ensure consistency in scoring. Assessor details were unclear in two trials ([Ab Malik 2018](#); [Dai 2017](#)); however, [Ab Malik 2018](#) reported that the assessor was trained by the head of the research team.

### Secondary outcome

- Presence of oral disease. Six trials measured gingival bleeding using the Gingival Bleeding Index ([Dai 2017](#); [Frenkel 2001](#); [Kim 2014a](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)). Other measures at patient level included denture-induced stomatitis ([Frenkel 2001](#)), Tooth Mobility Index ([Frenkel 2001](#); [Kim 2014a](#)), Decayed, Missing and Filled Teeth Index ([Dai 2017](#); [Kim 2014a](#)), Clinical Attachment Loss ([Kim 2014a](#)), calculus (buccal and lingual surfaces) ([Frenkel 2001](#)), and root caries ([Frenkel 2001](#)).
- Presence of related infection and oral opportunistic pathogens related to OHC and pneumonia. Eight trials collected information on pneumonia events ([Fields 2008](#); [Gosney 2006](#); [Juthani-Mehta 2015](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#); [Seguin 2014](#); [SOCLE II](#)), although diagnostic criteria varied across studies (see [Table 5](#)). Fourteen trials measured the prevalence of different opportunistic pathogens ([Ab Malik 2018](#); [Chippes 2014](#); [Dai 2017](#); [Gosney 2006](#); [Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#); [Seguin 2014](#)) ([Table 4](#)).
- Oral health knowledge and attitudes. Four trials used self-administered questionnaires to capture attitudes towards and knowledge of OHC provision ([Ab Malik 2017](#); [Frenkel 2001](#); [Kuo 2016](#); [SOCLE II](#)).
- Patient satisfaction and quality of life. Six trials included a measure of patient satisfaction or quality of life. [SOCLE II](#) reported the Oral Health Impact Profile (O-HIP), [Seguin 2014](#) reported the tolerance of the oral procedure and [Lee 2011](#) used a visual analogue scale to evaluate oral dryness. Patient satisfaction with the intervention and the condition of their mouth was assessed using a 5-point rating scale ([Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)).

### Adverse events

One trial reported data relating to any intervention adverse event (e.g. broken or missing dentures) ([SOCLE II](#)).

### Results

We noted measures of length of hospital stay ([Seguin 2014](#); [SOCLE II](#)), death ([Seguin 2014](#); [SOCLE II](#)), use of antibiotics ([Gosney 2006](#); [SOCLE II](#)), nutritional intake ([Chippes 2014](#)), swallowing assessments ([Chippes 2014](#)), and stroke severity ([Ab Malik 2018](#); [Gosney 2006](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)), but these were not extracted for the purposes of this review (see [Table 4](#)).

Most trials collected short-term data (less than one month). Follow-up data collection ranged from day five ([Chippes 2014](#)), day 10 ([Chippes 2014](#); [Fields 2008](#)); one week ([Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#); [Lee 2011](#)), two weeks ([Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#)), 2.2 weeks (ranged from one to five weeks) ([Kim 2014a](#)), three weeks ([Gosney 2006](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#)), to one month ([Ab Malik 2017](#); [Frenkel 2001](#); [Kuo 2016](#); [Seguin 2014](#)).

Longer-term follow-up ranged from two months ([Kuo 2016](#)), three months ([Ab Malik 2018](#); [Dai 2017](#)), six months ([Ab Malik 2017](#); [Ab Malik 2018](#); [Dai 2017](#); [Frenkel 2001](#)), to 2.5 years ([Juthani-Mehta 2015](#)). One trial captured participant data throughout the duration of their hospital ward stay and used national health record linkage to follow-up participants three months after discharge ([SOCLE II](#)).

### Funding sources

Funding details are summarised in the [Characteristics of included studies](#) table. Twenty trials provided funding statements ([Ab Malik 2017](#); [Ab Malik 2018](#); [Chippes 2014](#); [Dai 2017](#); [Frenkel 2001](#); [Gosney 2006](#); [Juthani-Mehta 2015](#); [Kim 2014a](#); [Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#); [Kuo 2016](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#); [Seguin 2014](#); [SOCLE II](#)); one trial provided no funding details ([Fields 2008](#)).

Sixteen trials reported no conflict of interest ([Ab Malik 2017](#); [Ab Malik 2018](#); [Dai 2017](#); [Juthani-Mehta 2015](#); [Kim 2014a](#); [Kobayashi 2017i](#); [Kobayashi 2017ii](#); [Kobayashi 2017iii](#); [Kobayashi 2017iv](#); [Kobayashi 2017v](#); [Kobayashi 2017vi](#); [Kuo 2016](#); [Lam 2013i](#); [Lam 2013ii](#); [Lam 2013iii](#); [SOCLE II](#)); three trials did not report a conflict of interest statement ([Chippes 2014](#); [Fields 2008](#); [Frenkel 2001](#)); and two trials reported a potential conflict of interest ([Gosney 2006](#); [Seguin 2014](#)) (see [Characteristics of included studies](#) table).

We were unable to determine whether [Lee 2011](#) had published a funding statement or reported a conflict of interest because of a lack of translation.

### Excluded studies

We excluded 20 trials. We were unable to obtain information specific to participants who had experienced a stroke from eight potentially eligible trials ([Brailsford 2002](#); [Hajizamani 2006](#); [Mojon 1998](#); [Quagliarello 2009](#); [Redwood 2001](#); [Schou 1989](#); [Simons 1997](#); [Simons 2002](#)). We excluded one trial as it did not target OHC in people after stroke ([Kim 2014b](#)). We excluded four trials as they were not OHC interventions ([Duck-Won 2013](#); [Forster 2013](#); [Hägglund 2017](#); [NCT01777672](#)). We excluded three trials evaluating specialist dental interventions (e.g. periodontal therapy), which were not 'routine assisted OHC' ([Jones 2007](#); [Kikutani 2006](#); [NCT02541032](#)). Two trials had an OHC components but one did not report relevant outcome measures ([Murray 2016](#)), and the other provided matched OHC interventions across both participant groups ([NCT02379182](#)). We excluded the remaining trials because they reported no patient involvement ([Lee 2017](#)), or targeted secondary stroke prevention ([Boden-Albala 2016](#)). Details for exclusion can be found in the [Characteristics of excluded studies](#) table.



**Figure 3. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.**

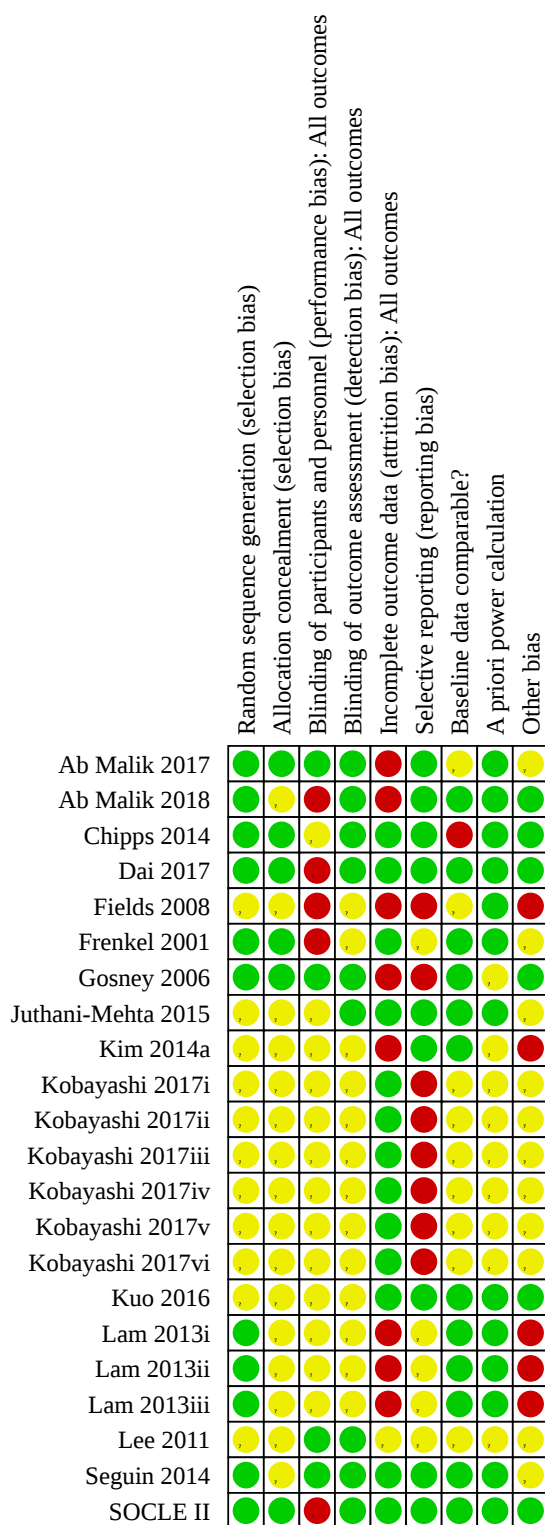


Figure 3. (Continued)

SOCLE II 

Half of the randomised trials (11/22) explicitly reported both inclusion and exclusion criteria (Chippes 2014; Fields 2008; Frenkel 2001; Gosney 2006; Juthani-Mehta 2015; Kuo 2016; Lam 2013i; Lam 2013ii; Lam 2013iii; Seguin 2014; SOCLE II). Four trials did not provide details of the exclusion criteria (Ab Malik 2017; Ab Malik 2018; Dai 2017; Kim 2014a). Six trials reported the exclusion criteria only (Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi), and we were unable to extract inclusion and exclusion criteria details in Lee 2011.

Nine trials provided statistical data for the meta-analyses (Ab Malik 2017; Ab Malik 2018; Frenkel 2001; Gosney 2006; Kim 2014a; Kuo 2016; Lee 2011; Seguin 2014; SOCLE II). Suitable statistical summary data were unavailable or could not be extracted for inclusion within the meta-analysis in 13 trials (Chippes 2014; Dai 2017; Fields 2008; Juthani-Mehta 2015; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi; Lam 2013i; Lam 2013ii; Lam 2013iii).

### Allocation

Eleven trials reported the randomisation sequence. Methods to generate the sequence included block randomisation (Dai 2017; Lam 2013i; Lam 2013ii; Lam 2013iii), computer generated (Ab Malik 2017; Ab Malik 2018; Chippes 2014; Gosney 2006; Seguin 2014; SOCLE II), and a random number table (Frenkel 2001). We judged the remaining trials as having an unclear risk of bias because the method of randomisation sequence generation was not reported (Fields 2008; Juthani-Mehta 2015; Kim 2014a; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi; Kuo 2016), or we were unable to translate the full text (Lee 2011).

We judged allocation concealment as adequate in six trials (Ab Malik 2017; Chippes 2014; Dai 2017; Frenkel 2001; Gosney 2006; SOCLE II); we judged the remaining 16 trials as unclear risk of bias as they did not report allocation concealment in sufficient detail (Ab Malik 2018; Fields 2008; Juthani-Mehta 2015; Kim 2014a; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi; Kuo 2016; Lam 2013i; Lam 2013ii; Lam 2013iii; Lee 2011; Seguin 2014).

### Blinding

Four trials reported blinding participants who were involved in the trial (Ab Malik 2017; Gosney 2006; Lee 2011; Seguin 2014); of these, three trials compared OHC intervention with a placebo (Gosney 2006; Lee 2011; Seguin 2014). We judged five trials as potentially high risk for blinding participants and personnel (Ab Malik 2018; Dai 2017; Fields 2008; Frenkel 2001; SOCLE II). There was insufficient information available to judge risk of bias in the remaining 13 trials (Chippes 2014; Juthani-Mehta 2015; Kim 2014a; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi; Kuo 2016; Lam 2013i; Lam 2013ii; Lam 2013iii).

While it is frequently challenging to blind participants or clinicians because of the nature of the intervention, it is possible to blind the outcome assessor. However, only nine trials reported blinding the outcome assessors to group allocation (Ab Malik 2017; Ab Malik 2018; Chippes 2014; Dai 2017; Gosney 2006; Juthani-Mehta 2015; Lee 2011; Seguin 2014; SOCLE II). Blinding to outcome measures was unclear in 13 trials (Fields 2008; Frenkel 2001; Kim 2014a; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi; Kuo 2016; Lam 2013i; Lam 2013ii; Lam 2013iii).

### Incomplete outcome data

Thirteen trials reported dropout and withdrawals adequately (Chippes 2014; Dai 2017; Frenkel 2001; Juthani-Mehta 2015; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi; Kuo 2016; Seguin 2014; SOCLE II). We judged eight trials at high risk of bias because of high attrition rates and a lack of explanation for dropouts (Ab Malik 2017; Ab Malik 2018; Fields 2008; Gosney 2006; Kim 2014a; Lam 2013i; Lam 2013ii; Lam 2013iii). We were unable to judge attrition bias in one trial because we were unable to obtain a translation (Lee 2011). Where available, we present details of dropouts in Table 6.

Three trials used an intention-to-treat (ITT) analysis (Chippes 2014; Juthani-Mehta 2015; SOCLE II), and one trial conducted a partial ITT analysis (Frenkel 2001). One trial reported that they employed ITT analysis although not all participants were included in the final analysis (Ab Malik 2017). Sixteen trials did not use an ITT analysis (Ab Malik 2018; Dai 2017; Fields 2008; Gosney 2006; Kim 2014a; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi; Kuo 2016; Lam 2013i; Lam 2013ii; Lam 2013iii; Seguin 2014). We were unable to judge whether ITT was employed in Lee 2011 because of a lack of translation.

### Selective reporting

We considered nine trials at low risk of reporting bias (Ab Malik 2017; Ab Malik 2018; Chippes 2014; Dai 2017; Juthani-Mehta 2015; Kim 2014a; Kuo 2016; Seguin 2014; SOCLE II) (Figure 2; Figure 3). We judged eight trials at high risk (Fields 2008; Gosney 2006; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi). We judged five trials as unclear because of insufficient detail (Frenkel 2001), due to a lack of translation (Lee 2011), or statistical information was presented in such a way that further clarification was required from the authors (Lam 2013i; Lam 2013ii; Lam 2013iii).

### Other potential sources of bias

Baseline demographics were comparable and judged at low risk in 12 trials (Ab Malik 2018; Dai 2017; Frenkel 2001; Gosney 2006; Juthani-Mehta 2015; Kim 2014a; Kuo 2016; Lam 2013i; Lam 2013ii; Lam 2013iii; Seguin 2014; SOCLE II). We judged one trial at high risk as the intervention group had a higher baseline incidence of positive *S aureus* cultures (Chippes 2014). The remaining trials did not present participants' baseline demographic details so we were unable to draw any conclusions about comparability in these trials



(Ab Malik 2017; Fields 2008; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi). We were unable to obtain baseline demographics in Lee 2011 because of a lack of translation.

Sample size calculations were conducted a priori in 13 trials (Ab Malik 2017; Ab Malik 2018; Chipps 2014; Dai 2017; Fields 2008; Frenkel 2001; Juthani-Mehta 2015; Kuo 2016; Lam 2013i; Lam 2013ii; Lam 2013iii; Seguin 2014; SOCLE II). As a pilot trial, SOCLE II described plans for sample size calculations based on the trial findings but described an a priori sample target for the patient and healthcare staff participant population within the pilot. Sample size calculations were not reported in the remaining nine comparisons (Gosney 2006; Kim 2014a; Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi; Lee 2011).

We judged several trials to have an unclear risk of bias due to limited data (Kobayashi 2017i; Kobayashi 2017ii; Kobayashi 2017iii; Kobayashi 2017iv; Kobayashi 2017v; Kobayashi 2017vi), or partial reporting of trial methodology (Ab Malik 2017; Kim 2014a; Seguin 2014). For example, Kim 2014a reported that complete randomisation was not performed through the entire process of the trial, but there is little information about what issues may have led to incomplete randomisation. We were unable to obtain a complete translation of one paper and were unable to judge whether there were other bias reported (Lee 2011).

Two trials were terminated early for futility (Fields 2008; Juthani-Mehta 2015). The quality of the Fields 2008 trial was difficult to judge because there were very little information or summary data in the published report. In contrast, Juthani-Mehta 2015 was stopped as the conditional power under observed treatment difference was nearly zero.

## Effects of interventions

See: **Summary of findings 1** Oral health care interventions compared with usual care for people after stroke; **Summary of findings 2** Oral health care intervention compared with placebo for people after stroke; **Summary of findings 3** One oral healthcare intervention compared with another oral healthcare intervention for people after stroke

The results of this review are presented below within the three comparisons: OHC versus usual care or no treatment, OHC versus placebo, and OHC intervention versus another OHC intervention.

### Comparison 1: oral health care versus usual care

See **Summary of findings 1**.

Seven trials investigated the effectiveness of an OHC intervention compared with usual care (Ab Malik 2017; Fields 2008; Frenkel 2001; Juthani-Mehta 2015; Kim 2014a; Kuo 2016; SOCLE II).

#### Primary outcome

##### 1.1 Dental plaque

We present the data from two trials that reported dental plaque (Frenkel 2001; Kim 2014a). Multi-component OHC interventions showed no evidence of a difference in the mean score (DMS) for dental plaque at one month post-intervention (DMS -0.66, 95% CI

-1.40 to 0.09; 2 trials, 83 participants;  $I^2 = 83\%$ ;  $P = 0.08$ : very low-quality evidence; **Analysis 1.1**).

Six months after the multi-component OHC intervention, dental plaque scores in Frenkel 2001 were similar for the residents in both groups of residential homes (DMS -0.43, 95% CI -0.98 to 0.13;  $P = 0.13$ ) (**Analysis 1.2**).

##### 1.2 Denture plaque

Residents in the homes where staff had access to the multi-component OHC intervention had less plaque on their dentures than those residents in homes that continued to provide usual care (DMS -1.31, 95% CI -1.96 to -0.66; 1 trial, 38 participants;  $P < 0.0001$ ; low-quality evidence) (Frenkel 2001). This difference was still observed six months after the training intervention (DMS -1.57, 95% CI -2.23 to -0.92;  $P < 0.00001$ ) (Frenkel 2001) (**Analysis 1.3**).

#### Secondary outcome

##### 1.3 Presence of oral disease

###### 1.3.1 Gingivitis

There was no evidence of a difference in gingivitis among participants receiving the multi-component OHC protocol compared with usual care one month after the intervention (DMS -0.60, 95% CI -1.66 to 0.45; 2 trials, 83 participants;  $I^2 = 93\%$ ;  $P = 0.26$ ; very low-quality evidence) (Frenkel 2001; Kim 2014a) (**Analysis 1.4**).

Six months after training, there was no evidence of a significant difference in gingivitis between the intervention and usual care groups in the Frenkel 2001 trial (DMS -0.25, 95% CI -0.61 to 0.10) (**Analysis 1.5**).

###### 1.3.2 Denture-induced stomatitis

Residents' denture-induced stomatitis showed no evidence of a difference between the groups one or six months after the multi-component OHC intervention (1 month: DMS -0.33, 95% CI -0.92 to 0.26; 38 participants; 1 trial;  $P = 0.28$ ; low-quality evidence; 6 months: DMS -0.10, 95% CI -0.61 to 0.40; 1 trial;  $P = 0.69$ ) (Frenkel 2001) (**Analysis 1.6**).

##### 1.4 Presence of related infection or oral opportunistic pathogens

###### 1.4.1 Pneumonia

There was no evidence of a difference in the incidence of pneumonia among participants in wards with access to a multi-component OHC intervention (99 participants; 5 incidents of pneumonia) compared with those receiving usual care (105 participants; 1 incident of pneumonia) (OR 4.17, CI 95% 0.82 to 21.11; 1 trial;  $P = 0.08$ ; low-quality evidence) (SOCLE II) (**Analysis 1.7**).

##### 1.5 Stroke survivor and providers' knowledge of and attitudes to oral health care

###### 1.5.1 Knowledge

We pooled the data from three trials that targeted change in OHC knowledge among stroke survivors and OHC providers' including registered nurses (Ab Malik 2017), nursing home care assistants (Frenkel 2001), and family carers (Kuo 2016). One month after training, stroke survivors and OHC providers demonstrated higher knowledge scores than providers who had no access to training

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(SMD 0.70, 95% CI 0.06 to 1.35; 3 trials, 728 participants;  $I^2$



## Summary

### 3.3 Presence of related infection or oral opportunistic pathogens

#### 3.3.1 Aerobic Gram-negative bacilli

Two trials reported the prevalence of AGNB at three months ([Ab Malik 2018](#); [Dai 2017](#)). There was no evidence of a difference between participants who received the enhanced OHC intervention and those who received routine OHC (RR 1.00, CI 95% 0.71 to 1.42; 126 participants;  $P = 1.00$ ) ([Analysis 3.3](#)).

Similarly, there was no evidence of a difference in the prevalence of AGNB at six months in one trial (RR 0.80, CI 95% 0.47 to 1.38; 52 participants;  $P = 0.42$ ) ([Analysis 3.4](#)).

#### 3.3.2 Candida

One trial reported the prevalence of oral candida ([Ab Malik 2018](#)). There was no evidence of a difference in the total number of participants with oral candida among participants who received enhanced OHC intervention or routine OHC at three or six months' follow-up (3 months: RR 1.08, CI 95% 0.61 to 1.89; 52 participants;  $P = 0.80$ ; [Analysis 3.5](#); 6 months: RR 1.17, CI 95% 0.62 to 2.20; 52 participants;  $P = 0.63$ ; [Analysis 3.6](#)).

#### 3.3.3 Staphylococcus aureus

Two trials reported the prevalence of *S aureus* in stroke survivors measured at day 10 ([Chipps 2014](#)), and at three months ([Dai 2017](#)). There was no evidence of a difference between the groups receiving enhanced OHC or routine OHC interventions (OR 1.29, CI 95% 0.57 to 2.91; 119 participants;  $P = 0.55$ ) ([Analysis 3.7](#)).

### Sensitivity analysis

As such disparate trials were included in the review, we had no opportunity to conduct the sensitivity analyses planned at the protocol stage.

## DISCUSSION

### Summary of main results

In this third update of this review we included 15 trials (22 randomised comparisons, which we referred to as 22 trials) involving 3631 participants with data for 1546 people with stroke, 1028 healthcare providers and 94 informal carers that compared the effects of OHC interventions with usual care, placebo or another OHC intervention (see [Summary of findings 1](#); [Summary of findings 2](#); [Summary of findings 3](#)).

#### Comparison 1: oral healthcare interventions versus usual care

See [Summary of findings 1](#).

- No moderate or high-quality evidence for improving oral health in people after stroke.
- Low and very low-quality evidence showed that:
  - OHC interventions could improve denture plaque one month after training which was maintained six months after the intervention was delivered;
  - OHC interventions could improve stroke survivor and providers' knowledge one month after training. The improvement in knowledge was sustained six months after the intervention was delivered;

OHC interventions could improve stroke survivor and providers' attitudes to OHC one month after training, but this improvement was not sustained longer term (greater than one month).

#### Comparison 2: oral healthcare intervention versus placebo

See [Summary of findings 2](#).

- No moderate- or high-grade evidence for improving oral health in people after stroke.
- Low-quality evidence showed no benefit or harm for OHC interventions compared with placebo on the incidence of pneumonia; however, people with stroke treated with gel for selective decontamination of the digestive tract had a lower incidence of pneumonia compared with placebo gel.

#### Comparison 3: one oral healthcare intervention versus another oral healthcare intervention

See [Summary of findings 3](#).

- No moderate- or high-quality evidence for improving oral health in people after stroke.
- Low-quality evidence showed no benefit or harm for enhanced multi-component OHC interventions compared with other OHC interventions for dental plaque.

### Overall completeness and applicability of evidence

Despite the inclusion of several new trials of OHC for people after stroke since our last review update ([Brady 2006](#)), there remains a lack of high-quality evidence to inform OHC in stroke care settings. Trials have evaluated three broad groups of OHC interventions: specialist OHC training compared to usual care (which was often no training), a specific OHC product compared to a placebo or enhanced multi-component OHC intervention compared to usual OHC interventions.

OHC interventions were shown to have a positive benefit on denture plaque at one month ([Frenkel 2001](#)), which was still evident at six months ([Frenkel 2001](#)). OHC interventions provided to healthcare staff had a positive impact on staff knowledge in two trials ([Ab Malik 2017](#); [Frenkel 2001](#)), as did training for informal carers (i.e. family carers) who were caring for stroke survivors at home in a third trial ([Kuo 2016](#)). These benefits persisted two months ([Kuo 2016](#)), and six months in a residential care setting after the training ([Frenkel 2001](#)). Staff attitudes towards OHC showed no evidence of training benefit, but improved attitudes were evident among family carers attitudes after OHC training ([Kuo 2016](#)).

Our review identified one trial that evaluated the effectiveness of a highly specific OHC intervention across a wide stroke population, including participants who were unable to provide informed consent (but proxy consent was provided instead). There was evidence of a beneficial effect of the decontamination gel compared with placebo gel ([Gosney 2006](#)).

This was a highly complex review which incorporated many multi-component interventions. Additional description of the specific participants included within each of the trials would be relevant, but we did not plan to profile participants based on their level of dependency for personal self-care, presence of dysphagia, cognitive status or the chronicity of the stroke.



knowledge and attitudes, and the benefits of decontamination gel on the incidence of pneumonia. Further trials are needed to identify the optimal approach to OHC after stroke. Some efforts need to be made to increase the co-ordination of research on this topic given the wide range of outcome measures and even wider range of measurement tools seen in this review. Consistent use of the recent consensus terminology and diagnostic criteria for pneumonia after stroke (Smith 2015), and consensus on core outcome set for trials of OHC interventions after stroke would greatly improve the strength of future meta-analyses on this topic. We welcome the recently funded work on a core outcome set relevant to this review (COMET Initiative; [www.comet-initiative.org/studies/details/1081?result=true](http://www.comet-initiative.org/studies/details/1081?result=true)).

Inclusion of a clinically representative stroke population is important for the clinical relevance of future research. Of the trials included in this review, only four reported including people with incapacity or severe stroke impairments. We know that severity of stroke is linked to pneumonia incidence and that people who are most severely impaired are those who are also most reliant on others to support their OHC (Kim 2018). While recruitment of these subgroups of stroke survivors may be a challenge, it is important to capture a clinically relevant trial population so that we can develop and evaluate effective interventions that will benefit these subgroups in practice.

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The views expressed here are those of the review authors and not necessarily those of the Chief Scientist Office or the Scottish Government.

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\* Indicates the major publication for the study

## CHARACTERISTICS OF STUDIES

### Characteristics of included studies [ordered by study ID]

#### Ab Malik 2017

*Su y \ ' a r a . t r i i .*

|               |  |
|---------------|--|
| Methods       | <p>Cluster RCT randomised at hospital level, Malaysia</p> <p>Study recruitment and setting details: see <a href="#">Table 1</a></p>  |
| Participants  | <p>Inclusion criteria: all registered nurses caring for people with stroke were invited to take part; informed written consent</p> <p>Exclusion criteria: not reported</p> <p>OHC training group: 277 registered nurses</p> <p>General stroke care training group: 270 registered nurses</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>   |
| Interventions | <p><b>OHC training</b></p> <ul style="list-style-type: none"> <li>• Intervention: Internet-based continuing professional development programme</li> <li>• Materials: secure internet portal, computer</li> <li>• Agent: none</li> <li>• Procedures: online training programme was specific to provision of oral hygiene care in stroke patients. Programme covered oral health knowledge, attitudes, subjective norms, means of behavioural control and intention. Contents included information on good oral condition and importance of having good oral health, consequences of poor oral hygiene and importance of nurses' roles and care of people with stroke. Provided by: stroke physicians (rehabilitation medicine) and dentists, and followed good practices of computer-aided learning for oral health. Development of contents was guided by the definition of the theory of planned behaviour domains and scope of the study.</li> <li>• Training: as described above</li> <li>• Delivery: online; 1-to-1</li> <li>• Location: unclear</li> <li>• Regimen: participants were reminded and encouraged to complete the Internet-based continuing professional development programme every 6 weeks; no details about length of programme</li> <li>• Tailoring: not reported</li> <li>• Modification: not reported</li> <li>• Adherence: not reported</li> </ul> <p><b>General stroke care training</b></p> <ul style="list-style-type: none"> <li>• Intervention: Internet-based generic continuing professional development programme not specific to OHC</li> <li>• Materials: secure internet portal, computer</li> <li>• Procedures: programme related to 'bundles of care' for people with stroke that included some details on oral hygiene care but not specific to theory of planned behaviour.</li> <li>• Provided by: stroke physicians and physicians</li> <li>• Training: as described above</li> <li>• Delivery: online; 1-to-1</li> <li>• Location: unclear</li> <li>• Regimen: participants were reminded and encouraged to complete the Internet-based continuing professional development programme every 6 weeks; no details about length of programme</li> <li>• Tailoring: not reported</li> <li>• Modification: not reported</li> <li>• Adherence: not reported</li> </ul> |

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Data collection: baseline, 1 and 6 months postintervention

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|         |  |
|---------|--|
| Funding | Authors declared no conflicts of interest. Study funded by The University of Hong Kong |
|---------|--|

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|       |  |
|-------|--|
| Notes | Dropouts are detailed in <a href="#">Table 6</a> |
|-------|--|

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Statistical data included within the review meta-analyses

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*i k o i a*

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## Ab Malik 2017 (Continued)

|            |              |  |
|------------|--------------|--|
| Other bias | Unclear risk | Comment: limited information supplied about how long each group were exposed to the intervention (i.e. how frequently they used the programme and total duration). |
|------------|--------------|--|

## Ab Malik 2018

### *Su y h a r a t r i i*

|               |   |
|---------------|---|
| Methods       | Parallel RCT randomised at individual level, Malaysia<br><br>Study recruitment and setting details: see <a href="#">Table 1</a>   |
| Participants  | Inclusion criteria: hospitalised people with stroke managed by a stroke rehabilitation team (mBI < 70), able to follow instructions, medically stable, not receiving antibiotics or antimicrobial agents and were not edentulous<br><br>Exclusion criteria: not reported<br><br>Intense method group: 38 participants<br><br>Conventional method group: 48 participants<br><br>Details of participants are shown in <a href="#">Table 2</a>   |
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: intense method for plaque control</li> <li>Materials: powered tooth-brush (Oral B Pro-Health DB4010), gel (Hexigel chlorhexidine gluconate gel)</li> <li>Agent: 1% chlorhexidine gel (Hexigel chlorhexidine gluconate gel)</li> <li>Procedures: daily tooth brushing with powered toothbrush and gel. Individual oral hygiene instruction given by dental assistant, using a plastic tooth model and a pamphlet on tooth brushing techniques</li> <li>Provided by: dental assistant</li> <li>Training: not reported</li> <li>Delivery: face-to-face, 1:1, ward</li> <li>Regimen: "daily"; no other details reported</li> <li>Tailoring: not reported</li> <li>Modification: not reported</li> <li>Adherence: not reported</li> </ul> <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: conventional method for plaque control</li> <li>Materials: manual Oral-B-super thin and extra soft bristles toothbrush, standard commercial tooth-paste (Colgate Maximum Cavity Protection)</li> <li>Procedures: daily manual tooth brushing. Individual oral hygiene instruction given by dental assistant, using a plastic tooth model and a pamphlet on tooth brushing techniques</li> <li>Provided by: dental assistant</li> <li>Training: not reported</li> <li>Delivery: face-to-face, 1:1, ward</li> <li>Regimen: "daily"; no other details reported</li> <li>Tailoring: not reported</li> <li>Modification: not reported</li> <li>Adherence: not reported</li> </ul> |
| Outcomes      | Primary outcomes: Dental Plaque Index assessed using Silness and Loe Plaque Index ( <a href="#">Silness 1964</a> )  |

**Ab Malik 2018** (Continued)

Secondary outcomes: presence and type of dental prosthesis, mBI

Data collection: baseline, 3 and 6 months postintervention

|         |   |
|---------|---|
| Funding | Study authors declared no conflicts of interest. Study funded by The University of Hong Kong                      |
| Notes   | Dropouts are detailed in <a href="#">Table 6</a><br><br>Statistical data included within the review meta-analyses |

**i k o i a**

| Bias  | Authors' judgement | Support for judgement   |
|---|--------------------|---|
| Random sequence generation (selection bias)                               | Low risk           | Comment: participants were block randomised into 2 groups, in a group size of 2 (ABBA)<br><br>Quote: "Computer-generated randomisation sequences were used for the random allocation of the patients, and this was performed by the head of the research team."   |
| Allocation concealment (selection bias)                                   | Unclear risk       | Comment: insufficient information   |
| Blinding of participants and personnel (performance bias)<br>All outcomes | High risk          | Comment: reported as a "single-blinded study." Oral hygiene kits were prepared by a dental assistant who was not involved in oral health assessments and sample collections. Each oral hygiene kit was placed in the same type of packaging, colour coded and was not transparent. However, participants must have been aware of difference in toothbrushes (manual vs powered), and the application of gel (or not). |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Low risk           | Quote: "Patient's allocation was kept anonymous from the examiner." "One examiner was involved in the assessment of all patients at the three-time points. The examiner was trained on the oral assessment by the head of the research team and functional assessments (mBI) by a rehabilitation physician before commencing with the study."   |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | High risk          | Comment: dropouts accounted for but high attrition rate (37%) across the trial (13/38 in intensive group; 19/48 in conventional group) at 6 months. ITT not employed.   |
| Selective reporting (reporting bias)                                      | Low risk           | Comment: all prespecified outcomes reported.  |
| Baseline data comparable?   | Low risk           | Quote: "No significant differences between test and control groups."  |
| A priori power calculation  | Low risk           | Yes.<br><br>Quote: "The primary outcome was the changes in the dental plaque score [PI scores]. A sample size of 23 subjects per group was calculated based on the detection of a clinically meaningful PI change score of 0.55 an anticipated SD of 0.642 and 80% power. To allow for potential dropout rates of 40%; at least 38 patients per group were required."   |
| Other bias  | Low risk           | None identified.  |

## Chipps 2014

### Study characteristics

|               |   |
|---------------|---|
| Methods       | <p>RCT randomised at individual level, USA</p> <p>Study recruitment and setting details: see <a href="#">Table 1</a></p>  |
| Participants  | <p>Inclusion criteria: aged <math>\geq 18</math> years, able to communicate in English and give informed consent, primary diagnosis of a stroke within 30 days of admission to the rehabilitation unit, admitted directly from an acute care facility, oral or pharyngeal dysphasia identified by a bedside swallow examination by speech and language therapist, modified barium swallow or fiberoptic endoscopic evaluation of swallowing</p> <p>Exclusion criteria: current comorbid diagnoses of pneumonia, known infection of oral cavity or receiving therapy for infection of oral cavity (or both), documented history of a haematological disorder, medically restricted fluid intake, allergy to Listerine or other study products, currently wearing dentures, pregnant or nursing mothers, history of MRSA infection or colonisation</p> <p>Enhanced oral care group: 29 participants</p> <p>Routine oral care group: 22 participants</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>   |
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: enhanced oral care</li> <li>Materials: oral care box which contained supplies (battery-operated toothbrush, toothpaste, Listerine, floss picks, tongue cleaner, lip balm)</li> <li>Agent: Braun Oral B with timer, Crest-Pro-Health Toothpaste, Listerine, Glide disposable Floss Picks, Sunstar Dual Action tongue Cleaner, Carmex Lip Balm</li> <li>Procedures: twice daily OHC, 5 elements – timed toothbrushing with battery powered toothbrush twice a day for 30 seconds in each quadrant, tongue brushing, flossing, mouthrinse and lip care provided by trained registered nurse interventionists</li> <li>Provided by: registered nurses</li> <li>Training: all staff – in-service training which included details of study, and importance of blinded assessments. Subgroup of Royal College of Nurses selected as study interventionists had training sessions on the new protocol. Dentist and dental hygienist provided training in use of equipment and approach</li> <li>Delivery: face-to-face, 1:1, ward</li> <li>Regimen: twice daily at predetermined time points for 10 days, mouthrinse once per day. Timed toothbrushing 30 seconds in each quadrant for 10 days</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: not reported</li> </ul> <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: routine oral care</li> <li>Materials: standard stock hospital care products</li> <li>Agent: hospital toothbrush (Sage), Oral Care Sodium Bicarbonate Mouthpaste (Sage), Careline alcohol-free mouthwash, Regular Chaplet lip balm</li> <li>Procedures: OHC as per hospital policy</li> <li>Training: inservice describing the study protocol, included details of study and importance of blinded oral assessments</li> <li>Provided by: all nursing staff and patient care assistants</li> <li>Delivery: face-to-face, 1:1, ward</li> <li>Regimen: OHC as per hospital policy which includes toothbrushing, mouthrinse and lip balm with standard stock hospital OHC products. Once or twice daily as clinically appropriate</li> <li>Tailoring: none</li> </ul> |

## Chipps 2014 (Continued)

- Modification: none
- Adherence: not reported

|          |   |
|----------|---|
| Outcomes | Outcomes: nasal and oropharyngeal cultures; R-THROAT assessment; MASA; FOIS<br><br>Data collection: baseline (time 1), study day 5 (time 2), and following completion of 10-day protocol (time 3). FOIS assessed on days 2 and 10 |
| Funding  | Conflicts of interest: not reported. Study funded by Sigma Theta Tau International and the Rehabilitation Nurses Foundation   |
| Notes    | Dropouts are detailed in <a href="#">Table 6</a><br><br>Suitable statistical data permitting inclusion within the review meta-analyses unavailable  |

## *i k o i a*

| Bias  | Authors' judgement | Support for judgement  |
|---|--------------------|--|
| Random sequence generation (selection bias)                               | Low risk           | Comment: computer-generated randomised table.  |
| Allocation concealment (selection bias)                                   | Low risk           | Comment: sealed envelope determining study group.  |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Unclear risk       | Quote: "subjects in the intervention group received an 'oral care box' which contained supplies required for the intervention oral care intervention. This box was placed out of view of the speech and language therapists. All staff members were made aware of the patient's inclusion in the study with a sign over the bed."<br><br>Comment: the initial training provided to all nursing staff and patient care assistants who worked on the unit provided details of the study and included the importance of the blinded oral assessments done by the speech and language therapists |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Low risk           | Comment: oral cavity assessments (R-THROAT) and MASA were obtained by 2 blinded speech and language therapists   |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk           | Comment: dropouts accounted for. High attrition rate for the enhanced group (8 participants) compared with routine group (1 participant). ITT analysis employed.   |
| Selective reporting (reporting bias)                                      | Low risk           | Comment; all prespecified outcomes reported.   |
| Baseline data comparable?   | High risk          | Quote: "No significant in patient characteristics (age, gender and race) or swallowing ability. However the control group had a significantly higher baseline R-THROAT score and the intervention group had a higher baseline incidence of positive <i>S. aureus</i> cultures."  |
| A priori power calculation  | Low risk           | Yes.<br><br>Quote: "Sample size based on a 22 point difference in MASA test. To obtain 70% power for a 2-sample t-test, 21 subjects were needed for each group."   |
| Other bias  | Low risk           | Comment: none identified.  |



## Dai 2017

### Su y h a r a t r i i

|               |   |
|---------------|---|
| Methods       | <p>RCT randomised at individual level, Hong Kong Special Administrative Region of the People's Republic of China</p> <p>Study recruitment and setting details: see <a href="#">Table 1</a></p>  |
| Participants  | <p>Inclusion criteria: admitted to outpatient rehabilitation programme within 6 months; had moderate-to-severe functional disability – BI scores &lt; 70; not edentulous; no more than mild cognitive impairment (i.e.) Mini Mental Status Examination &gt; 18; able to follow a 1-step command (as an assessment of communication); no indwelling nasogastric feeding tubes</p> <p>Exclusion criteria: none reported</p> <p>Advanced oral hygiene care group: 47 participants</p> <p>Conventional oral hygiene care group: 47 participants</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>   |
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: advanced oral hygiene care programme</li> <li>Materials: powered toothbrush (Oral-B AdvancePower™ 400 series), 0.2% chlorhexidine gluconate mouthrinse (Corsodyl), a standard toothpaste (Colgate Maximum Cavity Protection), oral hygiene pamphlet</li> <li>Agent: 0.2% chlorhexidine gluconate mouthrinse (Corsodyl), standardised toothpaste (Colgate Maximum Cavity Protection)</li> <li>Procedures: supply of a powered toothbrush, mouthrinse, toothpaste and oral hygiene training. All participants attended a 1-to-1 oral hygiene training conducted by a dental surgery assistant. Participants were provided with specific manufacturer's instructions regarding the use of powered toothbrush. In addition, they were provided with a 3 months' supply of mouthrinse and were instructed to rinse twice daily with 10 mL of the mouthrinse (at least 30 minutes after brushing)</li> <li>Provided by: dental surgery assistant</li> <li>Training: all participants were given a standardised OHC pamphlet. OHC practice was demonstrated on tooth block models. Participants were asked to adhere to OHC protocol and brush their teeth in a systematic way. Training sessions lasted approximately 30 minutes</li> <li>Delivery: face-to-face, 1:1</li> <li>Location: non-clinical room</li> <li>Regimen: toothbrushing and mouthrinse twice daily for 6 months</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: none</li> </ul> <p><b>Multi-component OHC Intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: conventional oral hygiene care programme</li> <li>Materials: standard stock hospital care products</li> <li>Agent: manual toothbrush (Oral-B Pro-Health All-In-One), standard toothpaste (Colgate Maximum Cavity Protection)</li> <li>Procedures: supplied manual toothbrush and toothpaste plus oral hygiene training</li> <li>Provided by: dental surgery assistant</li> <li>Training: all participants attended a 1-to-1 OHC training conducted by a dental surgery assistant. All participants were given a standardised OHC pamphlet. OHC practice was demonstrated on tooth block models. Participants were asked to adhere to OHC protocol and brush their teeth in a systematic way. Training sessions lasted approximately 30 minutes</li> </ul> |

**Dai 2017** (Continued)

- Delivery: face-to-face, 1:1
- Location: non-clinical room
- Regimen: twice daily for 6 months
- Tailoring: none
- Modification: none
- Adherence: none

|          |   |
|----------|---|
| Outcomes | <p>Primary outcomes: oral hygiene status as assessed by Dental Plaque Index (<a href="#">Silness 1964</a>), and Gingival Bleeding Index (<a href="#">Ainamo 1975</a>)</p> <p>Secondary outcomes: oral hygiene status and gingival bleeding at 6 months, dental caries experience, periodontal health, oral mucosa conditions, dental prosthesis status, adverse effects of chlorhexidine</p> <p>Data collection: baseline, 3- and 6-month follow-up</p> |
| Funding  | Study authors declared no conflicts of interest. Study funded by General Research Fund, Hong Kong (Project no. 774012)  |
| Notes    | <p>Dropouts are detailed in <a href="#">Table 6</a></p> <p>Suitable statistical data permitting inclusion within the review meta-analyses were not available</p>  |

**i k o i a**

| Bias  | Authors' judgement | Support for judgement  |
|---|--------------------|--|
| Random sequence generation (selection bias)                               | Low risk           | Quote: computer generated "block randomized with a group size of 4 (ABBA)."  |
| Allocation concealment (selection bias)                                   | Low risk           | Quote: "The randomized sequence was computer generated by the project supervisor. The allocation sequence number of each subject was concealed in an opaque envelope and provided to a nurse at the rehabilitation centre who was independent of the research team."   |
| Blinding of participants and personnel (performance bias)<br>All outcomes | High risk          | Comment: single-blind study. Participants were aware of their treatment  |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Low risk           | Quote: "Assessors were blind to which group subjects were assigned to."  |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk           | <p>Comment: dropouts accounted for. High attrition rate for the conventional care group.</p> <p>Quote: "no significant difference in the profile and oral health status of participants with respect to participation and drop-out at 3-month assessment were apparent (Table A and B in Appendix II [of the publication]). No significant difference in the profile and oral health status of participants with respect to participation and drop-out at 6-month assessment were apparent except reported dental attendance pattern and brushing habits (<math>p &lt; 0.01</math>) (Table C and D in Appendix II [of the publication]). ITT not employed but it was reported that when conducting regression analysis, the method Last Observation Carried Forward (LOCF) was employed to deal with missing outcomes at follow-up reviews."</p> |
| Selective reporting (reporting bias)                                      | Low risk           | Comment: all prespecified outcomes reported.   |

## Dai 2017 (Continued)

|                            |          |  |
|----------------------------|----------|--|
| Baseline data comparable?  | Low risk | Quote: "At baseline there was no significant difference in the profile of subjects" [between the enhanced and conventional care groups (Table E in Appendix II in the publication)]  |
| A priori power calculation | Low risk | Yes.<br><br>Quote: "Sample size was calculated based on intended ability to detect a significant difference in the primary outcome variable – the level of dental plaque between two groups at three-month review ... the number of study subjects would require 38 per group, based on 80% power and the statistical significance level set at 0.05. Anticipating a 20% dropout rate over the course of the clinical trial, the initial sample size for each treatment group was proposed as 47 patients per group (94 subjects in total)." |
| Other bias                 | Low risk | None identified.   |

## Fields 2008

### Study characteristics

|               |   |
|---------------|---|
| Methods       | RCT randomised at individual level, USA<br><br>Study recruitment and setting details: see <a href="#">Table 1</a>   |
| Participants  | 345 (but completed data only available on 200)<br><br>Inclusion criteria: admissions to intensive care unit, mechanically ventilated, intubated in hospital for < 24 hours, no previous diagnosis of pneumonia<br><br>Exclusion criteria: people with prior tracheostomies, aged < 18 years, people with AIDS secondary to immunocompromised systems, people who were edentulous<br><br>OHC group: number of participants not reported<br><br>Usual care group: number of participants not reported<br><br>Details of participants are shown in <a href="#">Table 2</a>   |
| Interventions | <b>Multi-component OHC Intervention</b> <ul style="list-style-type: none"> <li>Intervention: OHC and timed toothbrushing in care bundle (nurse education; protocol; OHC assessment every 12 hours; OHC kit)</li> <li>Materials: OHC kit containing – new toothbrush for every OHC session; toothpaste; Toothette (foam swab), lip moisturiser</li> <li>Procedures: teeth were brushed with a suction toothbrush every 8 hours; suction as required</li> <li>Provided by: nursing staff</li> <li>Training: nursing staff instruction on OHC. Laminated care with basic instructions</li> <li>Delivery: face-to-face, 1:1</li> <li>Location: 24-bed intensive care unit</li> <li>Regimen: protocol: brushing of teeth, tongue and hard palate every 8 hours (3 times daily) for ≥ 1 minute, Toothette on teeth, tongue and hard palate for ≥ 1 minute; application of moisturiser as required; oral/pharyngeal suction as required. OHC assessment every 12 hours</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: not reported</li> </ul> <b>Control</b> |

|          |  |
|----------|--|
| Outcomes | <p>Primary outcome: VAP (<a href="#">Table 5</a> for diagnostic criteria)</p> <p>Secondary outcome: nurse-completed patient worksheets</p> <p>Data collection: worksheets documented after each oral care session</p>  |
| Funding  | Conflicts of interest: not reported. Funding: not reported   |
| Notes    | <p>Unable to obtain additional unpublished information from authors. RCT terminated early when the OHC group had a VAP rate of 0% over 1000 ventilator days, which was sustained for 6-months (while there were 4 VAPs over 6 months in usual care group)</p> <p>Outcome measure: 4 VAPs over 6 months in usual care group</p> |

## Fields 2008 (Continued)

|                            |              |  |
|----------------------------|--------------|--|
| Baseline data comparable?  | Unclear risk | Comment: no information given to judge comparability of groups.  |
| A priori power calculation | Low risk     | Comment: yes, sample size calculation was performed requiring a sample of 200 ventilator-dependent participants or 2000 ventilator days. |
| Other bias                 | High risk    | Comment: trial terminated early.   |

## Frenkel 2001

### Study characteristics

|               |  |
|---------------|--|
| Methods       | Cluster RCT randomised at nursing home level, UK<br><br>Study recruitment and setting details: see <a href="#">Table 1</a>   |
| Participants  | 22 nursing homes (with 20–40 beds), 369 carers employed in the nursing homes<br><br>Inclusion criteria: residents who wore dentures or had $\geq 1$ natural teeth or both, and whose general health permitted oral examination<br><br>Exclusion criteria: significant cognitive impairment<br><br>Workplace OHC training session group: 9 nursing homes; 72 residents (from 151 non-stroke specific residents)<br><br>Usual care group: 11 nursing homes; 40 residents (from 144 non-stroke specific residents)<br><br>Details of participants are shown in <a href="#">Table 2</a>  |
| Interventions | <p><b>Multi-component OHC Intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: workplace OHC training session</li> <li>Materials: booklet, teaching aids and models (including a dentate manikin head), toothbrushes</li> <li>Agent: none</li> <li>Procedures: educational session involved opportunity for carers to discuss feelings about oral health, role of plaque in oral disease, demonstrations of brushing techniques for dentures and natural teeth; practice on teaching aids and models (e.g. dentate manikin head). Participants given booklet on oral health and course attendance certificate. Toothbrushes were distributed to all participants to encourage oral hygiene activity</li> <li>Provided by: health promotor – dental hygienist who had a Further Adult Education certificate, a certificate in Health Education, Diploma in Dental health Delivery, 20 years' teaching experience</li> <li>Training: as described above</li> <li>Delivery: face-to-face; group; nursing homes</li> <li>Regimen: 60-minute session delivered 2 months postbaseline</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: not reported</li> </ul> <p><b>Usual care</b></p> <ul style="list-style-type: none"> <li>Intervention: usual care</li> <li>Materials: none</li> <li>Agent: none</li> <li>Procedures: none reported (quote: "health education programme was delivered to control homes after all data collection was complete")</li> </ul> |

**Frenkel 2001** (Continued)

- Provided by: none
- Delivery: none
- Regimen: none
- Tailoring: none
- Modification: none
- Adherence: not reported

|          |  |
|----------|--|
| Outcomes | <p>Primary outcomes: dental plaque (Simplified Oral Hygiene Index), denture plaque (0–4 scale), denture-induced stomatitis (0–3 scale), dental plaque (0–3 scale), gingivitis (0–2 scale), carers' oral health knowledge (26 questions), carers' attitudes (25 statements rated on 0- to 5-point scale)</p> <p>Secondary outcomes: calculus on buccal and lingual surfaces (present/absent), root caries (present/absent), tooth mobility (present/absent)</p> <p>Data collection: questionnaires were administered at baseline, 1 and 6 months</p>  |
| Funding  | Conflicts of interest: not reported. Study funded by the NHS Executive South West, Research and Development Directorate  |
| Notes    | <p>Dropouts are detailed in <a href="#">Table 6</a></p> <p>Note: availability of residents varied over the duration of the trial (baseline: 55 residents; 1 month after training: 57 residents; 6 months after training: 53 residents)</p> <p>Data included in the review reflected the knowledge and attitude of all care assistants employed within the nursing homes at the data collection points including those that started their employment after the training intervention. Thus, the impact of a training intervention delivered in a care setting with a characteristically high rate of staff turnover was reflected in the results. Not all available care assistants chose to participate in the training or to return a completed questionnaire (baseline = 80.5%; 1 month after training = 81.1%; 6 months after training = 77.2%). The number of care assistants employed varied (baseline: 369 assistants; 1 month after training: 322 assistants; 6 months after training: 289 assistants)</p> <p>Statistical data included within the review meta-analyses</p> |

**i k o i a**

| Bias  | Authors' judgement | Support for judgement   |
|---|--------------------|---|
| Random sequence generation (selection bias)                               | Low risk           | Comment: 1 researcher was not involved in the intervention or data collection allocated the 22 nursing homes using block randomisation (block size 4) to either a workplace OHC training session group or a usual care group using a table of random numbers.       |
| Allocation concealment (selection bias)                                   | Low risk           | Comment: allocation codes were passed directly to the health promoter delivering the training programme and the participating homes were asked to conceal their allocation from the data collector.   |
| Blinding of participants and personnel (performance bias)<br>All outcomes | High risk          | Comment: self-administered questionnaires so carers were aware of allocation.   |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Unclear risk       | Quote: "Health promoter visited control and intervention group homes at the outset of the trial to explain when the training sessions would take place, and to ask staff to conceal their group allocation from the investigator conducting follow-up assessments." |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk           | Comment: partial, ITT analysis – analysis of carer measures was repeated on data from all carers working at each measurement time point. This allowed assessment of whether including carers that had not been present at the time                                  |

**Interventions for improving oral health in people after stroke (Review)**

## Frenkel 2001 (Continued)

|                                      |              |  |
|--------------------------------------|--------------|--|
|                                      |              | of the initial intervention impacted upon the findings. Analysis of patient data was based only on individuals who were resident within the nursing homes at both baseline and follow-up time point. |
| Selective reporting (reporting bias) | Unclear risk | Comment: some indication of completeness of follow-up except for the dental plaque measure where some teeth could not be scored.   |
| Baseline data comparable?            | Low risk     | Comment: baseline groups comparable (age, dental status, oral health status); some differences (gender, mobility, last seen by dentist).   |
| A priori power calculation           | Low risk     | Comment: yes; sample size calculations were conducted a priori for both carers and patients.   |
| Other bias                           | Unclear risk | Comment: details of inter- or intrarater reliability were not reported.  |

## Gosney 2006

### Study characteristics

|               |   |
|---------------|---|
| Methods       | <p>RCT randomised at individual level, UK</p> <p>Study recruitment and setting details: see <a href="#">Table 1</a></p>   |
| Participants  | <p>Inclusions: within 24 hours of admission, first acute stroke</p> <p>Exclusions: receiving antibiotic or steroid medication (including inhaled steroids), prior stroke</p> <p>OHC gel group: 103 participants</p> <p>Placebo gel group: 100 participants</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>  |
| Interventions | <p><b>OHC gel (colistin, polymyxin, amphotericin B)</b></p> <ul style="list-style-type: none"> <li>Intervention: selective decontamination of digestive tract oral gel</li> <li>Materials: Orabase gel, gloves, spatula</li> <li>Agent: Orabase 500 mg gel (containing 2% (w/v) colistin, 2% (w/v) polymyxin E, 2% (w/v) amphotericin B)</li> <li>Training: not reported</li> <li>Procedures: gel was applied by a nurse (gloved finger or spatula) or by the patient (clean finger) to the mucous membranes of the mouth</li> <li>Provided by: nurse or patient</li> <li>Delivery: face-to-face; 1:1; acute stroke assessment units, hospital</li> <li>Regimen: 4 times daily. Treatment duration for participants with 'unsafe swallow' was 3 weeks; for participants with a 'safe swallow' was 2 weeks</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: not reported</li> </ul> <p><b>Placebo gel</b></p> <ul style="list-style-type: none"> <li>Intervention: placebo</li> <li>Materials: placebo gel 500 mg</li> <li>Procedures: gel was applied by a nurse (gloved finger or spatula) or by the patient (clean finger) to the mucous membranes of the mouth</li> <li>Provided by: nurse or patient</li> </ul> |

**Gosney 2006** (Continued)

- Delivery: face-to-face; 1:1; acute stroke assessment units, hospital
- Regimen: 4 times daily. Treatment duration for participants with 'unsafe swallow' was 3 weeks; for participants with a 'safe swallow' was 2 weeks
- Tailoring: none
- Modification: none
- Adherence: not reported

|          |  |
|----------|--|
| Outcomes | <p>Outcomes: colonisation by AGNB; carriage of AGNB on <math>\geq 2</math> consecutive samples; septicaemia or respiratory tract infections (or both) during hospital stay; pneumonia; BI; Scandinavian Stroke Scale; administration of antibiotics</p> <p>Data collection: oral swabs obtained at baseline, and 3 days/week for 3 weeks; BI and Scandinavian Stroke Scale measured at baseline, days 8 and 15 of hospital stay; clinical signs and symptoms of pneumonia and antibiotics prescribed were obtained from case notes</p> |
| Funding  | 1 study author (AEW) was employed as a research nurse by the funding body. Authors declared that they had no conflicts of interest. Study funded by Northwest Zonal Research and Development   |
| Notes    | <p>Dropouts are detailed in <a href="#">Table 6</a></p> <p>Statistical data included within the review meta-analyses</p>   |

**i k o i a**

| Bias  | Authors' judgement | Support for judgement   |
|---|--------------------|---|
| Random sequence generation (selection bias)                               | Low risk           | Comment: computer-generated random numbers.   |
| Allocation concealment (selection bias)                                   | Low risk           | Comment: research pharmacist conducted randomisation remotely.  |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Low risk           | Comment: double-blind study.  |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Low risk           | Comment: double-blind study.  |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | High risk          | Comment: dropouts not fully accounted for. 20 participants died, but 19 participants withdrew. No explanation for the 19 withdrawals. ITT analysis not employed.  |
| Selective reporting (reporting bias)                                      | High risk          | Comment: of 203 participants included at baseline, data only on 164 remaining in study at follow-up. BI (on days 8 and 15 of hospital stay) and Scandinavian Stroke Scale (on days 8 and 15 of hospital stay) unreported. |
| Baseline data comparable?   | Low risk           | Comment: groups comparable (gender, age, discharge destination).  |
| A priori power calculation  | Unclear risk       | Comment: not reported.  |
| Other bias  | Low risk           | Comment: none identified.   |



## Juthani-Mehta 2015

### Study characteristics

|               |   |
|---------------|---|
| Methods       | <p>Cluster-RCT randomised at nursing home level, USA</p> <p>Study recruitment and setting details: see <a href="#">Table 1</a></p>  |
| Participants  | <p>Inclusion criteria: long-term care residents aged &gt; 65 years, resided at the nursing home for ≥ 1 month, at least 1 of 2 modifiable risk factors for pneumonia (impaired oral hygiene, swallowing difficulty)</p> <p>Exclusion criteria: housing for short-term rehabilitation, presence of a gastric or jejunostomy tube, presence of a tracheostomy, life expectancy &lt; 3 months, current use of chlorhexidine, pneumonia within the previous 6 weeks, previous enrolment in the study, unwillingness to give informed consent, non-English speaking, inappropriateness for the study in opinion of nursing home administration</p> <p>Multi-component OHC group: 18 homes allocated (434 participants; of whom 100 had a stroke)</p> <p>Usual care group: 18 homes allocated (400 participants; of whom 92 had a stroke)</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>   |
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: multi-component OHC intervention</li> <li>Materials: oral chlorhexidine</li> <li>Agent: 0.12% chlorhexidine oral rinse</li> <li>Training: study personnel trained nursing home staff about intervention procedures</li> <li>Procedures: manual tooth and gum brushing plus chlorhexidine oral rinse, plus upright positioning during feeding. Tailored to participants who could either perform self-care or required assistance</li> <li>Provided by: nursing aides</li> <li>Training provider: not reported</li> <li>Delivery: face-to-face; 1:1; nursing home</li> <li>Regimen: twice per day</li> <li>Duration: unclear but study participants were followed up for 2.5 years</li> <li>Tailoring: intervention protocol was tailored to participants who could either perform self-care or required assistance (detailed in Supplementary Appendix of paper)</li> <li>Modification: none</li> <li>Adherence: adherence to chlorhexidine and toothpaste usage were measured by comparing expected vs actual volumes (<a href="#">Table 4</a>). No dropouts reported</li> </ul> <p><b>Usual care</b></p> <ul style="list-style-type: none"> <li>Intervention: standard care</li> <li>Materials: not reported</li> <li>Procedures: not reported</li> <li>Provided by: not reported</li> <li>Delivery: not reported</li> <li>Regimen: not reported</li> <li>Tailoring: not reported</li> <li>Modification: none</li> <li>Adherence: no dropouts reported</li> </ul> |
| Outcomes      | <p>Primary outcomes: radiographically documented pneumonia (<a href="#">Table 5</a>)</p> <p>Secondary outcomes: development of a first lower respiratory tract infection; adherence to chlorhexidine (compared expected vs actual chlorhexidine volume expenditure), oral brushing adherence (compared expected vs actual residual toothpaste tube weight), upright feeding positioning adherence was evaluated qualitatively once per month</p>  |

**Juthani-Mehta 2015** (Continued)

Data collection: baseline and participants were followed for up to 2.5 years for development of primary outcome

|         |   |
|---------|---|
| Funding | Study authors declared no conflicts of interest. Study funded by the National Institutes of Health, the National Institute on Aging (NIA) (K23AG028691, R01AG030093 and P30AG021342)  |
| Notes   | Dropouts are detailed in <a href="#">Table 6</a><br><br>Statistical data not included within the review meta-analyses<br><br>Note: the number of stroke participants were reported but we were unable to access the outcome data specific to participants who had a stroke and so they were not included in the meta-analyses |

**i k o i a**

| Bias  | Authors' judgement | Support for judgement  |
|---|--------------------|--|
| Random sequence generation (selection bias)                               | Unclear risk       | Comment: nursing homes were stratified into 2 groups by number of minutes that nursing aides spent with residents per day, > 140 aide minutes were high stratum, < 140 minutes per day were low stratum. Homes were randomised within stratum using a permuted block design. |
| Allocation concealment (selection bias)                                   | Unclear risk       | Comment: insufficient information.   |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Unclear risk       | Comment: insufficient information.   |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Low risk           | Comment: 2 investigators adjudicated all the outcomes, blinded to the randomisation status of the participants and the cumulative incidence during the trial.  |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk           | Comment: dropouts accounted for. Missing outcome data balanced, with no significance differences for either outcome between the 2 groups. ITT employed.  |
| Selective reporting (reporting bias)                                      | Low risk           | Comment: all prespecified outcomes were reported.  |
| Baseline data comparable?   | Low risk           | Comment: no difference in age, sex, race or ethnicity, comorbidities, mental status, functional status except for 1 measure of behaviour (resists care).   |
| A priori power calculation  | Low risk           | Comment: yes; target of 828 participants to detect a 25% reduction in pneumonia rate.  |
| Other bias  | Unclear risk       | Comment; the study was terminated for futility as the conditional power under observed treatment difference was nearly 0.  |

**Kim 2014a**
**S u y h a r a t r i i**

|         |   |
|---------|---|
| Methods | RCT randomised at individual level, South Korea |
|---------|---|

## Kim 2014a (Continued)

Study recruitment and setting details: see [Table 1](#)

|               |   |
|---------------|---|
| Participants  | <p>Inclusion criteria: first-ever stroke, had <math>\geq 6</math> teeth and no sign of infection with any contagious pathogen</p> <p>Exclusion criteria: not reported</p> <p>OHC group: 29 participants</p> <p>Usual care group: 27 participants</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>  |
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>• Intervention: OHC programme</li> <li>• Materials: childrens toothbrush, interdental toothbrush, mouth gag (for unconscious patients), vacuum suction</li> <li>• Agent: chlorhexidine</li> <li>• Procedures: toothbrushes were used to remove tooth plaque, and tongue cleaner to remove tongue plaque. Gauze soaked in 0.5% chlorhexidine used to clean oral mucosa and tooth surface, vacuum suction performed to clean mouth</li> <li>• Provided by: dentist</li> <li>• Training: not reported</li> <li>• Delivery: face-to-face; 1-to-1</li> <li>• Location: intensive care unit</li> <li>• Regimen: once a day delivered over a mean period of dental intervention: 15.69 (SD 10.02) days</li> <li>• Tailoring: none</li> <li>• Modification: none</li> <li>• Adherence: not reported</li> </ul> <p><b>Usual care</b></p> <ul style="list-style-type: none"> <li>• Intervention: no details; just referred to as a control group</li> <li>• Materials: not reported</li> <li>• Procedures: not reported</li> <li>• Provided by: not reported</li> <li>• Delivery: face-to-face; 1-to-1</li> <li>• Location: intensive care unit</li> <li>• Regimen: mean period of dental intervention: 18.15 (SD 8.07) days</li> <li>• Tailoring: not reported</li> <li>• Modification: none</li> <li>• Adherence: not reported</li> </ul> |
| Outcomes      | <p>Outcomes: decayed missing and filled teeth index; Tooth Mobility Index; Loe and Silness Dental Plaque Index (<a href="#">Loe 1967</a>); Gingival Index; clinical attachment loss; colonisation degree of Candida (under artificial lighting)</p> <p>Data collection: baseline (after stabilisation of vital signs following intensive care unit admission) and post-treatment (before discharge: mean 2.2 weeks; range 1–5 weeks)</p>  |
| Funding       | <p>Study authors declared no financial conflicts of interest. Study funded by research grants from Yeungnam University (2010)</p>   |
| Notes         | <p>Dropouts are detailed in <a href="#">Table 6</a></p> <p>Statistical data included within the review meta-analyses</p>  |

*i k o i a*

## Kim 2014a (Continued)

| Bias  | Authors' judgement | Support for judgement   |
|---|--------------------|---|
| Random sequence generation (selection bias)                               | Unclear risk       | Quote: "Patients were assigned randomly to two groups (intervention or control) matched with sex and age by a nurse who managed the Intensive Care Unit and was independently involved in this research."   |
| Allocation concealment (selection bias)                                   | Unclear risk       | Comment: not reported.  |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Unclear risk       | Comment: not reported.  |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Unclear risk       | Comment: not reported.  |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | High risk          | Comment: dropouts accounted for but high attrition rate for both groups (34 participants) reported in the first week after first oral examination.  |
| Selective reporting (reporting bias)                                      | Low risk           | Comment: all prespecified outcomes reported.  |
| Baseline data comparable?   | Low risk           | Comment: groups were comparable for demographic and disease characteristics. More participants in the multi-component OHC intervention 45- to 54-year age group compared with usual care group. This was not significant across the entire age range. |
| A priori power calculation  | Unclear risk       | Comment: not reported.  |
| Other bias  | High risk          | Quote: "Complete randomisation was not performed throughout the entire process of the research."<br><br>Comment: no other details reported.   |

## Kobayashi 2017i

| <b>Study characteristics</b> |  |  |
|------------------------------|--|--|
| Methods                      | RCT randomised at individual level, Japan<br><br>Study recruitment and setting details: see <a href="#">Table 1</a>  |  |
| Participants                 | Inclusion criteria: not reported<br><br>Exclusion criteria: receiving antibiotic or steroid therapy within 1 month before start of study<br><br>Sample size: quote: "60 participants randomly divided"<br><br>Details of participants are shown in <a href="#">Table 2</a> |  |
| Interventions                | <b>Multi-component OHC intervention</b><br><br>• Intervention: mouthwash and moisturising gel  |  |

**Kobayashi 2017i** (Continued)

- Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), mouthwash (ConCool Mouth Rinse; Weltec, Osaka, Japan), tongue brush (TongueMate Kamemizu Chem, Osaka, Japan), mouth gel (1 g containing glycerine, lactoferrin and whey protein; ConCool Mouth Gel; Weltec, Osaka, Japan), tongue scraper (Tongood; Molten, Hiroshima, Japan)
- Agent: mouthwash contained cetylpyridinium chloride (ConCool Mouth Rinse; Weltec, Osaka, Japan), mouth gel (1 g containing glycerine, lactoferrin and whey protein; ConCool Mouth Gel; Weltec, Osaka, Japan)
- Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in mouthwash. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in mouthwash was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side. After wiping intraoral residues, the moisturising gel was applied to the surface of the tongue using an elastomeric tongue scraper
- Provided by: a dentist or 1 of 5 nurses
- Training: instructed on the method of OHC by the dentist
- Delivery: face-to-face; 1-to-1
- Location: hospital
- Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks
- Tailoring: none
- Modification: none
- Adherence: not reported

**Multi-component OHC intervention**

- Intervention: mouthwash
- Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), mouthwash (ConCool Mouth Rinse; Weltec, Osaka, Japan), tongue brush (TongueMate Kamemizu Chem, Osaka, Japan)
- Agent: mouthwash contained cetylpyridinium chloride (ConCool Mouth Rinse; Weltec, Osaka, Japan)
- Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in mouthwash. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in mouthwash was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side
- Provided by: a dentist or 1 of 5 nurses
- Training: instructed on the method of OHC by the dentist
- Delivery: face-to-face; 1-to-1
- Location: hospital
- Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks
- Tailoring: none
- Modification: none
- Adherence: not reported

|                         |  |
|-------------------------|--|
| Outcomes                | Outcomes: total number of anaerobic bacteria on the tongue surface, tongue coating index, moisture level of the tongue surface<br><br>Data collection: baseline, weeks 1 and 2 |
| Funding                 | Study authors declared no conflicts of interest. Study funded by Research Funding for Longevity Sciences (25-7) from the National Center for Geriatrics and Gerontology, Japan |
| Notes                   | Dropouts are detailed in <a href="#">Table 6</a><br><br>Suitable statistical data permitting inclusion within the review meta-analyses unavailable                             |
| <b><i>i k o i a</i></b> |  |
| <b>Bias</b>             | <b>Authors' judgement    Support for judgement</b>   |



- Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in mouthwash. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in mouthwash was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side. After wiping intraoral residues, the moisturising gel was applied to the surface of the tongue using an elastomeric tongue scraper
  - Provided by: a dentist or 1 of 5 nurses
  - Train
-

**Kobayashi 2017ii** (Continued)

|   |              |   |
|---|--------------|---|
| Allocation concealment (selection bias)                                   | Unclear risk | Comment: not reported.  |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Unclear risk | Comment: not reported.  |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Unclear risk | Quote: "measurements were carried out by one dentist who was excluded from carrying out the oral cleaning."<br><br>Comment: insufficient information.   |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk     | Comment: no CONSORT diagram, no dropouts reported.  |
| Selective reporting (reporting bias)                                      | High risk    | Comment: sample size for each group not reported and limited statistical information presented for each outcome measure.  |
| Baseline data comparable?   | Unclear risk | Comment: study authors reported no significant difference between groups on outcome measures at baseline; however, no baseline demographics reported so unable to judge whether the groups were comparable. |
| A priori power calculation  | Unclear risk | Comment: not reported.  |
| Other bias  | Unclear risk | Comment: limited data reported in the study.  |

**Kobayashi 2017iii**
**Study characteristics**

|               |  |
|---------------|--|
| Methods       | RCT randomised at individual level, Japan<br><br>Study recruitment and setting details: see <a href="#">Table 1</a>  |
| Participants  | Inclusion criteria: not reported<br><br>Exclusion criteria: receiving antibiotic or steroid therapy within 1 month before start of study<br><br>Sample size: not reported for each group (quote: "60 participants randomly divided")<br><br>Details of participants are shown in <a href="#">Table 2</a>   |
| Interventions | <b>Multi-component OHC intervention</b> <ul style="list-style-type: none"> <li>Intervention: mouthwash and gel</li> <li>Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), mouthwash (ConCool Mouth Rinse; Weltec, Osaka, Japan), tongue brush (TongueMate Kamemizu Chem, Osaka, Japan), mouth gel (1 g containing glycerine, lactoferrin and whey protein: ConCool Mouth Gel; Weltec, Osaka, Japan), tongue scraper (Tongood; Molten, Hiroshima, Japan)</li> <li>Agent: mouthwash contained cetylpyridinium chloride (ConCool Mouth Rinse; Weltec, Osaka, Japan), mouth gel (1 g containing glycerine, lactoferrin and whey protein: ConCool Mouth Gel; Weltec, Osaka, Japan)</li> <li>Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in mouthwash. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in mouthwash was applied in 1 direction</li> </ul> |



**Kobayashi 2017iii** (Continued)

from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side. After wiping intraoral residues, the moisturising gel was applied to the surface of the tongue using an elastomeric tongue scraper

- Provided by: a dentist or 1 of 5 nurses
- Training: instructed on the method of OHC by the dentist
- Delivery: face-to-face; 1-to-1
- Location: hospital
- Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks
- Tailoring: none
- Modification: none
- Adherence: no dropouts reported

**Multi-component OHC intervention**

- Intervention: water alone
- Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), tongue brush (Tongue-Mate Kamemizu Chem, Osaka, Japan)
- Agent: none
- Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in water. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in water was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side
- Provided by: a dentist or 1 of 5 nurses
- Training: instructed on the method of OHC by the dentist
- Delivery: face-to-face; 1-to-1
- Location: hospital
- Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks
- Tailoring: none
- Modification: none
- Adherence: no dropouts reported

|          |  |
|----------|--|
| Outcomes | Outcomes: total number of anaerobic bacteria on the tongue surface, tongue coating index, moisture level of the tongue surface<br><br>Data collection: baseline, week 1 and 2  |
| Funding  | Study authors declared no conflicts of interest. Study funded by Research Funding for Longevity Sciences (25-7) from the National Center for Geriatrics and Gerontology, Japan |
| Notes    | Dropouts are detailed in <a href="#">Table 6</a><br><br>Suitable statistical data permitting inclusion within the review meta-analyses unavailable                             |

**i k o i a**

| Bias  | Authors' judgement | Support for judgement   |
|---|--------------------|---|
| Random sequence generation (selection bias)               | Unclear risk       | Quote: "randomly divided into four groups according to the methods used to clean the teeth and tongue." |
| Allocation concealment (selection bias)                   | Unclear risk       | Comment: not reported.  |
| Blinding of participants and personnel (performance bias) | Unclear risk       | Comment: not reported.  |

**Kobayashi 2017iii** (Continued)

## All outcomes

|   |              |   |
|---|--------------|---|
| Blinding of outcome assessment (detection bias)<br>All outcomes | Unclear risk | Quote: "measurements were carried out by one dentist who was excluded from carrying out the oral cleaning."<br><br>Comment: insufficient information.   |
| Incomplete outcome data (attrition bias)<br>All outcomes        | Low risk     | Comment: no CONSORT diagram, no dropouts reported.  |
| Selective reporting (reporting bias)                            | High risk    | Comment: sample size for each group not reported and limited statistical information presented for each outcome measure.  |
| Baseline data comparable?                                       | Unclear risk | Comment: study authors reported no significant difference between groups on outcome measures at baseline; however, no baseline demographics reported so unable to judge whether the groups were comparable. |
| A priori power calculation                                      | Unclear risk | Comment: not reported.  |
| Other bias  | Unclear risk | Comment: limited data reported in the study.  |

**Kobayashi 2017iv**
**Study characteristics**

|               |  |
|---------------|--|
| Methods       | RCT randomised at individual level, Japan<br><br>Study recruitment and setting details: see <a href="#">Table 1</a>  |
| Participants  | Inclusion criteria: not reported<br><br>Exclusion criteria: receiving antibiotic or steroid therapy within 1 month before start of study<br><br>Sample size: quote: "60 participants randomly divided."<br><br>Details of participants are shown in <a href="#">Table 2</a>  |
| Interventions | <b>Multi-component OHC intervention</b> <ul style="list-style-type: none"> <li>Intervention: mouthwash</li> <li>Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), mouthwash (ConCool Mouth Rinse; Weltec, Osaka, Japan), tongue brush (TongueMate Kamemizu Chem, Osaka, Japan)</li> <li>Agent: mouthwash contained cetylpyridinium chloride (ConCool Mouth Rinse; Weltec, Osaka, Japan)</li> <li>Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in mouthwash. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in mouthwash was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side</li> <li>Provided by: a dentist or 1 of 5 nurses</li> <li>Training: instructed on the method of oral cleaning by the dentist</li> <li>Delivery: face-to-face; 1-to-1</li> <li>Location: hospital</li> <li>Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: no dropouts reported</li> </ul> |

**Kobayashi 2017iv** (Continued)

**Multi-component OHC Intervention**

- Intervention: water and moisturising gel
- Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), mouth gel (1 g containing glycerine, lactoferrin and whey protein: ConCool Mouth Gel; Weltec, Osaka, Japan), tongue scraper (Tongood; Molten, Hiroshima, Japan)
- Agent: mouth gel (1 g containing glycerine, lactoferrin and whey protein: ConCool Mouth Gel; Weltec, Osaka, Japan)
- Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in mouthwash. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in mouthwash was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side. After wiping intraoral residues, the moisturising gel was applied to the surface of the tongue using an elastomeric tongue scraper
- Provided by: a dentist or 1 of 5 nurses
- Training: instructed on the method of oral cleaning by the dentist
- Delivery: face-to-face; 1-to-1
- Location: hospital
- Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks
- Tailoring: none
- Modification: none
- Adherence: no dropouts reported

|          |  |
|----------|--|
| Outcomes | Outcomes: total number of anaerobic bacteria on the tongue surface, tongue coating index, moisture level of the tongue surface<br><br>Data collection: baseline, week 1 and 2  |
| Funding  | Study authors declared no conflicts of interest. Study funded by Research Funding for Longevity Sciences (25-7) from the National Center for Geriatrics and Gerontology, Japan |
| Notes    | Dropouts are detailed in <a href="#">Table 6</a><br><br>Suitable statistical data permitting inclusion within the review meta-analyses unavailable                             |

**i k o i a**

| Bias  | Authors' judgement | Support for judgement   |
|---|--------------------|---|
| Random sequence generation (selection bias)                               | Unclear risk       | Quote: "randomly divided into four groups according to the methods used to clean the teeth and tongue."   |
| Allocation concealment (selection bias)                                   | Unclear risk       | Comment: not reported.  |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Unclear risk       | Comment: not reported.  |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Unclear risk       | Quote: "measurements were carried out by one dentist who was excluded from carrying out the oral cleaning."<br><br>Comment: insufficient information. |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk           | Comment: no CONSORT diagram, no dropouts reported.  |

**Kobayashi 2017iv** (Continued)

|                                      |              |   |
|--------------------------------------|--------------|---|
| Selective reporting (reporting bias) | High risk    | Comment: sample size for each group not reported and limited statistical information presented for each outcome measure.  |
| Baseline data comparable?            | Unclear risk | Comment: study authors reported no significant difference between groups on outcome measures at baseline; however, no baseline demographics reported so unable to judge whether the groups were comparable. |
| A priori power calculation           | Unclear risk | Comment: not reported.  |
| Other bias                           | Unclear risk | Comment: limited data reported in the study.  |

**Kobayashi 2017v**
**Study characteristics**

|               |   |
|---------------|---|
| Methods       | <p>RCT randomised at individual level, Japan</p> <p>Study recruitment and setting details: see <a href="#">Table 1</a></p>  |
| Participants  | <p>Inclusion criteria: not reported</p> <p>Exclusion criteria: receiving antibiotic or steroid therapy within 1 month before start of study</p> <p>Sample size: quote: "60 participants randomly divided."</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>  |
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: mouthwash</li> <li>Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), mouthwash (ConCool Mouth Rinse; Weltec, Osaka, Japan), tongue brush (TongueMate Kamemizu Chem, Osaka, Japan)</li> <li>Agent: mouthwash contained cetylpyridinium chloride (ConCool Mouth Rinse; Weltec, Osaka, Japan)</li> <li>Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in mouthwash. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in mouthwash was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side</li> <li>Provided by: a dentist or 1 of 5 nurses</li> <li>Training: instructed on the method of oral cleaning by the dentist</li> <li>Delivery: face-to-face; 1-to-1</li> <li>Location: hospital</li> <li>Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: not reported</li> </ul> <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: water alone</li> <li>Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), tongue brush (TongueMate Kamemizu Chem, Osaka, Japan)</li> <li>Agent: none</li> <li>Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in water. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in water was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side</li> </ul> |

**Kobayashi 2017v** (Continued)

- Provided by: a dentist or 1 of 5 nurses
- Training: instructed on the method of oral cleaning by the dentist
- Delivery: face-to-face; 1-to-1
- Location: hospital
- Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks
- Tailoring: none
- Modification: none
- Adherence: not reported

|          |   |
|----------|---|
| Outcomes | Outcomes: total number of anaerobic bacteria on the tongue surface, tongue coating index, moisture level of the tongue surface<br><br>Data collection: baseline, week 1, and week 2 |
| Funding  | Study authors declared no conflicts of interest. Study funded by Research Funding for Longevity Sciences (25-7) from the National Center for Geriatrics and Gerontology, Japan      |
| Notes    | Dropouts are detailed in <a href="#">Table 6</a><br><br>Suitable statistical data permitting inclusion within the review meta-analyses unavailable                                  |

**i k o i a**

| Bias  | Authors' judgement | Support for judgement   |
|---|--------------------|---|
| Random sequence generation (selection bias)                               | Unclear risk       | Quote: "randomly divided into four groups according to the methods used to clean the teeth and tongue."   |
| Allocation concealment (selection bias)                                   | Unclear risk       | Comment: not reported.  |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Unclear risk       | Comment: not reported.  |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Unclear risk       | Quote: "measurements were carried out by one dentist who was excluded from carrying out the oral cleaning."<br><br>Comment: insufficient information.   |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk           | Comment: no CONSORT diagram, no dropouts reported.  |
| Selective reporting (reporting bias)                                      | High risk          | Comment: sample size for each group not reported and limited statistical information presented for each outcome measure.  |
| Baseline data comparable?   | Unclear risk       | Comment: authors reported no significant difference between groups on outcome measures at baseline; however, no baseline demographics reported so unable to judge whether the groups were comparable. |
| A priori power calculation  | Unclear risk       | Comment: not reported.  |
| Other bias  | Unclear risk       | Comment: limited data reported in the study.  |

## Kobayashi 2017vi

### *Su y . h a r a . t r i . i .*

|               |  |
|---------------|--|
| Methods       | <p>RCT randomised at individual level, Japan</p> <p>Study recruitment and setting details: see <a href="#">Table 1</a></p>   |
| Participants  | <p>Inclusion criteria: not reported</p> <p>Exclusion criteria: receiving antibiotic or steroid therapy within 1 month before start of study</p> <p>Sample size: quote: "60 participants randomly divided."</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>   |
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: water and moisturising gel</li> <li>Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), mouth gel (1 g containing glycerine, lactoferrin and whey protein: ConCool Mouth Gel; Weltec, Osaka, Japan), tongue scraper (Tongood; Molten, Hiroshima, Japan)</li> <li>Agent: mouth gel (1 g containing glycerine, lactoferrin and whey protein (ConCool Mouth Gel; Weltec, Osaka, Japan)</li> <li>Procedures: tooth and tongue cleaning once each day while the participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in water. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in water was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side. After wiping intraoral residues, the moisturising gel was applied to the surface of the tongue using an elastomeric tongue scraper</li> <li>Provided by: a dentist or 1 of 5 nurses</li> <li>Training: instructed on the method of oral cleaning by the dentist</li> <li>Delivery: face-to-face; 1-to-1</li> <li>Location: hospital</li> <li>Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: no dropouts reported</li> </ul> <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: water alone</li> <li>Materials: toothbrush (Dent EX; Lion Dental Products Company, Tokyo, Japan), tongue brush (Tongue-Mate Kamemizu Chem, Osaka, Japan)</li> <li>Agent: none</li> <li>Procedures: tooth and tongue cleaning once each day while participant was in a lying position. Teeth were cleaned for 3 minutes using a toothbrush immersed in water. Toothpaste was not applied. To clean the tongue, a tongue brush that was immersed in water was applied in 1 direction from the back to the tip of the tongue with a cleaning pressure of 100 gf applied 5 times on each side</li> <li>Provided by: a dentist or 1 of 5 nurses</li> <li>Training: instructed on the method of oral cleaning by the dentist</li> <li>Delivery: face-to-face; 1-to-1</li> <li>Location: hospital</li> <li>Regimen: specified oral cleaning (3 minutes) once each day for 2 weeks</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: no dropouts reported</li> </ul> |



## Kuo 2016 (Continued)

|               |  |
|---------------|--|
| Participants  | <p>Inclusion criteria: carers were eligible if their family member had experienced a stroke (ICD-9 430–438), BI &lt; 60, not able to 'intake oral,' actively caring for their stroke survivor (for ≥ 8 hours per day), able to communicate in Mandarin or Taiwanese</p> <p>Exclusion criteria: carers were excluded if their family member who had experienced stroke also had a confirmed diagnosis of pulmonary infection or a diagnosis of oral or tongue pathology, or if they were unable to open their mouth</p> <p>OHC group: 50 participants</p> <p>Usual care group: 50 participants</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>  |
| Interventions | <p><b>Multi-component OHC Intervention</b></p> <ul style="list-style-type: none"> <li>• Intervention: homebased OHC training. Theoretically guided by the PRECEDE-PROCEED model</li> <li>• Materials: educational pamphlets, daily reminder sheets, dual action tongue cleaner (Sunstar American, Inc.), finger toothbrush</li> <li>• Procedures: oral care overview (educational pamphlets), 20-minute verbal presentation discussing basic oral care procedures and risks, oral care products were provided (tongue cleaner and finger tooth brush), training, demonstrations, daily reminder (record) sheets, telephone follow-up at 1 month. Multiple teaching strategies including 1. brushing twice (after breakfast and before sleep) a day; 2. 2 minutes per time; 3. learning brushing sequence (from teeth to tongue); 4. learning tongue cleaning (distinguishing 6 regions, from left–middle–right of the anterior tongue to left–middle–right of the posterior tongue); 5. learning how to use the equipment (tongue cleaner and finger toothbrush); 6. checking the dental cavities; 7. confirming the method of toothbrush; 8. using the technique of bass brushing and oral mucosa cleaning. Programme detailed in Table 1.</li> <li>• Provided by: the researchers</li> <li>• Intervention and delivery of the training were conducted by a trained home healthcare nurse</li> <li>• Training: qualified home healthcare nurse with 10 years of experience who had received training from a dental specialist</li> <li>• Delivery: face-to-face; 1-to-1</li> <li>• Location: home</li> <li>• Regimen: protocolised; oral cleaning (2 minutes twice daily for 2 months)</li> <li>• Tailoring: none</li> <li>• Modification: none</li> <li>• Adherence: not reported</li> </ul> <p><b>Usual care</b></p> <ul style="list-style-type: none"> <li>• Intervention: standard care</li> <li>• Materials: not reported</li> <li>• Procedures: encouraged to maintain routine OHC practices (including oral cleaning with cotton swabs)</li> <li>• Provided by: not reported</li> <li>• Delivery: not reported</li> <li>• Regimen: not reported</li> <li>• Tailoring: not reported</li> <li>• Modification: not reported</li> <li>• Adherence: no dropouts reported</li> </ul> |
| Outcomes      | <p>Outcomes: Knowledge of Oral Care questionnaire, Attitudes towards oral care questionnaire, Family caregiver self-efficacy of Oral care questionnaire, Behaviour of Oral Care questionnaire</p> <p>Data collection: baseline, month 1 and 2 of the intervention period</p>   |
| Funding       | <p>Study authors declared no conflicts of interest. There was no external funding for this study</p>   |



## Kuo 2016 (Continued)

### Notes

Dropouts are detailed in [Table 6](#)

Statistical data included within the review meta-analyses

Family carers in the control group were given the home-based OHC protocol after the 2-month intervention period

### *i k o i a*

| Bias  | Authors' judgement | Support for judgement   |
|---|--------------------|---|
| Random sequence generation (selection bias)                               | Unclear risk       | Comment: computer-generated random number table.  |
| Allocation concealment (selection bias)                                   | Unclear risk       | Comment: not reported.  |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Unclear risk       | Comment: not reported although outcomes were self-reported questionnaires.  |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Unclear risk       | Comment: not reported.  |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk           | Comment: dropouts accounted for; ITT not employed.  |
| Selective reporting (reporting bias)                                      | Low risk           | Comment: all prespecified outcomes reported.  |
| Baseline data comparable?   | Low risk           | Quote: "Baseline characteristics (gender, education, family relationship, daily care time and age) were similar between the two groups."  |
| A priori power calculation  | Low risk           | Yes.<br><br>Quote: "Sample estimates were based on Cohen's (31) suggested criteria for comparing the means of two groups. With a power of 0.8 and $\alpha = 0.05$ , a sample size of 26 family caregivers was required. Applying an estimated dropout rate of 25%, each group required 33 family caregivers. Further, the mortality rate for severe stroke survivors with home health care was also considered. Finally, the sample size was estimated based on three data collection times, and thus, we estimated the sample size as 50." |
| Other bias  | Low risk           | Comment: none identified.   |

## Lam 2013i

### *S u y l a r a t r i i*

|              |   |
|--------------|---|
| Methods      | RCT randomised at individual level, Hong Kong, People's Republic of China<br><br>Study recruitment and setting details: see <a href="#">Table 1</a> |
| Participants | Inclusion criteria: aged $\geq 50$ years, stroke, BI $< 70$ , and admitted to rehabilitation unit within 7 days                                     |

## Interventions for improving oral health in people after stroke (Review)

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## Lam 2013i (Continued)

Exclusion criteria: edentulous, presented with communication difficulties (unable to follow a 1-step command) or severe cognitive impairment (Mini Mental Status Examination  $\leq 9$ ) or indwelling nasogastric feeding tube

Oral hygiene instruction, mouthrinse and assisted brushing group: 25 participants

Oral hygiene instruction and chlorhexidine mouthrinse group: 26 participants

Details of participants are shown in [Table 2](#)

|               |   |
|---------------|---|
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: oral hygiene instruction, mouthrinse and assisted brushing</li> <li>Materials: electric toothbrush, a standard sodium fluoride toothpaste, chlorhexidine (Corsodyl) mouthrinse (0.2%, 10 mL)</li> <li>Agent: chlorhexidine (Corsodyl) mouthrinse (0.2%, 10 mL)</li> <li>Procedures: dentures were cleaned with a manual toothbrush. All participants were provided with an electric toothbrush and a standard sodium fluoride toothpaste. Professional oral hygiene instruction provided by a dentist; quote: "nursing care aides administered mouthrinses and performed intermittent assisted brushing. Nursing care aides monitored participants for toothbrushing difficulties and ensured that tooth surfaces (buccal, occlusal, lingual) were cleaned in a systematic manner. Hand-over-hand guiding was provided if participants had difficulty handling and placing the toothbrush."</li> <li>Provided by: registered dentist, dental hygienists (certified), nurse aides</li> <li>Training: nursing care aides were provided with an oral health training session consisting of a 30-minute lecture comprising basic concepts of oral health and disease, as well as a demonstration and practical exercise on the administration of mouthrinse and assisted brushing. Training sessions were carried out by certified dental hygienists</li> <li>Delivery: face-to-face, 1:1, rehabilitation ward</li> <li>Regimen: mouthrinse (spaced <math>\geq 2</math> hours apart from toothbrushing) and assistance with toothbrushing twice a day, delivered daily over a 3-week period</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: not reported</li> </ul> <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: oral hygiene instruction and chlorhexidine mouthrinse</li> <li>Materials: electric toothbrush, a standard sodium fluoride toothpaste, chlorhexidine (Corsodyl) mouthrinse (0.2%, 10 mL)</li> <li>Agent: chlorhexidine (Corsodyl) mouthrinse (0.2%, 10 mL)</li> <li>Procedures: dentures were cleaned with a manual toothbrush. All participants were provided with an electric toothbrush and a standard sodium fluoride toothpaste. OHC training provided by a dentist; nursing care aides administered mouthrinses</li> <li>Provided by: registered dentist, dental hygienists (certified), nurse aides</li> <li>Training: quote: "Nursing care aides were provided with an oral health training session consisting of a lecture (30 minutes) comprising basic concepts of oral health and disease, as well as a demonstration and practical exercise on the administration of mouthrinse and assisted brushing. Oral health training sessions were carried out by certified dental hygienists."</li> <li>Delivery: face-to-face, 1:1, rehabilitation ward</li> <li>Regimen: mouthrinse twice daily, delivered daily over a 3-week period</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: reported 1 dropout because of non-compliance with mouthrinse</li> </ul> |
| Outcomes      | <p>Outcomes: Dental Plaque Index, Gingival Bleeding Index, BI, swallowing disability (Royal Brisbane Hospital Outcome Measure for Swallowing), treatment satisfaction</p> <p>Data collection: baseline and 3 weeks (before hospital discharge)</p>  |

## Lam 2013i (Continued)

|   |  |  |
|---|--|--|
| Funding   | Study authors declared no conflicts of interest. Study funded by the Committee of Research and Conference Grants of the University of Hong Kong    |  |
| Notes   | Dropouts are detailed in <a href="#">Table 6</a><br><br>Suitable statistical data permitting inclusion within the review meta-analyses unavailable |  |
| <b>i k o i a</b>  |  |  |
| <b>Bias</b>   | <b>Authors' judgement</b>  | <b>Support for judgement</b>   |
| Random sequence generation (selection bias)                               | Low risk   | Comment: allocated randomly using block randomisation by a research assistant.   |
| Allocation concealment (selection bias)                                   | Unclear risk   | Comment: not reported.   |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Unclear risk   | Comment: not reported.   |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Unclear risk   | Comment: described as 'single-blind' but insufficient information available to make a judgement.   |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | High risk  | Comment; high attrition rate (20.5%) but dropouts accounted for and balanced across groups. ITT not employed and unclear how missing data were dealt with in the analysis.   |
| Selective reporting (reporting bias)                                      | Unclear risk   | Comment: although prespecified outcomes were reported, data were reported in Table 4 of the publication but it was unclear whether the data were mean/median (and IQR). Clarification required.  |
| Baseline data comparable?   | Low risk   | Quote: "No significant differences between groups (P > .05) were noted at baseline with regards to demographic, oral health-related behaviours, or medications taken."   |
| A priori power calculation  | Low risk   | Yes.<br><br>Quote: "initial sample size was based on a Plaque index change score SD of 0.21 ± 0.40, documented in a previous observational study and set at 40 patients per group in order to detect a difference of 0.3 in plaque change scores within and between groups, and account for (1) a 5% statistical significance level, (2) a power of 80%, and (3) an anticipated 10% dropout rate." |
| Other bias  | High risk  | Quote: "At the time of baseline assessment, over two-thirds (67.9%) of the patients reported not to have a regular daily brushing habit (i.e. at least once a day)."<br><br>Comment: insufficient power – minimum sample size was not achieved.  |

## Lam 2013ii

|                              |   |  |
|------------------------------|---|--|
| <b>S u y h a r a t r i i</b> |   |  |
| Methods                      | RCT randomised at individual level, Hong Kong, People's Republic of China |  |

## Interventions for improving oral health in people after stroke (Review)

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## Lam 2013ii (Continued)

Study recruitment and setting details: see [Table 1](#)

|               |  |
|---------------|--|
| Participants  | <p>Inclusion criteria: aged <math>\geq 50</math> years, stroke, BI <math>&lt; 70</math>, and admitted to rehabilitation unit within 7 days</p> <p>Exclusion criteria: edentulous, presented with communication difficulties (unable to follow a 1-step command) or severe cognitive impairment (MMSE <math>\leq 9</math>) or indwelling nasogastric feeding tube</p> <p>Oral hygiene instruction, mouthrinse and assisted brushing group: 25 participants</p> <p>Oral hygiene instruction group: 30 participants</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>   |
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>• Intervention: oral hygiene instruction, mouthrinse and assisted brushing</li> <li>• Materials: electric toothbrush, a standard sodium fluoride toothpaste, chlorhexidine (Corsodyl) mouthrinse (0.2%, 10 mL)</li> <li>• Agent: chlorhexidine (Corsodyl) mouthrinse (0.2%, 10 mL)</li> <li>• Procedures: dentures were cleaned with a manual toothbrush. All participants were provided with an electric toothbrush and a standard sodium fluoride toothpaste. Professional oral hygiene instruction provided by a dentist; quote: "nursing care aides administered mouthrinses and performed intermittent assisted brushing. Nursing care aides monitored participants for toothbrushing difficulties and ensured that tooth surfaces (buccal, occlusal, lingual) were cleaned in a systematic manner. Hand-over-hand guiding was provided if participants had difficulty handling and placing the toothbrush."</li> <li>• Provided by: registered dentist, dental hygienists (certified), nurse aides</li> <li>• Training: nursing care aides were provided with an oral health training session consisting of a 30-minute lecture comprising basic concepts of oral health and disease, as well as a demonstration and practical exercise on the administration of mouthrinse and assisted brushing. Oral health training sessions were carried out by certified dental hygienists</li> <li>• Delivery: face-to-face, 1:1, rehabilitation ward</li> <li>• Regimen: mouthrinse (spaced <math>\geq 2</math> hours apart from toothbrushing) and assistance with toothbrushing twice per day delivered over a 3-week period</li> <li>• Tailoring: none</li> <li>• Modification: none</li> <li>• Adherence: not reported</li> </ul> <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>• Intervention: oral hygiene instruction</li> <li>• Materials: electric toothbrush, a standard sodium fluoride toothpaste</li> <li>• Agent: standard sodium fluoride toothpaste</li> <li>• Procedures: all participants were provided with an electric toothbrush and toothpaste. Nurse care aides provided with oral care instruction</li> <li>• Provided by: dental hygienists (certified), nurse aides</li> <li>• Training: quote: "Nursing care aides were provided with an oral health training session consisting of a lecture (30 minutes) comprising basic concepts of oral health and disease, as well as a demonstration and practical exercise on the administration of mouthrinse and assisted brushing. Oral health training sessions were carried out by certified dental hygienists."</li> <li>• Delivery: face-to-face, 1:1, rehabilitation ward</li> <li>• Regimen: none</li> <li>• Tailoring: none</li> <li>• Modification: none</li> <li>• Adherence: not reported</li> </ul> |
| Outcomes      | <p>Outcomes: Dental Plaque Index, Gingival Bleeding Index, BI, swallowing disability (Royal Brisbane Hospital Outcome Measure for Swallowing), treatment satisfaction</p>  |

Data collection: baseline and 3 weeks (before hospital discharge)

|         |   |
|---------|---|
| Funding | Study authors declared no conflicts of interest. Study funded by the Committee of Research and Conference Grants of the University of Hong Kong |
|---------|---|

|       |  |
|-------|--|
| Notes | Dropouts are detailed in <a href="#">Table 6</a><br><br>Suitable statistical data permitting inclusion within the review meta-analyses unavailable |
|-------|--|

*i k o i a*

| Bias | Authors' judgement | Support |
|------|--------------------|---------|
|------|--------------------|---------|

## Lam 2013iii (Continued)

|               |   |
|---------------|---|
| Methods       | <p>RCT randomised at individual level, Hong Kong, People's Republic of China</p> <p>Study recruitment and setting details: see <a href="#">Table 1</a></p>  |
| Participants  | <p>Inclusion criteria: aged <math>\geq 50</math> years, stroke, BI <math>&lt; 70</math>, and admitted to rehabilitation unit with 7 days</p> <p>Exclusion criteria: edentulous, presented with communication difficulties (unable to follow a 1-step command) or severe cognitive impairment (MMSE <math>\leq 9</math>) or indwelling nasogastric feeding tube</p> <p>Oral hygiene instruction and chlorhexidine mouthrinse group: 26 participants</p> <p>Oral hygiene instruction group: 30 participants</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>   |
| Interventions | <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: oral hygiene instruction and chlorhexidine mouthrinse</li> <li>Materials: electric toothbrush, a standard sodium fluoride toothpaste, chlorhexidine (Corsodyl) mouthrinse (0.2%, 10 mL)</li> <li>Agent: chlorhexidine (Corsodyl) mouthrinse (0.2%, 10 mL)</li> <li>Procedures: dentures were cleaned with a manual toothbrush. All participants were provided with an electric toothbrush and a standard sodium fluoride toothpaste. Professional oral hygiene instruction provided by a dentist; nursing care aides administered mouthrinses</li> <li>Provided by: registered dentist, dental hygienists (certified), nurse aides</li> <li>Training: quote: "Nursing care aides were provided with an oral health training session consisting of a lecture (30 minutes) comprising basic concepts of oral health and disease, as well as a demonstration and practical exercise on the administration of mouthrinse and assisted brushing. Oral health training sessions were carried out by certified dental hygienists."</li> <li>Delivery: face-to-face, 1:1, rehabilitation ward</li> <li>Regimen: mouthrinse twice per day delivered over a 3-week period</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: reported 1 dropout because of non-compliance with mouthrinse</li> </ul> <p><b>Multi-component OHC intervention</b></p> <ul style="list-style-type: none"> <li>Intervention: oral hygiene instruction</li> <li>Materials: electric toothbrush, a standard sodium fluoride toothpaste</li> <li>Agent: standard sodium fluoride toothpaste</li> <li>Procedures: all participants were provided with an electric toothbrush and toothpaste. Nurse care aides provided with oral care instruction</li> <li>Provided by: dental hygienists (certified), nurse aides</li> <li>Training: quote: "Nursing care aides were provided with an oral health training session consisting of a lecture (30 minutes) comprising basic concepts of oral health and disease, as well as a demonstration and practical exercise on the administration of mouthrinse and assisted brushing. Oral health training sessions were carried out by certified dental hygienists."</li> <li>Delivery: face-to-face, 1:1, rehabilitation ward</li> <li>Regimen: none</li> <li>Tailoring: none</li> <li>Modification: none</li> <li>Adherence: not reported</li> </ul> |
| Outcomes      | <p>Outcomes: Dental Plaque Index, Gingival Bleeding Index, BI, swallowing disability (Royal Brisbane Hospital Outcome Measure for Swallowing), treatment satisfaction</p> <p>Data collection: baseline and 3 weeks (before hospital discharge)</p>  |



Lee 2011 (Continued)

Study recruitment and setting details: see [Table 1](#)

|                  |  |
|------------------|--|
| Participants     | <p>Inclusion criteria: unclear</p> <p>Exclusion criteria: unclear</p> <p>Saengmaeg-san extract group: 12 participants</p> <p>Placebo group: 12 participants</p> <p>Details of participants are shown in <a href="#">Table 2</a></p>  |
| Interventions    | <p><b>Saengmaeg-san extract</b></p> <ul style="list-style-type: none"> <li>• Intervention: saengmaeg-san extract used to treat xerostomia</li> <li>• Materials: not reported</li> <li>• Agent: saengmaeg-san extract</li> <li>• Procedures: capsules</li> <li>• Provided by: not reported</li> <li>• Training: not reported</li> <li>• Delivery: face-to-face; 1-to-1</li> <li>• Location: not reported</li> <li>• Regimen: capsules given 3 times per day for 7 days</li> <li>• Tailoring: not reported</li> <li>• Modification: not reported</li> <li>• Adherence: 1 dropout because of poor medication compliance</li> </ul> <p><b>Placebo</b></p> <ul style="list-style-type: none"> <li>• Intervention: opaque capsules</li> <li>• Materials: not reported</li> <li>• Agent: not reported</li> <li>• Procedures: capsules</li> <li>• Provided by: not reported</li> <li>• Training: not reported</li> <li>• Delivery: face-to-face; 1-to-1</li> <li>• Location: not reported</li> <li>• Regimen: capsules given 3 times per day for 7 days</li> <li>• Tailoring: not reported</li> <li>• Modification: not reported</li> <li>• Adherence: not reported</li> </ul> |
| Outcomes         | <p>Outcomes: visual analogue scale to evaluate subjective oral dryness (dry in night/morning, dry in day-time, dry while eating a meal, hard to swallow food, lack of saliva, general discomfort)</p> <p>Data collection: baseline and follow-up (1 week later)</p>  |
| Funding          | <p>Paper in Korean – translation unavailable so we were unable to determine whether there were any potential conflicts of interest or how the study was funded</p>   |
| Notes            | <p>Dropouts are detailed in <a href="#">Table 6</a></p> <p>Statistical data included within the review meta-analyses</p>   |
| <i>i k o i a</i> |  |
| <b>Bias</b>      | <b>Authors' judgement    Support for judgement</b>   |



**Lee 2011** (Continued)

|   |              |  |
|---|--------------|--|
| Random sequence generation (selection bias)                               | Unclear risk | Comment: no details available at present ("randomised") – translation unavailable.                       |
| Allocation concealment (selection bias)                                   | Unclear risk | Comment: no details available at present – translation unavailable.                                      |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Low risk     | Comment: reported as 'double-blind' but no other details available at present – translation unavailable. |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Low risk     | Comment: reported as 'double-blind' but no other details available – translation unavailable.            |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Unclear risk | Comment: dropouts accounted for, ITT not employed.   |
| Selective reporting (reporting bias)                                      | Unclear risk | Comment: all prespecified outcomes reported.   |
| Baseline data comparable?   | Unclear risk | Comment: no details available at present – translation unavailable.                                      |
| A priori power calculation  | Unclear risk | Comment: no details available at present – translation unavailable.                                      |
| Other bias  | Unclear risk | Comment: no details available at present – translation unavailable.                                      |

**Seguin 2014**

|                              |  |
|------------------------------|--|
| <b>Study characteristics</b> |  |
| Methods                      | RCT randomised at individual level, France<br><br>Study recruitment and setting details: see <a href="#">Table 1</a>   |
| Participants                 | Inclusion criteria: aged $\geq 18$ years with closed traumatic brain injury (with Glasgow Coma Scale $\leq 8$ ), mechanically ventilated $\geq 48$ hours. People with cerebral haemorrhage were included 4 months after recruitment started<br><br>Exclusion criteria: people in whom oral care procedure could not be performed within the 12 hours after endotracheal intubation or had tetraplegia (or both), facial trauma, pulmonary contusion involving $> 1$ lobe, aspiration pneumonia, current curative antimicrobial therapy, known allergy to povidone-iodine and pregnancy<br><br>Povidone-iodine group: 91 participants<br><br>Placebo group: 88 participants<br><br>Details of participants are shown in <a href="#">Table 2</a> |
| Interventions                | <b>Oropharyngeal care with povidone-iodine</b><br><br>• Intervention: povidone-iodine  |

## Seguin 2014 (Continued)

- Materials: povidone-iodine (Betadine 10% oral antiseptic solution; Meda Pharma, Paris, France) portioned in vials containing 125 mL of product and dispensed by the Pharmacy of Rennes to the pharmacies of the participating centres
- Procedures: participants received nasopharynx and oropharynx rinsing with 20 mL of povidone-iodine 10% using a 60 mL syringe (final concentration 3.3%). The solution was progressively injected in the buccal and pharyngeal cavities and regularly suctioned over 2 minutes, every 4 hours. The intervention was continued until extubation or until day 30
- Provided by: all nurses were trained in the oral procedure. Film describing in detail the oral care procedure was made available to all investigators
- Delivery: face-to-face; 1-to-1
- Location: intensive care unit
- Regimen: nasopharynx and oropharynx rinsing with 20 mL of povidone-iodine 10% or placebo diluted in 40 mL of sterile water using a 60 mL syringe (final concentration 3.3%). The solution was progressively injected in the buccal and pharyngeal cavities and regularly suctioned for 2 minutes, every 4 hours (i.e. 6 times daily). Intervention was continued until extubation or until day 30
- Tailoring: none
- Modification: none
- Adherence: tolerance of the oral procedure was monitored

### Oropharyngeal care with placebo

- Intervention: placebo
- Materials: placebo were portioned in vials containing 125 mL of product and dispensed by the Pharmacy of Rennes to the pharmacies of the participating centres
- Procedures: participants received nasopharynx and oropharynx rinsing with placebo diluted in 40 mL of sterile water using a 60 mL syringe (final concentration 3.3%). The solution was progressively injected in the buccal and pharyngeal cavities and regularly suctioned over 2 minutes, every 4 hours. The intervention was continued until extubation or until day 30
- Provided by: all nurses were trained in the oral procedure. Film describing in detail the oral care procedure was made available to all investigators
- Delivery: face-to-face; 1-to-1
- Location: intensive care unit
- Regimen: the solution was progressively injected in the buccal and pharyngeal cavities and regularly suctioned for 2 minutes, every 4 hours (i.e. 6 times daily). Intervention was continued until extubation or until day 30
- Tailoring: none
- Modification: none
- Adherence: tolerance of the oral procedure was also monitored

|          |  |
|----------|--|
| Outcomes | <p>Primary outcomes: rate of VAP</p> <p>Secondary outcomes: delay of first VAP occurrence (between admission and diagnosis), rate of early (<math>\leq 7</math> days) and late (<math>&gt; 7</math> days) VAP, micro-organisms involved, rates of ventilator ventilator-associated tracheobronchitis and acute respiratory distress syndrome, and the number of ventilation-free days, other nosocomial infections in intensive care unit, hospital and intensive care unit, length of stay, and 90-day mortality were reported, tolerance of the oral procedure was also monitored</p> <p>Data collection: detailed above</p> |
| Funding  | <p>3 study authors declared a potential conflict of interest (Dr Veber is a board member for Lily and lectured for Baxter, and has received support for travel from Pfizer. Dr Asehnoune lectured for B-Braun, Fresenius and Baxter. Dr Mimoz has received lecture and consultant fees from CareFusion, 3M Company and Ethicon)</p> <p>The remaining authors reported no conflicts of interest</p> <p>Study funded in part by a grant from the French Ministry of Health (2006, Programme Hospitalier de Recherche Clinique)</p>   |

## Seguin 2014 (Continued)

### Notes

Dropouts are detailed in [Table 6](#)

4 months after the beginning of recruitment, the protocol was amended to include participants with cerebral haemorrhage, fulfilling the same eligibility criteria

Stroke-specific trial data was sent by the study authors

Statistical data included within the review meta-analyses

### *i k o i a*

| Bias  | Authors' judgement | Support for judgement  |
|---|--------------------|--|
| Random sequence generation (selection bias)                               | Low risk           | Comment: centralised and performed by the pharmacy of the co-ordinating centre, stratified by centre and by type of participants (trauma or cerebral haemorrhage), and equilibrated by blocks of 4.  |
| Allocation concealment (selection bias)                                   | Unclear risk       | Comment: not reported.   |
| Blinding of participants and personnel (performance bias)<br>All outcomes | Low risk           | Comment: placebo control and study reported as "double-blind."   |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Low risk           | Comment: 3 experienced physicians "blindly classified each patient as positive or negative for VAP or ventilator-associated tracheobronchitis."  |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk           | Comment: dropouts accounted for; ITT analysis not employed but missing outcome data balanced across groups.  |
| Selective reporting (reporting bias)                                      | Low risk           | Comment: all prespecified outcomes reported.   |
| Baseline data comparable?   | Low risk           | Comment: groups were comparable for demographic and disease characteristics.   |
| A priori power calculation  | Low risk           | Yes.<br><br>Quote: "... calculated that a sample size of 146 patients (73 in each group) would be necessary to detect an absolute reduction of 25% with povidone-iodine, with a type I error of 5% and a power of 95% in a one-sided test. The protocol planned to enrol 10% more patients in order to take into account patients that could not be assessable because of death or mechanical ventilation withdrawal within 48 hours following inclusion." |
| Other bias  | Unclear risk       | Comment: protocol was amended 4 months after start of study to include people with cerebral haemorrhage (fulfilling the same eligibility criteria) – no information given about why the protocol was amended.  |

## SOCLE II

### *Su y h a r a t r i i*

### Methods

Stepped-wedge clustered pilot RCT randomised at hospital level, UK

## Interventions for improving oral health in people after stroke (Review)

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Study recruitment and setting details: see [Table 1](#)

## Participants

Inclusion criteria: wards with a specific remit for stroke rehabilitation care, all ward admissions including all ages, dentition profiles, reason for admission (including non-stroke), cognitive and communication impairment status. Similarly, all nursing staff (registered nurses, nursing assistants and student nurses) were eligible for inclusion

Exclusion criteria: acute stroke wards

OHC group: 135 patients; 108 staff

Usual care group: 147 patients; 84 staff

Details of participants are shown in [Table 2](#)

## Interventions

### **Multi-component OHC intervention**

- Intervention: complex multi-component OHC intervention
- Materials: toothbrushes, Internet-based training module, computer
- Agent: toothpaste, oral balance gel, denture marking kit
- Procedures: patient-level intervention involved treatment from specialist trained staff, individualised assessment, individualised care plan, oral health promotion. Staff-level intervention involved online training (OHC assessment, OHC protocol), access to a co-produced best-practice SOCLE assessment and protocol of care were shared with the nursing staff ward range of OHC equipment and products. Service-level intervention included specialist dental support (dentist denture repair laboratory) and

**SOCLE II** (Continued)

Secondary outcomes (measured at cluster level): length of hospital stay, death

**Staff level**

Primary outcome (measured at individual level): knowledge and attitudes questionnaire

Secondary outcome (measured at cluster level): OHC equipment and product use, documented OHC assessment, documented OHC plan

Feasibility and implementation: focus groups

**Service level** (measured at cluster level)

Primary outcomes: referrals to dental support (urgent and non-urgent)

Secondary outcomes: use of OHC equipment and products

**Economic outcomes**

Quote: "... potential net impact on healthcare costs combined with data gathered on health outcomes to determine whether outcomes are improved and (1) (clinical and patient) costs saved, constituting an unambiguous improvement in efficiency, or (2) the magnitude of cost increases incurred in achieving any established health improvement. Relevant outcomes include oral health-related QoL. Costs will reflect resources used in the intervention itself and post-intervention impacts (relative to standard care) on service use and staff time, including expected reductions in incidence of major events, such as pneumonia and in length of stay."

**Data collection**
**Patient level**

Primary outcome assessed weekly

Secondary outcomes (measured at individual level) assessed weekly

Secondary outcomes measured at cluster level were linked to routinely collected national health data

**Staff level**

Primary outcome collected at baseline, pretraining, post-training and at study end

Secondary outcomes assessed weekly

Focus group data collected at study end

**Service level**

Primary outcome assessed weekly

Secondary outcomes collected monthly

|         |   |
|---------|---|
| Funding | Study authors declared no conflicts of interest. Study funded by Stroke Association, UK   |
| Notes   | Dropouts are detailed in <a href="#">Table 6</a><br><br>Unpublished statistical data (supplied by the authors) is included within the meta-analyses |

**i k o i a**

| Bias  | Authors' judgement | Support for judgement   |
|---|--------------------|---|
| Random sequence generation (selection bias) | Low risk           | Quote: "Edinburgh Clinical Trials Unit randomised the order of site start date using a computer-generated algorithm." |

**SOCLE II** (Continued)

|   |           |   |
|---|-----------|---|
| Allocation concealment (selection bias)                                   | Low risk  | Quote: "Each site was randomly allocated, at a series of fixed time-points, to commence conversion to the enhanced OHC intervention."<br><br>Comment: authors confirmed that the Edinburgh Clinical Trials Unit dealt with allocation.  |
| Blinding of participants and personnel (performance bias)<br>All outcomes | High risk | Comment: not possible to blind staff participants to the start of the intervention but patient participants were masked to the allocation and study phase.  |
| Blinding of outcome assessment (detection bias)<br>All outcomes           | Low risk  | Comment: site allocation was concealed from the blinded assessor.<br><br>Quote: "Site allocation and phase conversion points were concealed <i>as much as possible</i> from SOCLE data collectors given the inherent limitations to blinding within a trial design where all sites provide usual care at study start and end delivering enhanced care."   |
| Incomplete outcome data (attrition bias)<br>All outcomes                  | Low risk  | Comment: dropouts accounted for. Staff withdrawals differed across each site, with greater attrition from sites 2 and 3 compared to sites 1 and 4 and were usually due to staff retirement, sickness or change of jobs. Study authors reported that they "experienced no patient withdrawals or dropouts in the usual sense as there was no formal follow-up beyond the ward admission." ITT analysis employed.   |
| Selective reporting (reporting bias)                                      | Low risk  | Comment: all prespecified outcomes reported.  |
| Baseline data comparable?   | Low risk  | Quote: "Patients' alertness, stroke diagnosis, capacity, modified Rankin Scale, dentition, dysphagia and nutritional status were similar across sites 1 to 3. Fifty-one (15/7%) were incapacitated. A greater proportion of patient participants at site 4 were female, alert, more disabled, incapacitated and had non-stroke diagnoses and dentures than patients at other sites (Table 2–3) [of the publication]. Sites 1–3 recruited more stroke survivors (76%–81% of site recruits) typically admitted within 2 days of stroke onset compared to participants from Site 4." |
| A priori power calculation  | Low risk  | No.<br><br>Comment: pilot RCT aimed at collecting these data.   |
| Other bias  | Low risk  | Comment: none identified.   |

AGNB: aerobic Gram-negative bacilli; BI: Barthel Index; FOIS: Functional Oral Intake Scale; ICD-9: International Classification of Diseases 9th edition; ITT: intention-to-treat; MASA: Mann assessment of swallowing ability; mBI: modified Barthel Index; MMSE: Mini-Mental State Examination; MRSA: Methicillin-resistant *Staphylococcus aureus*; N/A: not applicable; OHC: oral health care; RCT: randomised controlled trial; R-THROAT: revised THROAT oral assessment tool; SD: standard deviation; VAP: ventilator-associated pneumonia.

**Characteristics of excluded studies** [ordered by study ID]

| Study                             | Reason for exclusion  |
|-----------------------------------|---|
| <a href="#">Boden-Albala 2016</a> | RCT<br><br>Secondary stroke prevention and no relevant outcome measures |
| <a href="#">Brailsford 2002</a>   | RCT   |

**Interventions for improving oral health in people after stroke (Review)**

| Study           | Reason for exclusion   |
|-----------------|--|
|                 | Fluoride-containing varnish + antimicrobial varnish (Cervitec) vs fluoride-containing varnish + placebo varnish. Stroke-specific data unavailable  |
| Duck-Won 2013   | RCT<br><br>Intervention focused on treatment for limited oral mouth opening and not focused on improving OHC   |
| Forster 2013    | Cluster RCT<br><br>Intervention not focused on improving OHC   |
| Hägglund 2017   | RCT<br><br>Swallowing intervention only – not OHC intervention   |
| Hajizamani 2006 | RCT<br>Stroke-specific data unavailable. Carer knowledge data only reported for intervention group before and after the intervention   |
| Jones 2007      | RCT<br><br>Periodontal therapy vs usual care. Periodontal therapy typically requires specialist dental care and takes place in the presence of periodontal disease and so the intervention was not within the inclusion criteria of 'routine assisted oral health care.' |
| Kikutani 2006   | RCT<br><br>Nutritional supplementation plus oral functional training vs nutritional supplementation. Oral functional training does not relate to OHC but instead movement of the oral articulators (lips, cheeks, tongue, soft palate)                                   |
| Kim 2014b       | RCT<br><br>Intervention focused on treatment for xerostomia  |
| Lee 2017        | RCT<br><br>No patient data. Intervention focused on whether staff could identify oral health conditions after watching a videorecording but not the impact of that training on patient health  |
| Mojon 1998      | Cluster RCT<br><br>Oral health programme vs usual care. Stroke-specific data unavailable   |
| Murray 2016     | RCT<br><br>Swallowing intervention evaluation. Although there is an OHC component in the intervention, there are no relevant oral hygiene outcomes measured  |
| NCT01777672     | RCT<br><br>Swallowing intervention only – not OHC intervention   |
| NCT02379182     | RCT<br><br>Swallowing intervention evaluation. Although there was an oral hygiene component in the intervention, there did not appear to be a difference in OHC provided to the groups   |
| NCT02541032     | RCT  |

| Study             | Reason for exclusion  |
|-------------------|---|
|                   | Intensive dental treatment vs standard dental treatment. Secondary stroke prevention and no relevant outcome measures. In addition, periodontal therapy typically requires specialist dental care and general anaesthetic, so the intervention was not within our inclusion criteria of 'routine assisted oral health care' |
| Quagliarello 2009 | RCT<br><br>6 different OHC intervention programmes (3 specifically for people with dysphagia). Stroke-specific data unavailable   |
| Redwood 2001      | Cluster RCT<br><br>Oral health programme vs oral healthcare worker. Stroke-specific data unavailable  |
| Schou 1989        | Cluster RCT<br><br>OHC programme for staff only vs OHC programme for residents only vs OHC programme for staff and residents vs usual care Stroke-specific data unavailable   |
| Simons 1997       | RCT<br><br>Chlorhexidine acetate or xylitol gum vs xylitol gum. Stroke-specific data unavailable  |
| Simons 2002       | Cluster RCT<br><br>Chlorhexidine acetate or xylitol gum vs xylitol gum vs usual care (no gum). Stroke-specific data unavailable   |

OHC: oral health care; RCT: randomised controlled trial.

### Characteristics of studies awaiting classification *[ordered by study ID]*

#### Cabov 2010

|               |  |
|---------------|--|
| Methods       | Prospective double-blind, placebo-RCT  |
| Participants  | 60 non-edentulous patients consecutively admitted to the surgical ICU and requiring minimum stay of 3 days<br><br>Inclusion criteria: aged > 18 years, medical condition suggesting hospitalisation in the ICU for ≥ 3 days, and an eventual requirement for mechanical ventilation by oro- or nasotracheal intubation<br><br>Exclusion criteria: not reported |
| Interventions | <ul style="list-style-type: none"> <li>Chlorohexidine: antiseptic decontamination of dental plaque and the oral mucosa with chlorhexidine gel</li> <li>Placebo: placebo gel</li> </ul>   |
| Outcomes      | Outcomes: dental status assessed using a caries-absent-occluded score, and the amount of plaque assessed using a semi-quantitative score<br><br>Samples of dental plaque, oral mucosa, and nasal and tracheal aspirates were collected for bacterial culture, and nosocomial infections were assessed  |
| Notes         | Unclear whether any stroke-specific data are available – e-mail sent to study authors requesting further information   |



## IRCT2017012232101N1

|               |  |
|---------------|--|
| Methods       | Single-blind parallel RCT  |
| Participants  | <p>Intubated patients in ICU</p> <p>Inclusion criteria: patient has an endotracheal tube through the mouth, aged 15–85 years, 8 hours of intubation in the ICU; no history of allergy is to plant compounds Savory (carvacrol); lack of any damage characterised by endotracheal intubation or planes in the mouth, lesion is unknown</p> <p>Exclusion criteria: transmission or discharge or death of the patient from the ICU before the study was completed; creating profit any damage characterised by endotracheal intubation or planes or other physical harm; lack of desire to continue to study the patient's legal guardian; and immune system dysfunction, radiotherapy and chemotherapy, and having any malignant disease</p> |
| Interventions | <ul style="list-style-type: none"> <li>Mouthrinse (ortodentol)</li> <li>Chlorhexidine mouth rinse. 15 mL each time with a soft toothbrush can be rinsed, and the suction within 30 seconds. Before rinsing the mouth at the interval (8, 48, 72 hours) from different areas of the mouth sample and agar cultured and assessment of oral hygiene by standard scale-back and plaque oral mucous measured and the questionnaire recorded</li> </ul>  |
| Outcomes      | Primary outcomes: oral health, studied groups, endotracheal tube intubation time, the oral micro-bial  |
| Notes         | <p>Unclear whether the trial is completed and if stroke-specific data are available. E-mail sent to study authors seeking further information</p> <p>IRCT registration number: IRCT2017012232101N1</p>   |

## IRCT2017091636194N1

|               |  |
|---------------|--|
| Methods       | Single-blinded parallel RCT  |
| Participants  | <p>80 participants</p> <p>Inclusion criteria: aged 18–70 years; no clear maxillofacial trauma; having tracheal tube, locating the patient under the mechanical ventilator; no pneumonia or previous respiratory infections; ≥ 48 hours had passed since the onset of intubation; no ban and having no allergy on using mouthwash</p> <p>Exclusion criteria: death before the end of intervention; extubation before the end of intervention; transfer the patient to other wards or hospital among the intervention; other diagnostic or therapeutic procedure on mouth and pharynx or trachea</p> |
| Interventions | <ul style="list-style-type: none"> <li>Intervention group: during a 5-day period, using 10 mL of Nanosil mouthwash, oral care and decontamination every 8 hours</li> <li>Control group: 10 mL chlorhexidine mouthwash, oral care and decontamination every 8 hours</li> </ul>  |
| Outcomes      | <p>Primary outcome: occurrence of ventilator-associated pneumonia measured using standard modified clinical pulmonary infection score</p> <p>Secondary outcomes: degree of dysfunction of organs and prediction of mortality measured using standard sepsis related organ failure assessment tool, Glasgow Coma Scale</p> <p>Data collection: days 1 and 5</p>   |
| Notes         | IRCT2017091636194N1  |

**IRCT2017091636194N1** (Continued)

Unclear if there are stroke-specific data available. E-mail sent to study authors requesting further information

**Jin 2018**

|               |   |
|---------------|---|
| Methods       | Parallel RCT  |
| Participants  | 104 participants<br><br>Inclusion criteria: people with stroke and bad breath<br><br>Exclusion criteria: not reported   |
| Interventions | <ul style="list-style-type: none"> <li>• Ageratum liquid combined with long cotton swab for oral care for 7 days</li> <li>• Conventional saline cotton ball for oral care for 7 days</li> </ul> |
| Outcomes      | Improvement of bad breath, condition of tongue coating and clearance of oral pathogens  |
| Notes         | Translation unavailable   |

**Marchini 2018**

|               |  |
|---------------|--|
| Methods       | Pilot RCT  |
| Participants  | 81 residents<br><br>Inclusion criteria: aged $\geq 20$ years, resident or primary care worker in 1 of the following nursing homes: Linn Manor Care Center Simpson Memorial Home, Inc., Wilton Retirement Community, All-American Care of Muscatine, Pioneer Park of Lone Tree, Colonial Manor of the Columbus Community, Sunrise Terrace Nursing and Rehabilitation Center Parkview Home-Wayland<br><br>Exclusion criteria: aged $\leq 21$ years or $> 110$ years; not a resident or primary care worker in 1 of the retirement homes listed in the inclusion criteria   |
| Interventions | <ul style="list-style-type: none"> <li>• Control (current oral hygiene practice)</li> <li>• Educational programme only</li> <li>• Educational programme plus 1% chlorhexidine varnish monthly application</li> </ul>   |
| Outcomes      | <p>Outcomes: demographics, pneumonia, number of febrile days in last 6 months, existing medical conditions and medications taken, mini-cog test (Mini-Cog), mini nutritional assessment short form (Mini-Nutri), Rand 36-item Short Form health survey instrument version 1.0 (SF-36), Oral Health Impact Profile 14-question (OHIP-14), Geriatric Oral Health Assessment Index (GOHAI), and subjects oral health (self-reported dry mouth, oral lesions, denture status, number of teeth, dental plaque index, denture plaque index, bleeding on brushing, gingival bleeding index, coronal DMFS, root DMFS)</p> <p>Data collection: baseline and 6 months; microbiological samples were collected at baseline, 2, 4 and 6 months</p> |
| Notes         | Stroke-specific data not reported separately. Study authors contacted by e-mail to see if these data are available   |

## Mori 2012

|               |  |
|---------------|--|
| Methods       | Parallel RCT   |
| Participants  | 40 participants with acute cerebrovascular disorders or neurotrauma<br><br>Inclusion criteria: none reported<br><br>Exclusion criteria: none reported  |
| Interventions | <ul style="list-style-type: none"> <li>Professional oral health care: delivered by dental hygienists</li> <li>Usual care</li> </ul> Both groups received the same daily oral care performed by the neurosurgical ward nurses   |
| Outcomes      | Outcomes: periodontal pocket depth, gingival bleeding on probing, modified Oral Health Index (debris index), maximal interincisal opening, volatile sulphur compounds such as hydrogen sulphide (H <sub>2</sub> S) and methyl mercaptan (CH <sub>3</sub> SH) in the mouth air were measured using gas chromatograph<br><br>Data collection: baseline and 4 weeks after the baseline examination (or immediately before hospital discharge, whichever came first) |
| Notes         | Stroke-specific data not reported separately in the paper. Study authors contacted by e-mail to see if these data are available  |

## NCT00610324

|               |  |
|---------------|--|
| Methods       | Parallel RCT   |
| Participants  | 512 participants<br><br>Inclusion criteria: aged > 13 years, admitted to medical ICU and expected to stay in ICU for > 48 hours<br><br>Exclusion criteria: pregnant women, people with nosocomial pneumonia at time of ICU admission, people with community-acquired pneumonia at time of ICU admission, people in whom oropharyngeal cleansing is contraindicated |
| Interventions | <ul style="list-style-type: none"> <li>Twice-daily oropharyngeal cleansing with 0.2% chlorhexidine gluconate</li> <li>Twice-daily oropharyngeal cleansing with 0.01% potassium permanganate</li> </ul>   |
| Outcomes      | Primary outcome: development of nosocomial pneumonia<br><br>Secondary outcome: in-hospital mortality, length of ICU stay (days)<br><br>Data collection: during hospital stay   |
| Notes         | NCT00610324<br><br>E-mail to study authors seeking further information about whether the trial is completed and whether stroke-specific data are available   |

## NCT03219346

|         |              |
|---------|--------------|
| Methods | Parallel RCT |
|---------|--------------|

**NCT03219346** (Continued)

|               |  |
|---------------|--|
| Participants  | <p>100 participants</p> <p>Inclusion criteria: clinical diagnosis of first stroke with nasal tube retention, language therapist providing swallowing treatment, caregiver providing oral care to patients</p> <p>Exclusion criteria: oral cancer and head and neck cancer</p>    |
| Interventions | <ul style="list-style-type: none"> <li>OHC programme: oral care (sputum and special needs of people cleaning teeth) 3 days per week (with swallowing treatment time before) once a day. 10 minutes of oral care programme</li> <li>Control group: no details reported</li> </ul> |
| Outcomes      | <p>Primary outcome measures: functional oral intake scale</p> <p>Secondary outcome measures: functional oral intake scale</p>  |
| Notes         | <p>Study start date: July 2017</p> <p>Estimated completion date: February 2018</p> <p>Outcomes reported in the trial register differ from our criteria but data not published yet. Study authors contacted for further information</p>   |

**Yakiwchuk 2013**

|               |   |
|---------------|---|
| Methods       | Parallel RCT  |
| Participants  | <p>22 adults with dysphagia resident in a long-term care facility</p> <p>Inclusion criteria: medical diagnosis of oropharyngeal dysphagia, and who were residing in chronic care programme units with access to wall suction</p> <p>Exclusion criteria: &lt; 3 scoreable sextants of natural teeth, required sedation or antibiotic premedication for dental care, or who were under a DNR order</p>  |
| Interventions | <ul style="list-style-type: none"> <li>Carers were trained in mouth care using a suction toothbrush. Carer training included: viewing a video on the reusable suction toothbrush; attending a 30-minute oral health education session or viewing a video focused on mouth care, the study protocol, and wall unit suction operation, and participating in a hands-on mouth care skill development and coaching session for co-operative and care resistant residents</li> <li>Carers trained in mouth care using a manual soft toothbrush</li> </ul> <p>Both groups received mouth care twice daily for 12-month period</p> |
| Outcomes      | <p>Outcomes: Plaque Index, Calculus Index, Pocket Bleeding Index, Gingival Index and probing depth</p> <p>Data collection: oral health examination conducted at baseline, 1 month and pneumonia rates monitored over 12 months</p>  |
| Notes         | Unclear if there are stroke-specific data available. E-mail sent to study authors requesting further information  |

DMFS: decayed, missing and filled permanent surface; DNR: do not resuscitate; ICU: intensive care unit; RCT: randomised controlled trial.

**Characteristics of ongoing studies** [ordered by study ID]

## ChiCTR-IPR-17013403

|                     |  |
|---------------------|--|
| Study name          | Effect of oral care on the incidence of pneumonia in acute stroke patients with different degrees of dysphagia   |
| Methods             | Parallel RCT   |
| Participants        | 80 participants<br><br>Inclusion criteria: people with acute stroke aged 18–99 years; able to tolerate an oral examination and sample collection; able to provide informed consent<br><br>Exclusion criteria: pneumonia at admission; requiring mechanical ventilation; removable dentures; allergic to chlorhexidine; oral tumour or acute oral infection, who received periodontal treatment in the past 3 months; severe liver, kidney and heart dysfunction; use of antibiotics, hormones or other immunosuppressive agents; people with tumours and autoimmune diseases |
| Interventions       | <ul style="list-style-type: none"> <li>Intensive oral care</li> <li>Standard oral care</li> </ul>  |
| Outcomes            | Primary outcome: incidence of pneumonia<br><br>Secondary outcome: pathogenic bacteria of pneumonia   |
| Starting date       | 1 April 2016; anticipated completion 30 September 2018   |
| Contact information | Professor Yue Wang, Beijing Stomatological Hospital, Capital Medical Hospital, Beijing, China  |
| Notes               | ChiCTR-IPR-17013403  |

|               |  |
|---------------|--|
| Study name    | Effect of daily application of a 0.05% chlorhexidine solution on the incidence of (aspiration) pneumonia in care home residents: design of a multicentre cluster randomised controlled clinical trial  |
| Methods       | Multi-centre cRCT with care homes as units of randomisation  |
| Participants  | 500 physically disabled care home residents with dysphagia<br><br>Inclusion criteria: aged ≥ 65 years, physically disabled and diagnosed with dysphagia<br><br>Exclusion criteria: cognitively impaired (mainly with dementia), in a coma or vegetative state, terminally ill, dependent on mechanical ventilation, in day care, in short-term care or already using an oral hygiene care solution |
| Interventions | <ul style="list-style-type: none"> <li>0.05% chlorhexidine containing &amp;#360;55M5187W4</li> </ul>   |

**Hollaar 2015** (Continued)

presence of removable dentures (baseline); pneumonia: pneumonia will be diagnosed by a set of strictly described criteria: when symptoms occur during study

|                     |   |
|---------------------|---|
| Starting date       | 14 February 2013  |
| Contact information | Dr Vanessa Hollaar, Department of Neurohabilitation, University of Applied Sciences Nijmegen, Postbus 6960, Nijmegen, The Netherlands |
| Notes               | NTR3515   |

**MAPS-2**

|                     |   |
|---------------------|---|
| Study name          | The Metoclopramide and selective oral decontamination for Avoiding Pneumonia after Stroke trial (MAPS-2)  |
| Methods             | 2×2 factorial double-blinded RCT  |
| Participants        | <p>1160 participants</p> <p>Inclusion criteria: adults with clinical diagnosis of acute stroke; within 9 hours of stroke onset; moderate-to-severe neurological impairment with NIHSS score <math>\geq 10</math>; unable to take a normal oral diet of fluids</p> <p>Exclusion criteria: evidence of vomiting since stroke onset; pre-existing swallowing problem; known oesophageal pathology that might interfere with placement of an NGT, probable or definite pneumonia, contraindications to metoclopramide, epilepsy, gastrointestinal obstruction, perforation, or haemorrhage, gastrointestinal surgery within the last week, Parkinson's disease, treatment with levodopa or dopaminergic agonists, pheochromocytoma or neuroleptic malignant syndrome or tardive dyskinesia or methaemoglobinemia or NADH cytochrome; people with severe liver disease or kidney disease; known allergy to colistin; pregnant or breastfeeding; other comorbid conditions with a life expectancy &lt; 3 months at the discretion of the clinical treating team; inability to gain consent from the patient or a legal representative or refusal of consent</p> <p>Recruited from 50 UK emergency department and acute stroke wards</p> |
| Interventions       | <ul style="list-style-type: none"> <li>Metoclopramide and selective oral decontamination paste</li> <li>Metoclopramide and placebo selective oral decontamination paste</li> <li>Placebo metoclopramide and selective oral decontamination paste</li> <li>Placebo metoclopramide and placebo selective oral decontamination paste</li> </ul> <p>Participants will receive metoclopramide or placebo for 21 days or until the NGT is removed, and selective oral decontamination paste for 21 days or until the NGT is removed</p>   |
| Outcomes            | <p>Primary outcomes: mortality rates up to the end of study</p> <p>Secondary outcomes: pneumonia within 14 days; number of days of antibiotic treatment for pneumonia within the first 30 days; neurological recovery measured using the NIHSS at 30 days; disability measured using the modified Rankin Scale at 90 days; quality of life measured using the EuroQol Five Dimensions questionnaire at 90 days</p> <p>Data collection: daily clinical logs (14 days). Follow-up at 30 days (or day of discharge if sooner) and follow-up at 90 days for secondary outcomes</p>  |
| Starting date       | 1 December 2017; anticipated completion September 2019  |
| Contact information | Professor Christine Roffe, Institute for Applied Clinical Sciences (IACS) Keele University Guy Hilton Research Centre, Thornburrow Drive, Hartshill, Stoke-on-Trent, ST4 7QB, UK  |

**Interventions for improving oral health in people after stroke (Review)**

**MAPS-2** (Continued)

Notes

ISRCTN14124645

cRCT: cluster randomised controlled trial; ED: emergency department; NADH: nicotinamide adenine dinucleotide; NGT: nasogastric tube; NIHSS: National Institutes of Health Stroke Scale; RCT: randomised controlled trial.

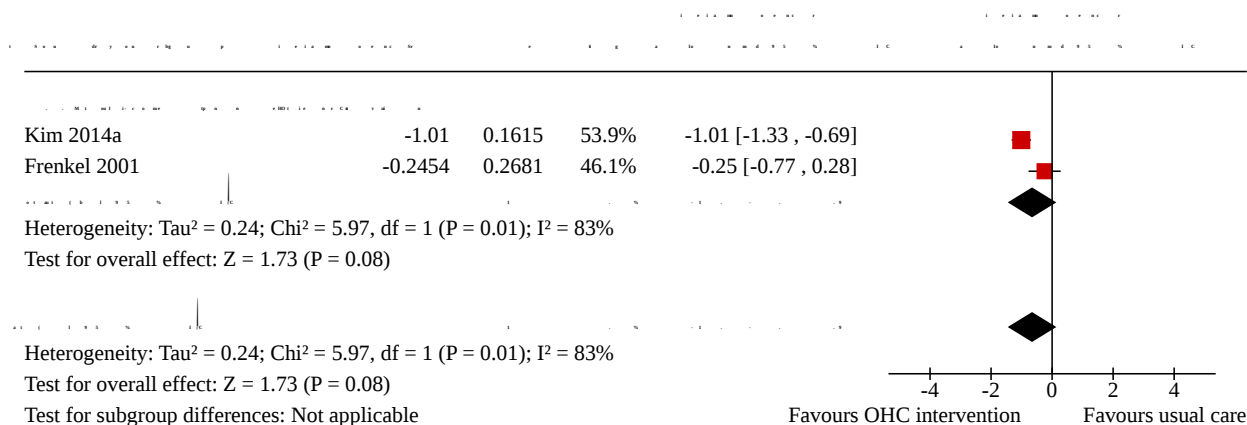
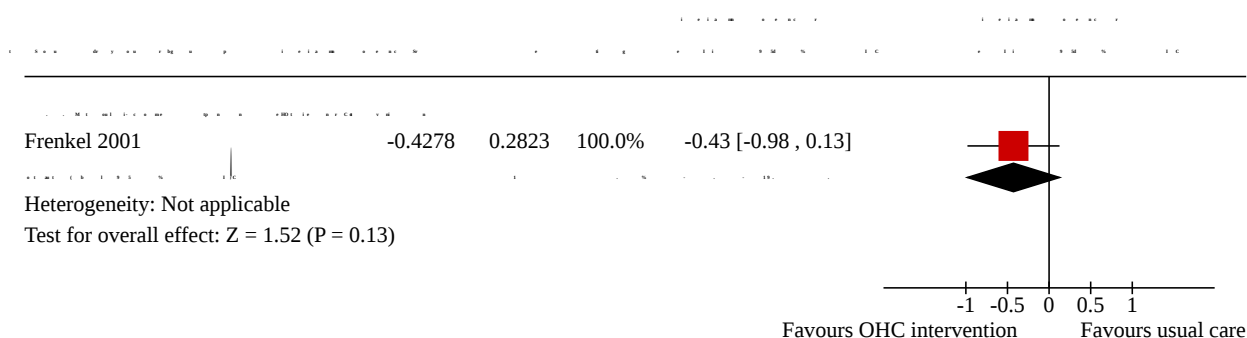
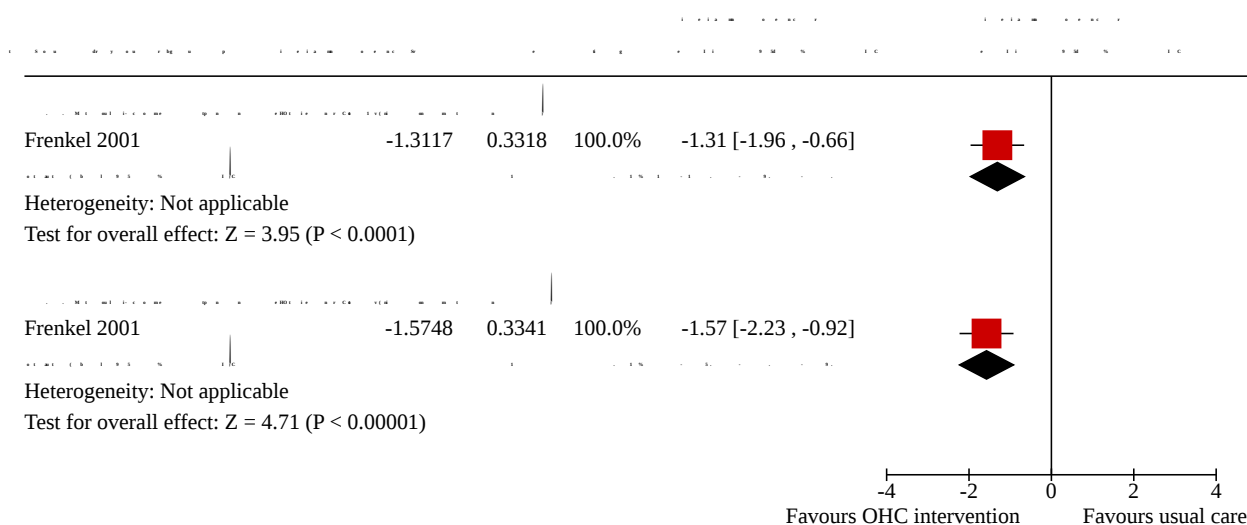
## DATA AND ANALYSES

### Comparison 1. Oral health care (OHC) interventions versus usual care

| Outcome or subgroup title                                       | No. of studies | No. of participants | Statistical method                      | Effect size          |
|---|----------------|---------------------|---|----------------------|
| <b>1.1 Dental plaque (up to 1 month)</b>                        | 2              |                     | Diff in mean score (IV, Random, 95% CI) | -0.66 [-1.40, 0.09]  |
| 1.1.1 Multi-component OHC intervention                          | 2              |                     | Diff in mean score (IV, Random, 95% CI) | -0.66 [-1.40, 0.09]  |
| <b>1.2 Dental plaque (6 months)</b>                             | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | Subtotals only       |
| 1.2.1 Multi-component OHC intervention                          | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | -0.43 [-0.98, 0.13]  |
| <b>1.3 Denture plaque</b>                                       | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | Subtotals only       |
| 1.3.1 Multi-component OHC intervention (1 month)                | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | -1.31 [-1.96, -0.66] |
| 1.3.2 Multi-component OHC intervention (6 months)               | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | -1.57 [-2.23, -0.92] |
| <b>1.4 Presence of oral disease: gingivitis (up to 1 month)</b> | 2              |                     | Diff in mean score (IV, Random, 95% CI) | -0.60 [-1.66, 0.45]  |
| 1.4.1 Multi-component OHC intervention                          | 2              |                     | Diff in mean score (IV, Random, 95% CI) | -0.60 [-1.66, 0.45]  |
| <b>1.5 Presence of oral disease: gingivitis (6 months)</b>      | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | Subtotals only       |
| 1.5.1 Multi-component OHC intervention                          | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | -0.25 [-0.61, 0.10]  |
| <b>1.6 Denture-induced stomatitis</b>                           | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | Subtotals only       |
| 1.6.1 Multi-component OHC intervention (1 month)                | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | -0.33 [-0.92, 0.26]  |
| 1.6.2 Multi-component OHC intervention (6 month)                | 1              |                     | Diff in mean score (IV, Fixed, 95% CI)  | -0.10 [-0.61, 0.40]  |

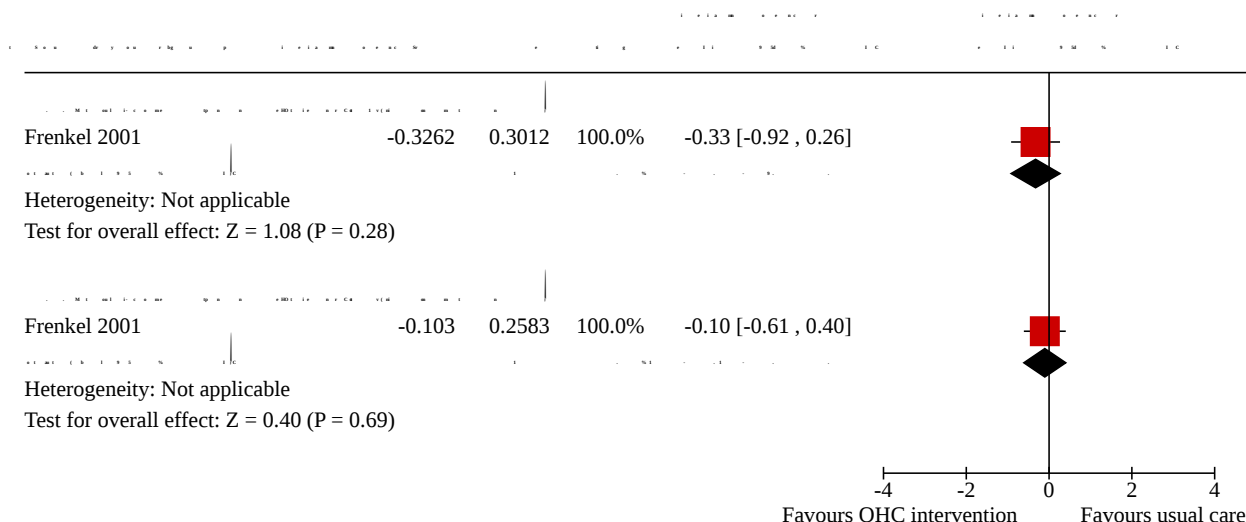
| Outcome or subgroup title               | No. of studies | No. of participants | Statistical method                        | Effect size         |
|---|----------------|---------------------|---|---------------------|
| 1.7 Pneumonia                           | 1              | 204                 | Peto Odds Ratio (Peto, Fixed, 95% CI)     | 4.17 [0.82, 21.11]  |
| 1.8 OHC knowledge (1 month)             | 3              | 728                 | Std. Mean Difference (IV, Random, 95% CI) | 0.70 [0.06, 1.35]   |
| 1.8.1 Educational intervention          | 1              | 373                 | Std. Mean Difference (IV, Random, 95% CI) | 0.19 [-0.01, 0.39]  |
| 1.8.2 Multi-component OHC intervention  | 2              | 355                 | Std. Mean Difference (IV, Random, 95% CI) | 1.00 [-0.26, 2.27]  |
| 1.9 OHC knowledge (2 months)            | 1              | 94                  | Mean Difference (IV, Fixed, 95% CI)       | 11.30 [8.78, 13.82] |
| 1.9.1 Multi-component OHC intervention  | 1              | 94                  | Mean Difference (IV, Fixed, 95% CI)       | 11.30 [8.78, 13.82] |
| 1.10 OHC knowledge (6 months)           | 2              | 596                 | Std. Mean Difference (IV, Fixed, 95% CI)  | 0.34 [0.18, 0.50]   |
| 1.10.1 Educational intervention         | 1              | 373                 | Std. Mean Difference (IV, Fixed, 95% CI)  | 0.27 [0.07, 0.48]   |
| 1.10.2 Multi-component OHC intervention | 1              | 223                 | Std. Mean Difference (IV, Fixed, 95% CI)  | 0.45 [0.18, 0.72]   |
| 1.11 Attitudes to oral care (1 month)   | 3              | 728                 | Std. Mean Difference (IV, Random, 95% CI) | 0.28 [0.01, 0.54]   |
| 1.11.1 Educational intervention         | 1              | 373                 | Std. Mean Difference (IV, Random, 95% CI) | 0.06 [-0.14, 0.26]  |
| 1.11.2 Multi-component OHC intervention | 2              | 355                 | Std. Mean Difference (IV, Random, 95% CI) | 0.42 [0.21, 0.63]   |
| 1.12 Attitudes to oral care (2 months)  | 1              |                     | Mean Difference (IV, Fixed, 95% CI)       | Subtotals only      |
| 1.12.1 Multi-component OHC intervention | 1              | 94                  | Mean Difference (IV, Fixed, 95% CI)       | 2.00 [-0.12, 4.12]  |
| 1.13 Attitudes to oral care (6 months)  | 2              | 596                 | Std. Mean Difference (IV, Random, 95% CI) | 0.36 [-0.01, 0.74]  |
| 1.13.1 Educational intervention         | 1              | 373                 | Std. Mean Difference (IV, Random, 95% CI) | 0.18 [-0.02, 0.39]  |
| 1.13.2 Multi-component OHC intervention | 1              | 223                 | Std. Mean Difference (IV, Random, 95% CI) | 0.57 [0.30, 0.83]   |



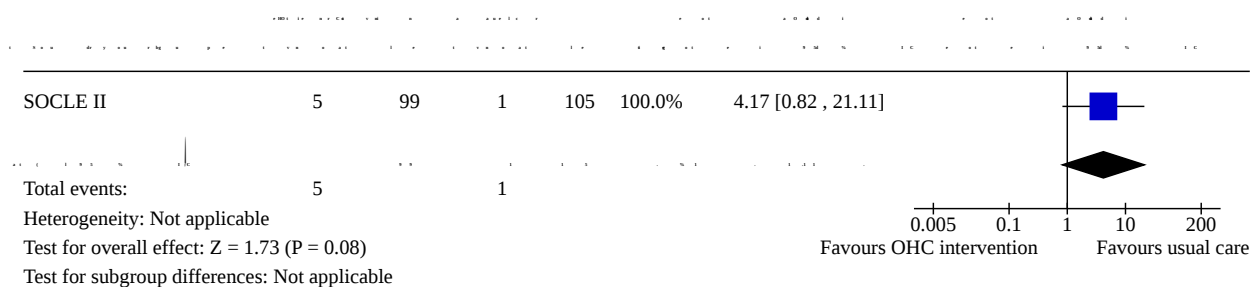
**Analysis 1.1. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 1: Dental plaque (up to 1 month)****Analysis 1.2. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 2: Dental plaque (6 months)****Analysis 1.3. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 3: Denture plaque**



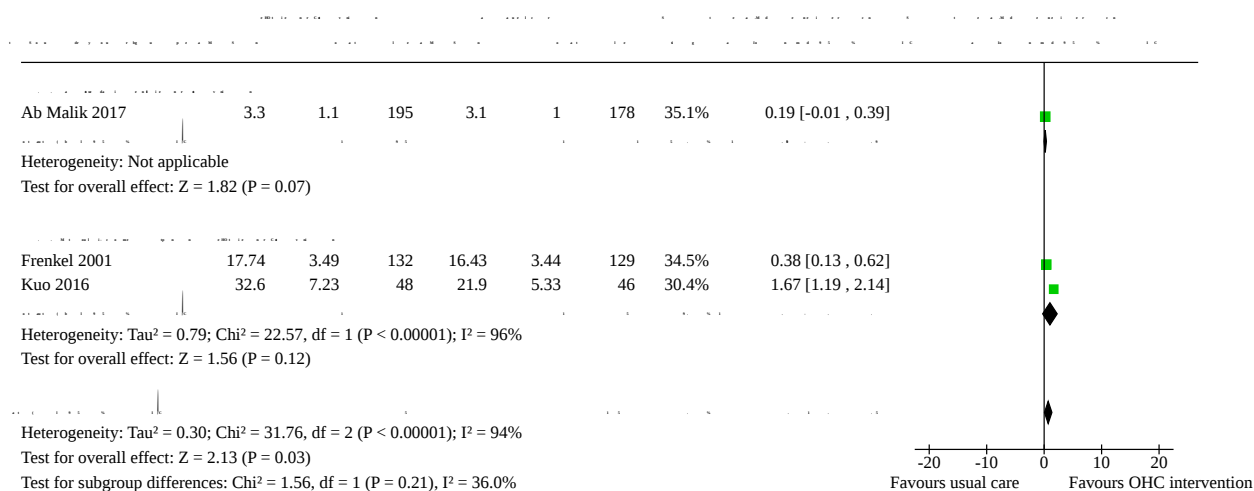
### Analysis 1.6. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 6: Denture-induced stomatitis



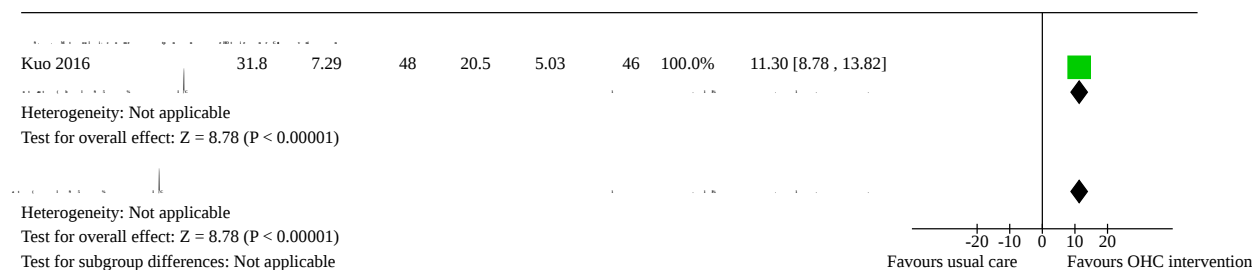
### Analysis 1.7. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 7: Pneumonia



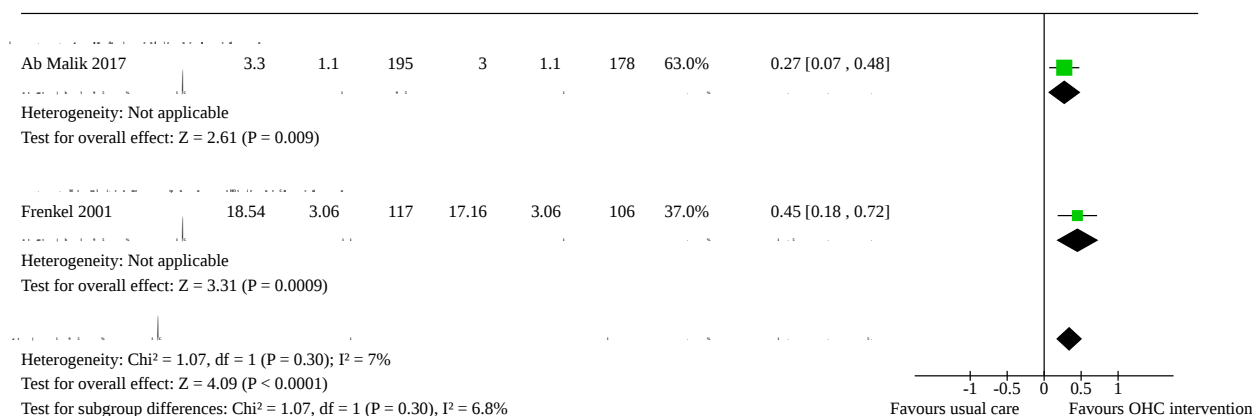
### Analysis 1.8. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 8: OHC knowledge (1 month)



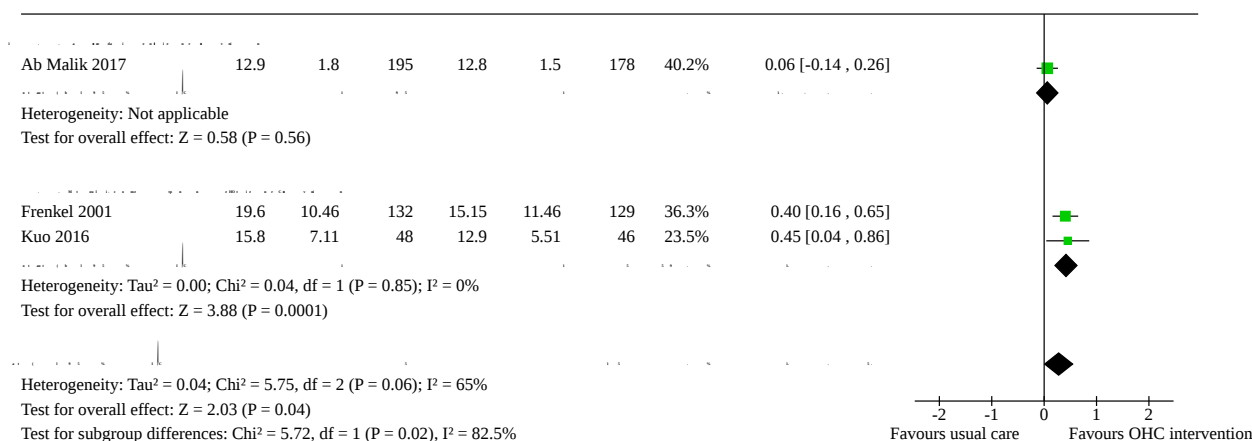
### Analysis 1.9. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 9: OHC knowledge (2 months)



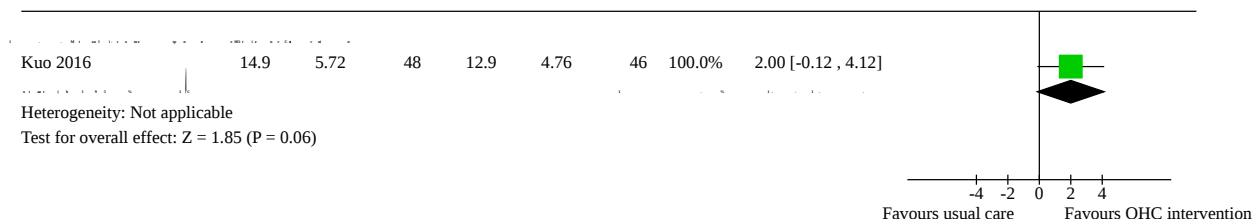
### Analysis 1.10. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 10: OHC knowledge (6 months)



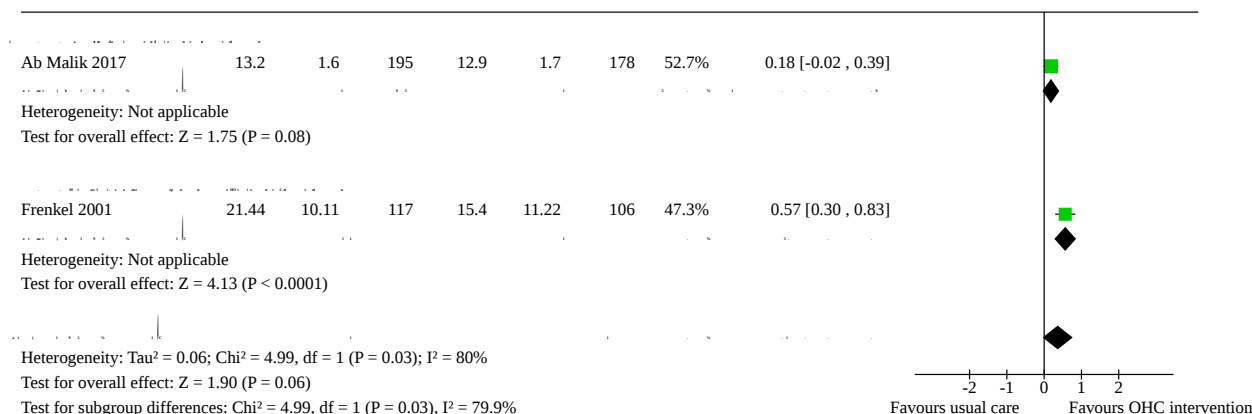
### Analysis 1.11. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 11: Attitudes to oral care (1 month)



### Analysis 1.12. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 12: Attitudes to oral care (2 months)



### Analysis 1.13. Comparison 1: Oral health care (OHC) interventions versus usual care, Outcome 13: Attitudes to oral care (6 months)

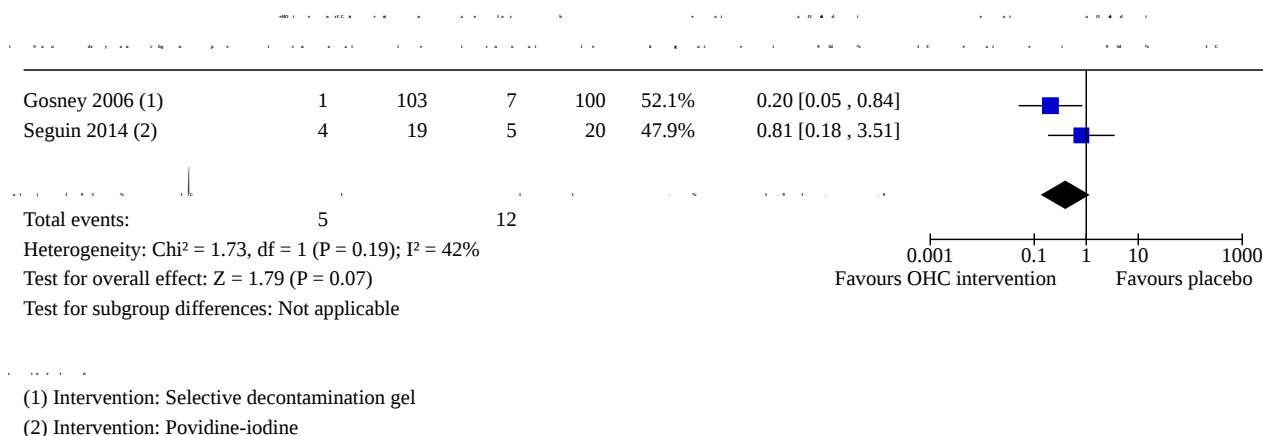


## Comparison 2. Oral health care (OHC) interventions versus placebo

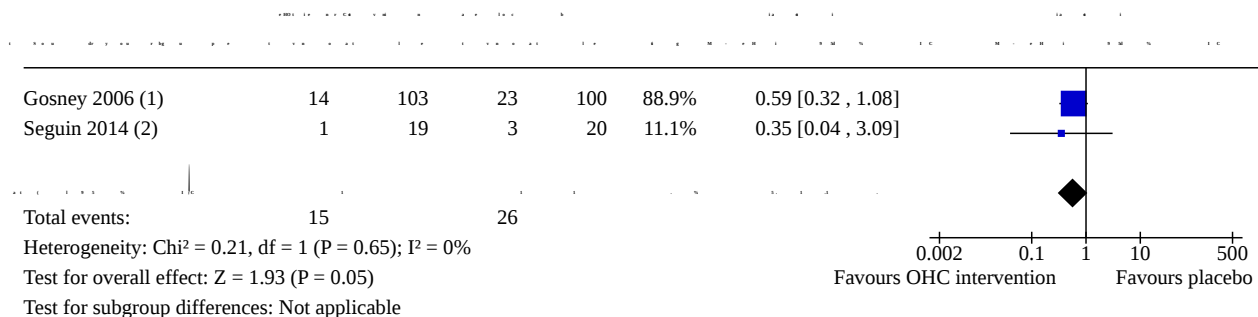
| Outcome or subgroup title   | No. of studies | No. of participants | Statistical method                    | Effect size         |
|---|----------------|---------------------|---------------------------------------|---------------------|
| 2.1 Presence of oral disease: pneumonia                                     | 2              | 242                 | Peto Odds Ratio (Peto, Fixed, 95% CI) | 0.39 [0.14, 1.09]   |
| 2.2 Presence of oral disease: acquired Aerobic Gram-negative bacilli (AGNB) | 2              | 242                 | Risk Ratio (M-H, Fixed, 95% CI)       | 0.56 [0.32, 1.01]   |
| 2.3 Presence of oral disease: carriage of AGNB                              | 1              | 203                 | Risk Ratio (M-H, Fixed, 95% CI)       | 0.91 [0.48, 1.74]   |
| 2.4 Self-reported oral dryness  | 1              |                     | Mean Difference (IV, Fixed, 95% CI)   | Subtotals only      |
| 2.4.1 Oral dryness over 24-hour period                                      | 1              | 21                  | Mean Difference (IV, Fixed, 95% CI)   | -0.13 [-1.81, 1.55] |

| Outcome or subgroup title         | No. of studies | No. of participants | Statistical method                  | Effect size         |
|-----------------------------------|----------------|---------------------|-------------------------------------|---------------------|
| 2.4.2 Oral dryness during the day | 1              | 21                  | Mean Difference (IV, Fixed, 95% CI) | -0.64 [-2.43, 1.15] |
| 2.4.3 Oral dryness during a meal  | 1              | 21                  | Mean Difference (IV, Fixed, 95% CI) | 0.66 [-1.61, 2.93]  |
| 2.4.4 Difficulty swallowing food  | 1              | 21                  | Mean Difference (IV, Fixed, 95% CI) | -0.62 [-2.53, 1.29] |
| 2.4.5 Lack of saliva              | 1              | 21                  | Mean Difference (IV, Fixed, 95% CI) | 1.12 [-0.28, 2.52]  |
| 2.4.6 General discomfort          | 1              | 21                  | Mean Difference (IV, Fixed, 95% CI) | 0.45 [-0.91, 1.81]  |

### Analysis 2.1. Comparison 2: Oral health care (OHC) interventions versus placebo, Outcome 1: Presence of oral disease: pneumonia

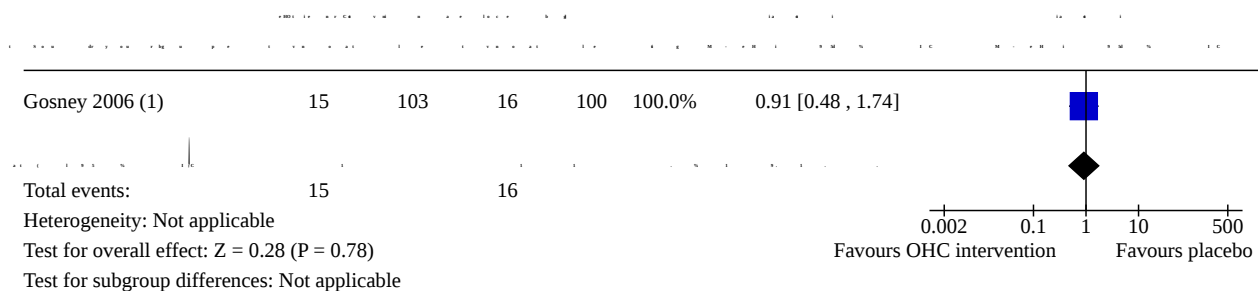


**Analysis 2.2. Comparison 2: Oral health care (OHC) interventions versus placebo, Outcome 2: Presence of oral disease: acquired Aerobic Gram-negative bacilli (AGNB)**



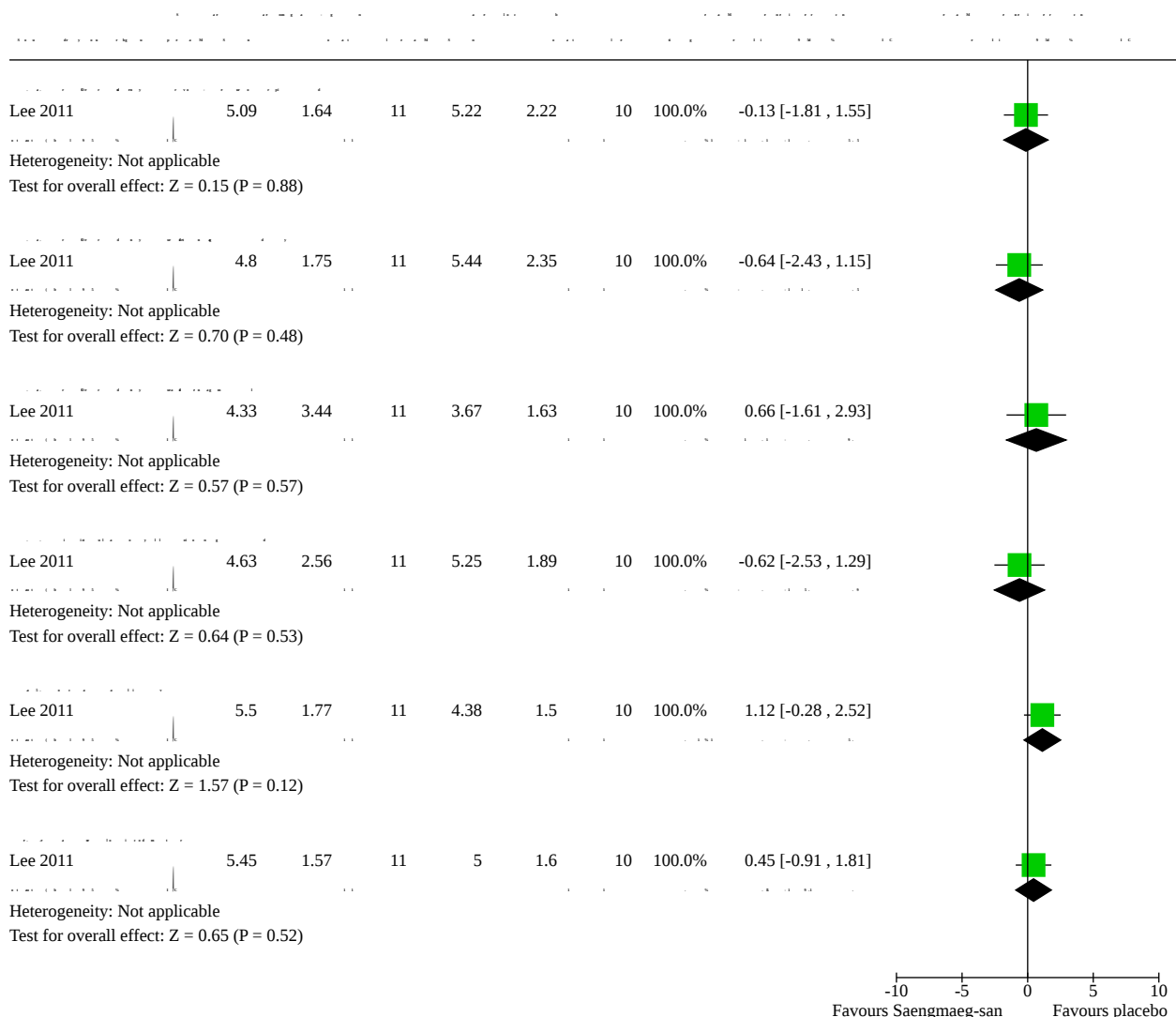
- (1) Intervention: Selective decontamination gel  
(2) Intervention: Povidine-iodine

**Analysis 2.3. Comparison 2: Oral health care (OHC) interventions versus placebo, Outcome 3: Presence of oral disease: carriage of AGNB**



- (1) Intervention: Selective decontamination gel

### Analysis 2.4. Comparison 2: Oral health care (OHC) interventions versus placebo, Outcome 4: Self-reported oral dryness



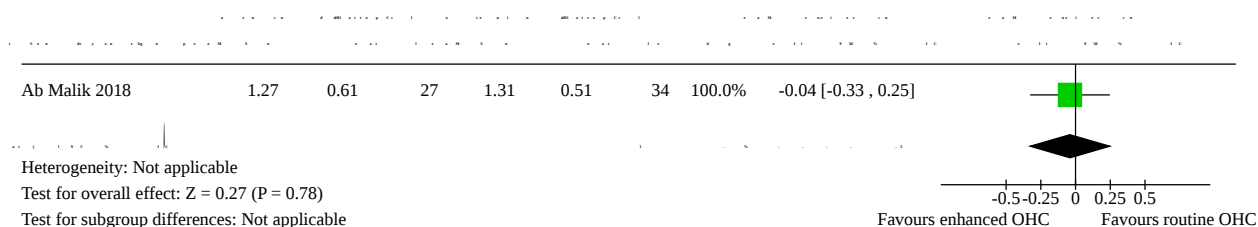
### Comparison 3. Oral health care (OHC) interventions versus another OHC intervention

| Outcome or subgroup title   | No. of studies | No. of participants | Statistical method                  | Effect size         |
|---|----------------|---------------------|-------------------------------------|---------------------|
| 3.1 Dental plaque (3 months)  | 1              | 61                  | Mean Difference (IV, Fixed, 95% CI) | -0.04 [-0.33, 0.25] |
| 3.2 Dental plaque (6 months)  | 1              | 54                  | Mean Difference (IV, Fixed, 95% CI) | -0.15 [-0.46, 0.16] |
| 3.3 Presence of oral disease: aerobic Gram-negative bacilli (AGNB) (3 months) | 2              | 126                 | Risk Ratio (M-H, Fixed, 95% CI)     | 1.00 [0.71, 1.42]   |

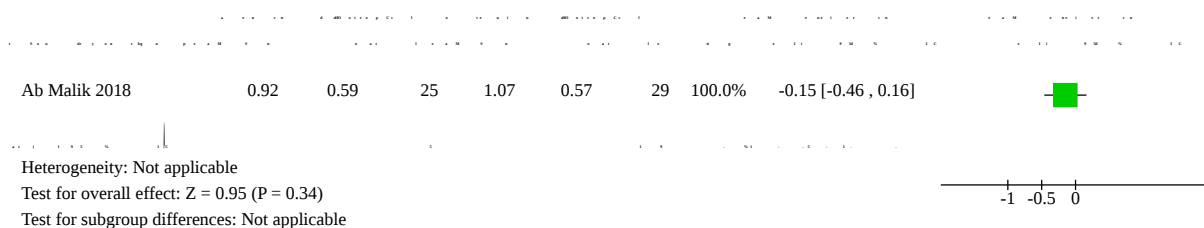


| Outcome or subgroup title                     | No. of studies | No. of participants | Statistical method              | Effect size       |
|---|----------------|---------------------|---------------------------------|-------------------|
| 3.4 Presence of oral disease: AGNB (6 months) | 1              | 52                  | Risk Ratio (M-H, Fixed, 95% CI) | 0.80 [0.47, 1.38] |
| 3.5 Candida (3 months)                        | 1              | 52                  | Risk Ratio (M-H, Fixed, 95% CI) | 1.08 [0.61, 1.89] |
| 3.6 Candida (6 months)                        | 1              | 52                  | Risk Ratio (M-H, Fixed, 95% CI) | 1.17 [0.62, 2.20] |
| 3.7 <i>Staphylococcus aureus</i>              | 2              | 119                 | Risk Ratio (M-H, Fixed, 95% CI) | 1.29 [0.57, 2.91] |

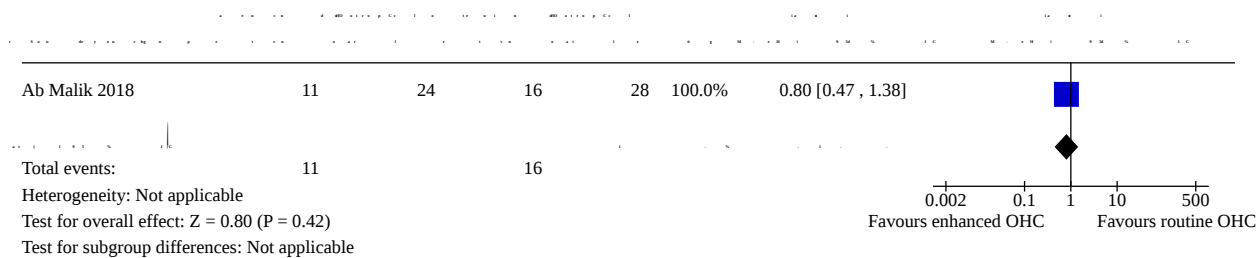
**Analysis 3.1. Comparison 3: Oral health care (OHC) interventions versus another OHC intervention, Outcome 1: Dental plaque (3 months)**



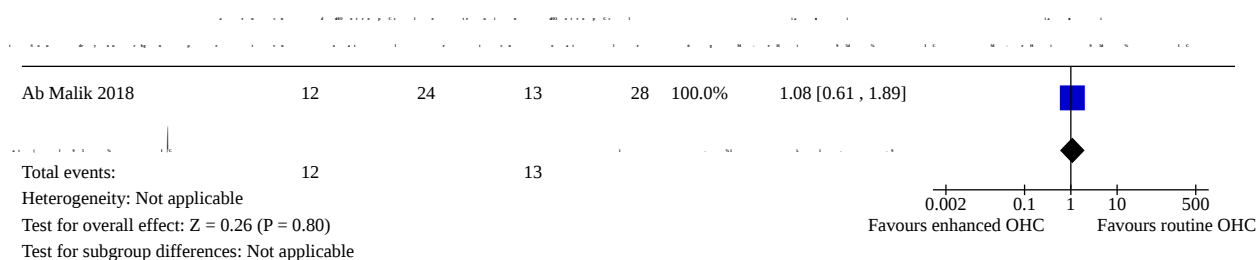
**Analysis 3.2. Comparison 3: Oral health care (OHC) interventions versus another OHC intervention, Outcome 2: Dental plaque (6 months)**



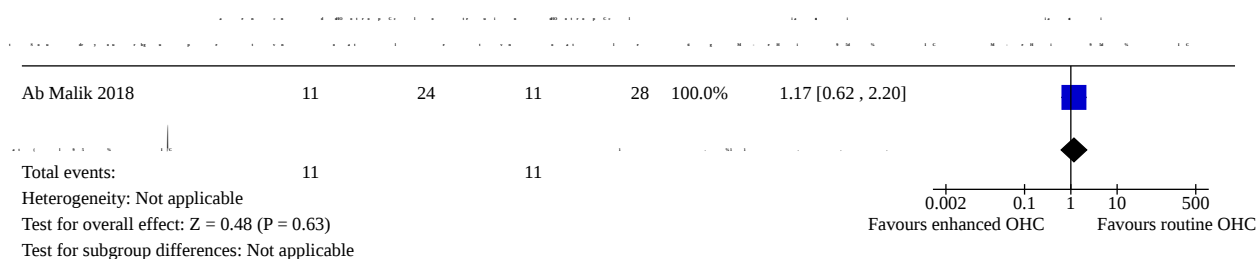
### Analysis 3.4. Comparison 3: Oral health care (OHC) interventions versus another OHC intervention, Outcome 4: Presence of oral disease: AGNB (6 months)



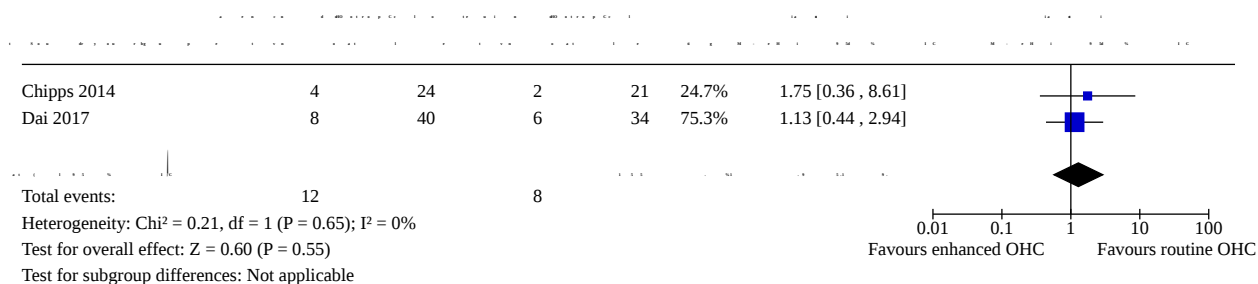
### Analysis 3.5. Comparison 3: Oral health care (OHC) interventions versus another OHC intervention, Outcome 5: Candida (3 months)



### Analysis 3.6. Comparison 3: Oral health care (OHC) interventions versus another OHC intervention, Outcome 6: Candida (6 months)



### Analysis 3.7. Comparison 3: Oral health care (OHC) interventions versus another OHC intervention, Outcome 7: Satisfaction







ICU: intensive care unit; MDT: multi-disciplinary team; PRC: People's Republic of China; RN: registered nurse.

**Table 2. Characteristics of participants in included studies**

| Study ID         | Group   | No of participants  | Men/<br>women          | Age in<br>years                                      | Types of<br>stroke                  | Time post<br>onset                      |
|------------------|---|---|------------------------|--|-------------------------------------|---|
|                  |   |   |                        | Mean (SD)<br>[range]                                 |                                     |   |
| Ab Malik<br>2017 | OHC training (Internet-based CPD programme)   | Whole group:<br>547 RNs<br>but final response rate was 373 RNs <sup>a</sup> | Whole group:<br>16/357 | NR   | NA                                  | NA                                      |
|                  | General stroke care training (Internet-based CPDP programme not specific to oral hygiene) |   |                        |  |                                     |   |
| Ab Malik<br>2018 | Multi-component OHC intervention (intense method for plaque control)                      | 38  | 24/14                  | 20–39 years<br>(n = 6)<br><br>≥ 40 years<br>(n = 32) | Ischaemic 35<br><br>Haemorrhage: 3  | 33/38 first stroke; time poststroke: NR |
|                  | Multi-component OHC intervention (conventional method for plaque control)                 | 48  | 28/20                  | 20–39 years<br>(n = 7)<br><br>≥ 40 years<br>(n = 41) | Ischaemic 42<br><br>Haemorrhage: 6  | 42/48 first stroke; time poststroke: NR |
| Chipps<br>2014   | Multi-component OHC intervention (enhanced oral care protocol)                            | 29  | 15/14                  | 62.54 (13.5)   | NR                                  | NR                                      |
|                  | Multi-component OHC intervention (routine oral care)                                      | 22  | 14/8                   | 63.74 (15.6)   | NR                                  | NR                                      |
| Dai 2017         | Multi-component OHC intervention (advanced oral hygiene care programme)                   | 47  | 29/18                  | 66.3 (11.2)  | Ischaemic 31<br><br>Haemorrhage: 16 | NR                                      |
|                  | Multi-component OHC intervention (conventional oral hygiene programme)                    | 47  | 28/19                  | 66.9 (10.6)  | Ischaemic 35<br><br>Haemorrhage: 12 | NR                                      |
| Fields 2008      | Multi-component OHC intervention (OHC and timed toothbrushing in care bundle)             | 345 (but completed data only available on 200)                              | NR                     | NR   | NR                                  | NR                                      |
|                  | Usual care  |   | NR                     | NR   | NR                                  | NR                                      |
| Frenkel<br>2001  | Multi-component OHC intervention (workplace OHC training session)                         | Whole group: 369 care assistants at baseline;                               | 4/147                  | [16–55+]   | NR                                  | NR                                      |

**Table 2. Characteristics of participants in included studies** (Continued)

|  |  | 151 residents*   |                    |                       |  |   |
|--|--|------------------|--------------------|-----------------------|--|---|
|  | Usual care   | 144 residents    | 8/136              | [16–55+]              | NR   | NR                                      |
| Gosney 2006  | OHC gel (selective decontamination of digestive tract oral gel)  | 103              | 54/49              | [16–96]               | NR   | First acute stroke; time poststroke: NR |
|  | Placebo gel  | 100              | 48/52              | [45–92]               | NR   |   |
| Juthani-Mehta 2015   | Multi-component OHC intervention   | 434              | 105/329            | 86.5 (8.0)            | 100 participants with stroke                                     | NR                                      |
|  | Usual care   | 400              | 93/307             | 86.1 (8.3)            | 92 participants with stroke                                      | NR                                      |
| Kim 2014a  | Multi-component OHC intervention (OHCP)  | 29               | 13/16              | 57.38 (14.22)         | Infarct: 3<br>Haemorrhage: 26                                    | NR                                      |
|  | Usual care   | 27               | 14/13              | 56.15 (14.55)         | Infarct: 3<br>Haemorrhage: 24                                    | NR                                      |
| Kobayashi 2017i;<br>Kobayashi 2017ii;<br>Kobayashi 2017iii;<br>Kobayashi 2017iv;<br>Kobayashi 2017v;<br>Kobayashi 2017vi | Multi-component OHC intervention (mouthwash and moisturising gel)  | Whole group:     | Whole group: 29/31 | Whole group: 83.5 (5) | Quote: "Treated for cerebral stroke" – no other details reported | NR                                      |
|  | Multi-component OHC intervention (mouthwash)   | 60 participants  |                    |                       |  |   |
|  | Multi-component OHC intervention (water and moisturising gel)  |                  |                    |                       |  |   |
|  | Multi-component OHC intervention (water alone)   |                  |                    |                       |  |   |
| Kuo 2016   | Multi-component OHC intervention (home-based OHC programme)  | 48 family carers | 16/32              | 52.7 (11.29)          | NR   | NR                                      |
|  | Usual care   | 46 family carers | 19/27              | 53.9 (16.74)          | NR   | NR                                      |
| Lam 2013i;<br>Lam 2013ii;<br>Lam 2013iii   | Multi-component OHC intervention (oral hygiene instruction + chlorhexidine mouthrinse + assisted brushing) | 30               | 19/11              | 71 (11.7)             | Ischaemic 27<br>Haemorrhage: 3                                   | NR                                      |
|  | Multi-component OHC intervention (oral hygiene instruction + chlorhexidine mouthrinse)                     | 26               | 16/10              | 69.4 (9.6)            | Ischaemic 22<br>Haemorrhage: 4                                   | NR                                      |
|  | Multi-component OHC intervention (oral hygiene instruction)  | 25               | 16/9               | 68.9 (11.4)           | Ischaemic 19   | NR                                      |

**Table 2. Characteristics of participants in included studies** (Continued)

|             |   |  |                                      |                                      | Haemorrhage:<br>6                    |                                      |
|-------------|---|--|--------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|
| Lee 2011    | Saengmaeg-san extract                           | 12   | NR                                   | NR                                   | NR                                   | NR                                   |
|             | Placebo   | 12   | (translation unavailable at present) | (translation unavailable at present) | (translation unavailable at present) | (translation unavailable at present) |
| Seguin 2014 | Oropharyngeal care with povidone-iodine         | 85   | 60/25                                | 48 (19)                              | TBI: 62<br>Cerebral haemorrhage: 23  | NR                                   |
|             | Oropharyngeal care with placebo                 | 82   | 64/18                                | 48 (18)                              | TBI: 61<br>Cerebral haemorrhage: 21  | NR                                   |
| SOCLE II    | Multi-component OHC intervention (enhanced OHC) | Whole group:                                   | 165/160                              | Median age: 76                       | 243/325 stroke                       | NR                                   |
|             | Usual care                                      | 325 (243 stroke) patients<br>112 nursing staff |                                      | [IQR 63–83]                          |                                      |                                      |

<sup>a</sup>Population demographics only reported for the 373 RNs who completed the trial; group labels that trialists reported in their original publication(s) are shown in brackets.

CPD: continuing professional development; IQR: interquartile range; n: number of participants; NA: not applicable; NR: not reported; OHC: oral health care; OHCP: oral hygiene care programme; RN: registered nurse; SD: standard deviation; TBI: traumatic brain injury.

**Table 3. Summary of intervention components across comparison groups**

| Study ID   | Intervention  | Training   | Toothbrush                               | Toothpaste | Mouth gel | Mouthwash                      | Protocol                               | Other  |
|--|---|--|--|------------|-----------|--------------------------------|--|--|
| <b>Comparison group 1: oral healthcare interventions vs no treatment or usual care</b> |   |  |  |            |           |                                |  |  |
| Ab Malik 2017  | Internet-based CPD programme  | Staff training (specialist) computer-aided learning                      | —  | —          | —         | —                              | —                                      | —  |
|  | Internet-based CPD programme (not specific to oral hygiene)                   | Brief computer-aided learning  | —  | —          | —         | —                              | —                                      | —  |
| Fields 2008  | Multi-component OHC intervention (OHC and timed toothbrushing in care bundle) | Staff training   | Manual (new toothbrush for each session) | Yes        | —         | —                              | Laminated care with basic instructions | Toothette (foam swab), lip moisturiser                           |
|  | Usual care  | —  | Manual (kit had 2 toothbrushes)          | —          | —         | —                              | —                                      | Toothette (foam swab), lip moisturiser as required               |
| Frenkel 2001   | Multi-component OHC intervention (workplace OHC training session)             | Staff training   | Manual                                   | —          | —         | —                              | —                                      | Booklet, teaching aids and models, course attendance certificate |
|  | Usual care  | Health education programme delivered after completion of data collection | —  | —          | —         | —                              | —                                      | —  |
| Juthani-Mehta 2015   | Multi-component OHC intervention  | Staff training   | Manual                                   | —          | —         | 0.12% chlorhexidine oral rinse | —                                      | Upright positioning during feeding                               |
|  | Usual care  | —  | —  | —          | —         | —                              | —                                      | —  |



**Table 3. Summary of intervention components across comparison groups** (Continued)

|   |   |  |  |        |   |                    |     |  |
|---|---|--|--|--------|---|--------------------|-----|--|
| Kim 2014a   | Multi-component OHC intervention (oral hygienic care programme)   | —  | Children's manual tooth-brush and interdental toothbrush |        |   | 0.5% chlorhexidine | —   | Tongue cleaner, mouth gag, suction   |
|   | Usual care  | —  | —  | —      | —   | —                  | —   | —  |
| Kuo 2016  | Multi-component OHC intervention (home-based oral care programme) | Staff training                           | Finger tooth-brush                                       | —      | —   | —                  | —   | Tongue cleaner, educational pamphlets, daily reminder sheets                               |
|   | Usual care  | Encouraged to maintain routine practices | —  | —      | —   | —                  | —   | OHC could include oral cleaning with oral swabs  |
| SOCLE II  | Multi-component OHC intervention (enhanced OHC)                   | Staff training                           | Manual   | Yes    | Oral balance gel  | Yes                | Yes | Stroke-specific assessment tool, foam swab, information sheet, suction, denture making kit |
|   | Usual care  | No training                              | Varied   | Varied | Varied  | Varied             | —   | Foam swab  |
| <b>Comparison group 2: oral healthcare interventions vs placebo interventions</b> |   |  |  |        |   |                    |     |  |
| Gosney 2006   | OHC gel (selective decontamination of digestive tract oral gel)   | —  | —  | —      | Orabase 500 mg gel (containing 2% (w/v) colistin, 2% (w/v) polymyxin E, 2% (w/v) amphotericin B | —                  | —   | —  |
|   | Placebo gel   | —  | —  | —      | Placebo gel 500 mg  | —                  | —   | —  |
| Lee 2011  | Saengmaeg-san extract   | —  | —  | —      | —   | —                  | —   | Saengmaeg-san extract given in opaque capsules   |

**Table 3. Summary of intervention components across comparison groups** (Continued)

|  | Placebo   | —  | —                                   | —          | —   | — | —   | Placebo given in opaque capsules                             |
|--|---|--|-------------------------------------|------------|---|---|---|--|
| <a href="#">Seguin 2014</a>  | Povidone-iodine (oropharyngeal care with povidone-iodine)                 | All nurses were trained in the oral procedure. Film describing in detail the oral care procedure was made available to all investigators | —                                   | —          | Povidone-iodine (betadine 10% oral anti-septic solution; Meda Pharma, Paris, France) portioned in vials containing 125 mL of product and dispensed by the Pharmacy of Rennes to the pharmacies of the participating centres | — | Nasopharynx and oropharynx rinsing, regular suction, cuffed tracheal tube pressure checked and adjusted as required | —  |
|  | Placebo (oropharyngeal care with placebo)                                 | As above   | —                                   | —          | Placebo were portioned in vials containing 125 mL of product and dispensed by the Pharmacy of Rennes to the pharmacies of the participating centres   | — | As above  | —  |
| <b>Comparison group 3: oral healthcare interventions vs another oral healthcare intervention</b> |   |  |                                     |            |   |   |   |  |
| <a href="#">Ab Malik 2018</a>  | Multi-component OHC intervention (intense method for plaque control)      | Patient (individual) training  | Powered (Oral B Pro-Health DB4010)  | —          | 1% chlorhexidine gluconate gel  | — | —   | Plastic tooth model, a pamphlet on tooth brushing techniques |
|  | Multi-component OHC intervention (conventional method for plaque control) | As above   | Manual (Oral-B super thin and extra | Commercial | —   | — | —   | —  |

**Table 3. Summary of intervention components across comparison groups** (Continued)

|                  |   |                                 |   |   |   |   |   |  |
|------------------|---|---------------------------------|---|---|---|---|---|--|
|                  |   |                                 | soft bristles<br>toothbrush)              |   |   |   |   |  |
| Chipps 2014      | Multi-component OHC intervention (enhanced oral care protocol)          | Staff training                  | Powered                                   | Crest-Pro-Health toothpaste                                 | —   | Listerine   | — | Glide disposable floss picks, Sun-star dual action tongue cleaner, Carmex lip balm |
|                  | Multi-component OHC intervention (routine oral care protocol)           | As above                        | Manual                                    | Sage Oral Care Sodium Bicarbonate Mouthpaste                | —   | Careline alcohol-free mouthwash                   | — | Regular Chaplet lip balm   |
| Dai 2017         | Multi-component OHC intervention (advanced oral hygiene care programme) | Patients: oral hygiene training | Powered (Oral-B AdvancePower™ 400 series) | Standardised toothpaste (Colgate Maximum Cavity Protection) | —   | 0.2% chlorhexidine gluconate mouth rinse Corsodyl | — | Information sheet: oral hygiene pamphlet   |
|                  | Multi-component OHC intervention (conventional oral hygiene programme)  | As above                        | Manual (Oral-B Pro-Health All-In-One)     | As above  | —   | —   | — | —  |
| Kobayashi 2017i  | Multi-component OHC intervention (mouthwash and moisturising gel)       | —                               | Manual (Dent Ex)                          | —   | Mouth gel (1 g containing glyc-erine, lactofer-in and whey protein) | Mouth wash (contained cetylpyri-dinium chlo-ride) | — | Tongue brush, water, Elastomer-ic tongue scraper                                   |
|                  | Multi-component OHC intervention (mouthwash)                            | —                               | Manual (Dent Ex)                          | —   | —   | Mouth wash (contained cetylpyri-dinium chlo-ride) | — | Tongue brush   |
| Kobayashi 2017ii | Multi-component OHC intervention (mouthwash and gel)                    | —                               | Manual (Dent Ex)                          | —   | Mouth gel (1 g containing glyc-erine, lactofer-                     | Mouth wash (contained cetylpyri-                  | — | Tongue brush, water, Elastomer-ic tongue scraper                                   |

**Table 3. Summary of intervention components across comparison groups** (Continued)

|                      |  |   |                     |   | rin and whey<br>protein)   | dinium chlo-<br>ride)  |   |
|----------------------|--|---|---------------------|---|--|--|---|
|                      | Multi-component OHC intervention<br>(water and moisturising gel) | — | Manual (Dent<br>Ex) | — | Mouth gel (1 g<br>containing glyc-<br>erine, lactofer-<br>rin and whey<br>protein) | —  | Tongue brush,<br>water, Elastomer-<br>ic tongue scraper |
| Kobayashi<br>2017iii | Multi-component OHC intervention<br>(mouthwash and gel)          | — | Manual (Dent<br>Ex) | — | Mouth gel (1 g<br>containing glyc-<br>erine, lactofer-<br>rin and whey<br>protein) | Mouthwash<br>(contained<br>cetylpyri-<br>dinium chlo-<br>ride) | Tongue brush,<br>water, Elastomer-<br>ic tongue scraper |
|                      | Multi-component OHC intervention<br>(water alone)                | — | Manual (Dent<br>Ex) | — | —  | —  | Tongue brush,<br>water                                  |
| Kobayashi<br>2017iv  | Multi-component OHC intervention<br>(mouthwash)                  | — | Manual (Dent<br>Ex) | — | —  | Mouthwash<br>(contained<br>cetylpyri-<br>dinium chlo-<br>ride) | Tongue brush  |
|                      | Multi-component OHC intervention<br>(water and moisturising gel) | — | Manual (Dent<br>Ex) | — | Mouth gel (1 g<br>containing glyc-<br>erine, lactofer-<br>rin and whey<br>protein) | —  | Tongue brush,<br>water, Elastomer-<br>ic tongue scraper |
| Kobayashi<br>2017v   | Multi-component OHC intervention<br>(mouthwash)                  | — | Manual (Dent<br>Ex) | — | —  | Mouthwash<br>(contained<br>cetylpyri-<br>dinium chlo-<br>ride) | Tongue brush  |
|                      | Multi-component OHC intervention<br>(water alone)                | — | Manual (Dent<br>Ex) | — | —  | —  | Tongue brush,<br>water                                  |
| Kobayashi<br>2017vi  | Multi-component OHC intervention<br>(water and moisturising gel) | — | Manual (Dent<br>Ex) | — | Mouth gel (1 g<br>containing glyc-<br>erine, lactofer-<br>rin and whey<br>protein) | —  | Tongue brush,<br>water, Elastomer-<br>ic tongue scraper |

**Table 3. Summary of intervention components across comparison groups** (Continued)

|             |  |                |  |                            |   |   |   |                                    |
|-------------|--|----------------|--|----------------------------|---|---|---|------------------------------------|
|             | Multi-component OHC intervention (water alone)   | —              | Manual (Dent Ex)                                   | —                          | — | —   | — | Tongue brush, water                |
| Lam 2013i   | Multi-component OHC intervention (oral hygiene instruction, mouthrinse, and assisted brushing) | Staff training | Powered (dentures cleaned with manual tooth-brush) | Standard (sodium fluoride) | — | Chlorhexidine (Cordyl) mouthrinse (0.2%, 10 mL) | — | Assisted brushing (hand-over-hand) |
|             | Multi-component OHC intervention (oral hygiene instruction, mouthrinse)                        | As above       | Powered (dentures cleaned with manual tooth-brush) | Standard (sodium fluoride) | — | Chlorhexidine (Cordyl) mouthrinse (0.2%, 10 mL) | — | —                                  |
| Lam 2013ii  | Multi-component OHC intervention (oral hygiene instruction, mouthrinse, and assisted brushing) | Staff training | Powered (dentures cleaned with manual tooth-brush) | Standard (sodium fluoride) | — | Chlorhexidine (Cordyl) mouthrinse (0.2%, 10 mL) | — | Assisted brushing (hand-over-hand) |
|             | Multi-component OHC intervention (oral hygiene instruction)                                    | As above       | Powered (dentures cleaned with manual tooth-brush) | Standard (sodium fluoride) | — | —   | — | —                                  |
| Lam 2013iii | Multi-component OHC intervention (oral hygiene instruction, mouthrinse)                        | Staff training | Powered (dentures cleaned with manual tooth-brush) | Standard (sodium fluoride) | — | Chlorhexidine (Cordyl) mouthrinse (0.2%, 10 mL) | — | —                                  |
|             | Multi-component OHC intervention (oral hygiene instruction)                                    | As above       | Powered (dentures cleaned with manual tooth-brush) | Standard (sodium fluoride) | — | —   | — | —                                  |

Note: group labels that trialists used are shown in brackets.  
 CPD: continuing professional development; OHC: oral health care; w/v: weight/volume.

**Table 4. Outcome measures within included studies**

| Study ID      | Primary outcomes                      |                | Secondary outcomes   |                                     |  |   | Adverse events | Other  |
|---------------|---------------------------------------|----------------|----------------------|-------------------------------------|--|---|----------------|--|
|               | Dental plaque                         | Denture plaque | Patient satisfaction | Presence of oral disease            | Presence of related infection and oral opportunistic pathogens | Staff oral health knowledge and attitudes   |                |  |
| Ab Malik 2017 | —                                     | —              | —                    | —                                   | —  | Self-administered questionnaire based on theory of planned behaviour. 5 domains: attitude, subjective norm, perceived behaviour control, general intention and knowledge related to providing OHC | —              | —  |
| Ab Malik 2018 | Dental Plaque Index (Silness and Loe) | —              | —                    | —                                   | Oral prevalence of Candida and yeast, <i>S aureus</i> , AGBN   | —   | —              | Presence and type of dental prosthesis; modified BI; MMSE                                  |
| Chipps 2014   | —                                     | —              | —                    | —                                   | Nasal and oral pharyngeal cultures for <i>S aureus</i>         | —   | —              | R-THROAT assessment; Mann Assessment of swallowing abilities; Functional Oral Intake Scale |
| Dai 2017      | Dental Plaque Index (Silness and Loe) | —              | —                    | Gingival Bleeding Index; DMFT Index | Oral prevalence of Candida and yeast, <i>S aureus</i> , AGBN   | —   | —              | —  |
| Fields 2008   | —                                     | —              | —                    | —                                   | VAP diagnosis (see Table 5 for diagnostic criteria)            | —   | —              | Patient worksheets documenting oral care sessions  |



**Table 4. Outcome measures within included studies** (Continued)

|  |                                       |   |  |                                      |  |  |   |  |  |
|--|---------------------------------------|---|--|--------------------------------------|--|--|---|--|--|
| Kobayashi<br>2017ii;                     |                                       |   |  |                                      |  | face, tongue coating index, moisture level of the tongue surface |   |  |  |
| Kobayashi<br>2017iii;                    |                                       |   |  |                                      |  |  |   |  |  |
| Kobayashi<br>2017iv;                     |                                       |   |  |                                      |  |  |   |  |  |
| Kobayashi<br>2017v;                      |                                       |   |  |                                      |  |  |   |  |  |
| Kobayashi<br>2017vi                      |                                       |   |  |                                      |  |  |   |  |  |
| Kuo 2016                                 |                                       | — | —  | —                                    | —  | —  | Knowledge of Oral Care questionnaire, Attitudes towards Oral Care Questionnaire, Family Caregiver Self-efficacy of Oral Care (Self-E) Questionnaire, Behaviour of Oral Care Questionnaire | —  | —  |
| Lam 2013i;<br>Lam 2013ii;<br>Lam 2013iii | Dental Plaque Index (Silness and Loe) | — | Patient satisfaction with interventions and condition of their mouth using a rated scale (1 = totally satisfied to 5 = not at all satisfied) | Gingival Bleeding Index              | Medical chart review at end of trial for development of infectious complications including pneumonia (see Table 5 for diagnostic criteria), oral prevalence of Candida and yeast, <i>S aureus</i> , AGBN | —  | —   | BI, Royal Brisbane Hospital Outcome measure for swallowing, oral functional status questions (ability to brush teeth and insert/remove dentures) | Medical chart review at end of clinical trial for medications taken throughout the study |
| Lee 2011                                 |                                       | — | —  | VAS to evaluate subjective oral dry- | —  | —  | —   | —  | —  |



|             |                                       |                |  |   |   |               |   |   |
|-------------|---------------------------------------|----------------|--|---|---|---------------|---|---|
|             |                                       |                | ness (dry in night/morning, dry in daytime, dry while eating a meal, hard to swallow food, lack of saliva, general discomfort) |   |   |               |   |   |
| Seguin 2014 | —                                     | —              | Tolerance of oral procedure  | — | Rate of VAP (American Thoracic Society 2005 guidelines) (see Table 5 for diagnostic criteria), delay of other VAP occurrence, rate of VAP (early vs late), micro-organisms involved, rates of ventilator-associated tracheo-bronchitis, other nosocomial infections | —             | — | Number of ventilation-free days, length of stay (in ICU, in hospital), mortality (in ICU, at day 90), bacterial colonisation follow-up (oropharyngeal and tracheal swabs) in subgroup of patients |
| SOCLE II    | Dental Plaque Index (Silness and Loe) | Denture plaque | Oral Health Impact Profile   | — | Pneumonia (Mann Chest criteria) (see Table 5 for diagnostic criteria)   | Knowledge and |   |   |

**Table 5. Criteria used to diagnose pneumonia**

| Studies                            | Description of criteria used to diagnose criteria   | Diagnosed by?  |
|------------------------------------|---|--|
| Fields 2008                        | Based on $\geq 1$ finding, such as a new or persistent infiltrate on chest x-ray, an organism isolated on sputum, or pleural fluid or a positive culture from a broncho-alveolar lavage. VAP diagnosis could also be made if 2 further symptoms, (e.g. fever $> 38.3^{\circ}\text{C}$ , leukocytosis (25% increase and value $> 10,000 \text{ mm}^3$ ), leukopenia (25% decrease and value $< 5000 \text{ mm}^3$ ) or purulent secretions are present.  | VAP episodes were tracked by the infection-control nurse   |
| Gosney 2006                        | Clinical signs and symptoms of pneumonia in medical records were accepted as evidence of probably pneumonia. This included comments about changes on x-rays, diagnosis of pneumonia, chest infection or lower respiratory tract infection being recorded in the notes as well as positive sputum culture reports.   | NR (as recorded in case notes)   |
| Juthani-Mehta 2015                 | Pneumonia diagnosis required the presence of a compatible infiltration chest x-ray and $\geq 2$ of the following clinical features within 72 hours of the chest x-ray: fever, pleuritic chest pain, respiratory rate $> 25$ breaths per minute, worsening functional status (i.e. decline in the level of consciousness or activities of daily living), or new or increased cough, sputum production, shortness of breath or chest examination findings.  | Quote: "Two investigators adjudicated all outcomes ... a third blinded investigator resolved disagreement"   |
| Lam 2013i; Lam 2013ii; Lam 2013iii | Medical records were reviewed at the end of the clinical trial for development of infectious complications including pneumonia.   | NR (as recorded in case notes)   |
| Seguin 2014                        | VAP defined as new and persistent pulmonary infiltrates on chest x-ray, occurring after 48 hours of mechanical ventilation, combined with $\geq 2$ of the following criteria: purulent tracheal secretions or body core temperature $> 38^{\circ}\text{C}$ or leukocytosis $> 10,000 \text{ mm}^3$ (or a combination of these) and microbiological confirmation with quantitative culture from bronchoalveolar lavage or endotracheal aspirate, growing $\geq 10^4 \text{ cfu/mL}$ or $10^6 \text{ cfu/mL}$ " (American Thoracic Society 2005). | Quote: "An independent diagnosis validation committee composed of three experienced physicians in intensive care, pneumology and microbiology, blindly classified each patient as positive or negative." |
| SOCLE II                           | Mann criteria: chest infection was diagnosed by the attending clinician and based on the presence of $\geq 3$ of the following variables: fever ( $> 38^{\circ}\text{C}$ ), productive cough with purulent sputum, abnormal respiratory examination (tachypnea ( $> 22/\text{minute}$ ), tachycardia, inspiratory crackles, bronchial breathing), abnormal chest x-ray, arterial hypoxaemia ( $\text{PO}_2 < 70 \text{ mmHg}$ ), and isolation of a relevant pathogen (positive Gram's stain and culture) (Mann 1999).                          | Attending physician  |

cfu: colony-forming unit; NR: not reported; VAP: ventilator-associated pneumonia.

**Table 6. Details of dropouts**

| Study ID      | Dropouts  | Reasons  | Follow-up  | Reasons |
|---------------|---|--|--|---------|
| Ab Malik 2017 | OHC training (Internet-based CPD programme): 82<br><br>General stroke care training (Internet-based CPD programme not specific to oral hygiene): 92 | Mostly loss to follow-up was because nurses were transferred to other wards or hospitals | Unclear. Dropouts were only reported in the CONSORT as 'loss to follow-up,' so we could not determine when (i.e. at 1- or 6-month follow-up) | NR      |

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withdrew from  
study and death

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Usual care: 0

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1 nursing home;  
102 participants

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**Table 6. Details of dropouts** (Continued)

|             | Placebo: 2   | Reported that all participants were allocated and received intervention; 2 dropouts at day 7 (no reason given)                          | No dropouts at follow-up | NA |
|-------------|--|---|--------------------------|----|
| Seguin 2014 | Oropharyngeal care with povidone-iodine: 18        | 6 withdrew consent, 12 discontinued intervention (8 adverse event, 3 patient or family decision, 1 other)                               | NA                       | NA |
|             | Oropharyngeal care with placebo: 14                | 6 withdrew consent, 8 discontinued intervention (6 adverse events, 2 patient or family decision)  | NA                       | NA |
| SOCLE II    | Multi-component OHC intervention (enhanced OHC): 0 | Study authors reported no patient withdrawals or dropouts in the usual sense as there was no formal follow-up beyond the ward admission | NA                       | NA |
|             | Usual care: 0                                      |   | NA                       | NA |

Note: group labels that trialists reported in their original publication(s) are shown in brackets.  
NA: not applicable; NR: not reported; OHC: oral health care.

## APPENDICES

### Appendix 1. Cochrane Oral Health Register search strategy

1 "cerebrovascular disorder\*" AND INREGISTER  
2 (stroke\* OR cva\* OR cerebrovasc\* OR "cerebral vascular\*" OR poststroke or post-stroke):ti,ab AND INREGISTER  
3 ((Cerebral OR cerebellar OR brain\* OR vertebrobasilar) AND (infarct\* OR ischaemi\* OR ischemi\* OR thrombo\* OR emboli\* OR apople\*)):ti,ab AND INREGISTER  
4 ((cerebral OR intracerebral OR intracranial OR brain\* OR subarachnoid) AND (haemorrhag\* OR hemorrhag\* OR bleed\*)):ti,ab AND INREGISTER  
5 (hemiplegi\* OR "brain injur\*" OR aphasi\* OR dysphasi\* OR dysphag\* OR dysarthri\* OR aprax\* OR dysprax\* OR "deglutition disorder\*" OR hemipleg\* OR hemipar\*):ti,ab AND INREGISTER  
6 (swallow\* AND (impair\* OR disorder\* OR problem\* OR difficult\*)):ti,ab AND INREGISTER  
7 ("unilateral neglect\*" OR "neglect syndrome\*" OR "visual neglect" OR hemianop\*):ti,ab AND INREGISTER  
8 #1 or #2 or #3 or #4 or #5 or #6 or #7

### Appendix 2. CENTRAL search strategy

#1 MeSH descriptor: [Stomatognathic Diseases] explode all trees  
#2 MeSH descriptor: [Dentistry] explode all trees  
#3 MeSH descriptor: [Oral Health] this term only  
#4 MeSH descriptor: [Oral Hygiene] explode all trees  
#5 MeSH descriptor: [Dental Auxiliaries] explode all trees  
#6 MeSH descriptor: [Mouth] explode all trees  
#7 MeSH descriptor: [Halitosis] this term only  
#8 MeSH descriptor: [Facial Pain] this term only  
#9 ((dental or oral or periodontal) and disease\*):ti,ab,kw (Word variations have been searched)  
#10 ((dental or tooth or teeth) and (caries or decay\*)):ti,ab,kw (Word variations have been searched)  
#11 gingivitis:ti,ab,kw (Word variations have been searched)  
#12 xerostomia or "dry mouth":ti,ab,kw (Word variations have been searched)  
#13 (oral and (stomatitis or candidiasis)):ti,ab,kw (Word variations have been searched)  
#14 ((mouth near/6 ulcer\*) or (mouth near/6 aphthous) or (mouth near/6 aphthae) or (oral near/6 ulcer\*) or (oral near/6 aphthous) or (oral near/6 aphthae)):ti,ab,kw (Word variations have been searched)  
#15 ((mouth or dental or oral) and hygiene):ti,ab,kw (Word variations have been searched)

#16 ((mouth near/4 odor) or (mouth near/4 odour)):ti,ab,kw (Word variations have been searched)  
 #17 halitosis:ti,ab,kw (Word variations have been searched)  
 #18 (dentist\* or "dental nurse\*" or dental therapist\* or "dental hygienist\*"):ti,ab,kw (Word variations have been searched)  
 #19 "dental health educat\*":ti,ab,kw (Word variations have been searched)  
 #20 (dental and (disabled or handicap\*)):ti,ab,kw (Word variations have been searched)  
 #21 ((dental near/3 scaling) or (oral near/3 scaling) or (teeth near/3 scaling) or (dental near/3 prophylaxis) or (oral near/3 prophylaxis) or (teeth near/3 prophylaxis)):ti,ab,kw (Word variations have been searched)  
 #22 (mouth and ulcer\*):ti,ab,kw (Word variations have been searched)  
 #23 (mouthwash\* or mouthrinse\*):ti,ab,kw (Word variations have been searched)  
 #24 (dental and (treatment\* or care\*)):ti,ab,kw (Word variations have been searched)  
 #25 toothbrush\*:ti,ab,kw (Word variations have been searched)  
 #26 ((plaque next index) or (plaque next indices) or ("oral hygiene" next index) or ("oral hygiene" next indices) or (periodontal next index) or (periodontal next indices) or (DMF next index) or (DMF next indices)):ti,ab,kw (Word variations have been searched)  
 #27 {or #1-#26}  
 #28 MeSH descriptor: [Cerebrovascular Disorders] explode all trees  
 #29 (stroke\* or cva\* or cerebrovasc\* or "cerebral vascular\*" or poststroke or post-stroke):ti,ab,kw (Word variations have been searched)  
 #30 (cerebral or cerebellar or brain\* or vertebrobasilar):ti,ab,kw (Word variations have been searched)  
 #31 (infarct\* or ischemi\* or ischaemi\* or thrombo\* or emboli\* or apople\*):ti,ab,kw (Word variations have been searched)  
 #32 (#30 and #31)  
 #33 (cerebral or intracerebral or intracranial or brain\* or subarachnoid):ti,ab,kw (Word variations have been searched)  
 #34 (haemorrhage or hemorrhage or bleed\*):ti,ab,kw (Word variations have been searched)  
 #35 (#33 and #34)  
 #36 MeSH descriptor: [Hemiplegia] this term only  
 #37 MeSH descriptor: [Brain Injuries] this term only  
 #38 MeSH descriptor: [Aphasia] explode all trees  
 #39 MeSH descriptor: [Dysarthria] this term only  
 #40 MeSH descriptor: [Apraxias] this term only  
 #41 MeSH descriptor: [Deglutition Disorders] this term only  
 #42 (hemipleg\* or hemipar\*):ti,ab,kw (Word variations have been searched)  
 #43 (aphasi\* or dysphasi\* or dysarthri\* or dysphag\* or aprax\* or dysprax\*):ti,ab,kw (Word variations have been searched)  
 #44 (swallow\* and (impair\* or disorder\* or problem\* or difficult\*)):ti,ab,kw (Word variations have been searched)  
 #45 ("unilateral neglect" or "neglect syndrome\*" or "visual neglect" or hemianop\*):ti,ab,kw (Word variations have been searched)  
 #46 (#28 or #29 or #32 or #35 or #36 or #37 or #38 or #39 or #40 or #41 or #42 or #43 or #44 or #45)  
 #47 (#27 and #46)

### Appendix 3. MEDLINE search strategy

#### MEDLINE via OVID search strategy

1. exp Stomatognathic diseases/
2. exp Dentistry/
3. oral health/
4. exp oral hygiene/
5. exp Dental Auxiliaries/
6. halitosis/
7. exp Mouth/ph [Physiology]
8. exp digestive system/ph
9. Facial Pain/
10. ((dental or oral or periodontal) and disease\$).tw.
11. ((dental or tooth or teeth) and (caries or decay\$)).tw.
12. gingivitis.tw.
13. (xerostomia or "dry mouth").tw.
14. (oral and (stomatitis or candidiasis)).tw.
15. ((mouth or oral) adj6 (ulcer\$ or apthous or apthae)).tw.
16. ((mouth or dental or oral) and hygiene).tw.
17. (mouth adj4 (odor or odour)).tw.
18. halitosis.tw.
19. (dentist\$ or "dental nurse\$" or "dental therapist\$" or "dental hygienist\$").tw.
20. "dental health educat\$".tw.
21. (dental and (disabled or handicap\$)).tw.
22. ((dental or oral or teeth) adj3 (scaling or prophylaxis)).tw.
23. (mouth and ulcer\$).tw.
24. (mouthwash\$ or mouthrinse\$).tw.

25. (dental and (treatment\$ or care\$)).tw.
26. toothbrush\$.tw.
27. ((plaque or "oral hygiene" or periodontal or DMF) adj (index or indices)).tw.
28. or/1-27
29. exp cerebrovascular disorders/
30. (stroke\$ or cva\$ or cerebrovasc\$ or "cerebral vascular\$" or poststroke or post-stroke).tw.
31. (cerebral or cerebellar or brain\$ or vertebrobasilar).tw.
32. (infarct\$ or isch?emi\$ or thrombo\$ or emboli\$ or apople\$).tw.
33. 31 and 32
34. (cerebral or intracerebral or intracranial or brain\$ or subarachnoid).tw.
35. (haemorrhage or hemorrhage or bleed\$).tw.
36. 34 and 35
37. Brain Injuries/
38. hemiplegia/
39. exp aphasia/ or dysarthria/ or apraxia/ or deglutition disorders/
40. (hemipleg\$ or hemipar\$).tw.
41. (aphasi\$ or dysphasi\$ or dysarthri\$ or dysphag\$ or aprax\$ or dysprax\$).tw.
42. (swallow\$ and (impair\$ or disorder\$ or problem\$ or difficult\$)).tw.
43. ("unilateral neglect" or "neglect syndrome\$" or "visual neglect\$" or hemianop\$).tw.
44. 29 or 30 or 33 or (or/36-43)
45. 28 and 44

#### Cochrane Search filter for MEDLINE via OVID

Cochrane Highly Sensitive Search Strategy (CHSSS) for identifying randomised trials in MEDLINE: sensitivity maximising version (2009 revision) as referenced in Chapter 6.4.11.1 and detailed in box 6.4.c of the *Cochrane Handbook for Systematic Reviews of Interventions* (Lefebvre 2011).

1. randomized controlled trial.pt.
2. controlled clinical trial.pt.
3. randomized.ab.
4. placebo.ab.
5. drug therapy.fs.
6. randomly.ab.
7. trial.ab.
8. groups.ab.
9. or/1-8
10. exp animals/ not humans.sh.
11. 9 not 10

#### Appendix 4. Embase Ovid search strategy

1. exp mouth disease/
2. exp dentistry/
3. oral health/
4. exp mouth hygiene/
5. dental assistant/
6. exp mouth/
7. digestive system/
8. face pain/
9. ((dental or oral or periodontal) and disease\$).tw.
10. ((dental or tooth or teeth) and (caries or decay\$)).tw.
11. gingivitis.tw.
12. (xerostomia or "dry mouth").tw.
13. (oral and (stomatitis or candidiasis)).tw.
14. ((mouth or oral) adj6 (ulcer\$ or aphthous or aphthae)).tw.
15. ((mouth or dental or oral) and hygiene).tw.
16. (mouth adj4 (odor or odour)).tw.
17. halitosis.tw.
18. (dentist\$ or "dental nurse\$" or "dental therapist\$" or "dental hygienist\$").tw.
19. "dental health educat\$".tw.
20. (dental and (disabled or handicap\$)).tw.
21. ((dental or oral or teeth) adj3 (scaling or prophylaxis)).tw.

22. (mouth and ulcer\$).tw.
23. (mouthwash\$ or mouthrinse\$).tw.
24. (dental and (treatment\$ or care\$)).tw.
25. toothbrush\$.tw.
26. ((plaque or "oral hygiene" or periodontal or DMF) adj (index or indices)).tw.
27. or/1-26
28. exp cerebrovascular disease/
29. (stroke\$ or cva\$ or cerebrovasc\$ or "cerebral vascular\$" or poststroke or post-stroke).tw.
30. (cerebral or cerebellar or brain\$ or vertebrobasilar).tw.
31. (infarct\$ or isch?emi\$ or thrombo\$ or emboli\$ or apople\$).tw.
32. 30 and 31
33. (cerebral or intracerebral or intracranial or brain\$ or subarachnoid).tw.
34. (haemorrhage or hemorrhage or bleed\$).tw.
35. 33 and 34
36. brain injury/
37. hemiplegia/
38. exp aphasia/
39. dysarthria/
40. apraxia/ or "apraxia of speech"/
41. dysphagia/
42. (hemipleg\$ or hemipar\$).tw.
43. (aphasi\$ or dysphasi\$ or dysarthri\$ or dysphag\$ or aprax\$ or dysprax\$).tw.
44. (swallow\$ and (impair\$ or disorder\$ or problem\$ or difficult\$)).tw.
45. ("unilateral neglect" or "neglect syndrome\$" or "visual neglect\$" or hemianop\$).tw.
46. 28 or 29 or 32 or (or/35-45)
47. Randomized Controlled Trial/ or "randomized controlled trial (topic)"/
48. Randomization/
49. Controlled clinical trial/ or "controlled clinical trial (topic)"/
50. control group/ or controlled study/
51. clinical trial/ or "clinical trial (topic)"/ or phase 1 clinical trial/ or phase 2 clinical trial/ or phase 3 clinical trial/ or phase 4 clinical trial/
52. Crossover Procedure/
53. Double Blind Procedure/
54. Single Blind Procedure/ or triple blind procedure/
55. placebo/ or placebo effect/
56. (random\$ or RCT or RCTs).tw.
57. (controlled adj5 (trial\$ or stud\$)).tw.
58. (clinical\$ adj5 trial\$).tw.
59. ((control or treatment or experiment\$ or intervention) adj5 (group\$ or subject\$ or patient\$)).tw.
60. (quasi-random\$ or quasi random\$ or pseudo-random\$ or pseudo random\$).tw.
61. ((control or experiment\$ or conservative) adj5 (treatment or therapy or procedure or manage\$)).tw.
62. ((singl\$ or doubl\$ or tripl\$ or trebl\$) adj5 (blind\$ or mask\$)).tw.
63. (cross-over or cross over or crossover).tw.
64. (placebo\$ or sham).tw.
65. trial.ti.
66. (assign\$ or allocat\$).tw.
67. controls.tw.
68. or/47-67
69. 27 and 46 and 68

## Appendix 5. CINAHL search strategy

1. exp stomatognathic diseases/
2. exp dentistry/
3. oral health/
4. exp oral hygiene/
5. mouth care/
6. exp dental auxiliaries/
7. halitosis/
8. exp mouth physiology/
9. exp digestive system physiology/
10. dental hygiene assessment/
11. facial pain/



12. ((dental or oral or periodontal) and disease\$.tw
13. ((dental or tooth or teeth) and (caries or decay\$)).tw
14. gingivitis.tw
15. (xerostomia or dry mouth).tw
16. (oral and (stomatitis or candidiasis)).tw
17. ((mouth or oral) adj6 (ulcer\$ or aphthous or aphthae)).tw
18. ((mouth or dental or oral) and hygiene).tw
19. (mouth adj4 (odor or odour)).tw
20. halitosis.tw
21. (dentist\$ or dental nurse\$ or dental therapist\$ or dental hygienist\$).tw
22. dental health educat\$.tw
23. (dental and (disabled or handicap\$)).tw
24. ((dental or oral or teeth) adj3 (scaling or prophylaxis)).tw
25. (mouth and ulcer\$).tw
26. (mouthwash\$ or mouthrinse\$).tw
27. (dental and (treatment\$ or care\$)).tw
28. toothbrush\$.tw
29. ((plaque or oral hygiene or periodontal or DMF) adj (index or indices)).tw
30. or/1-29
31. exp cerebrovascular disorders/
32. (stroke\$ or cva\$ or cerebrovasc\$ or cerebral vascular\$ or poststroke or post-stroke).tw
33. (cerebral or cerebellar or brain\$ or cerebrobasilar).tw
34. (infarct\$ or isch?emi\$ or thrombo\$ or emboli\$ or apople\$).tw
35. 33 and 34
36. (cerebral or intracerebral or intracranial or brain\$ or subarachnoid).tw
37. (haemorrhage or hemorrhage or bleed\$).tw
38. 36 & 37
39. hemiplegia/ or brain injury/
40. exp aphasia/ or dysarthria/ or apraxia/ or deglutition disorders/
41. (hemipleg\$ or hemipar\$).tw
42. (aphasi\$ or dysphasi\$ or dysarthri\$ or dysphag\$ or aprax\$ or dysprax\$).tw
43. (swallow\$ and (impair\$ or disorder\$ or problem\$ or difficult\$)).tw
44. (unilateral neglect or neglect syndrome\$ or visual neglect or hemianop\$).tw
45. 31 or 32 or 35 or (or/38-44)
46. 30 and 45

## Appendix 6. Clinical Trial Register search strategy

- World Health Organization International Clinical Trials Registry Platform ([apps.who.int/trialsearch](https://apps.who.int/trialsearch))  
stroke AND "oral hygiene"
- US National Institutes of Health Ongoing Trials Register ClinicalTrials.gov ([www.clinicaltrials.gov](https://www.clinicaltrials.gov))  
oral hygiene AND Cerebrovascular Disorders [DISEASE]

## Appendix 7. Previous searches from earlier reviews

S1MH "Stomatognathic Diseases+"  
S2MH "Dentistry+"  
S3MH "Oral Health"  
S4MH "Oral Hygiene+"  
S5MH "Mouth care"  
S6MH "Dental Auxiliaries+"  
S7MH "Halitosis"  
S8MH "Mouth physiology+"  
S9MH "Digestive System Physiology+"  
S10MH "Dental Hygiene Assessment"  
S11MH "Facial Pain"  
S12TI (((dental or oral or periodontal) and disease\*)) OR AB ( ((dental or oral or periodontal) and disease\*)) )  
S13TI ( ((dental or tooth or teeth) and (caries or decay\*)) ) OR AB ( ((dental or tooth or teeth) and (caries or decay\*)) )  
S14TI gingivitis OR AB gingivitis  
S15TI (xerostomia or "dry mouth" ) OR AB (xerostomia or "dry mouth" )  
S16TI ((oral and (stomatitis or candidiasis)) ) OR AB ( (oral and (stomatitis or candidiasis)) )  
S17TI ( ((mouth N6 ulcer\*) or (mouth N6 aphthous) or (mouth N6 aphthae)) or ((oral N6 ulcer\*) or (oral N6 aphthous) or (oral N6 aphthae)) )  
OR AB ( ((mouth N6 ulcer\*) or (mouth N6 aphthous) or (mouth N6 aphthae)) or ((oral N6 ulcer\*) or (oral N6 aphthous) or (oral N6 aphthae)) )

S18TI ( ((mouth or dental or oral) and hygiene) ) OR AB ( ((mouth or dental or oral) and hygiene) )  
S19TI ( (mouth N4 odor) or (mouth N4 odour) ) OR AB ( (mouth N4 odor) or (mouth N4 odour) )  
S20TI halitosis OR AB halitosis  
S21TI ( (dentist\* or "dental nurse\*" or "dental therapist\*" or "dental hygienist\*") ) OR AB ( (dentist\* or "dental nurse\*" or "dental therapist\*" or "dental hygienist\*") )  
S22TI ("dental health educat\*") OR AB ("dental health educat\*")  
S23TI ( (dental and (disabled or handicap\*)) ) OR AB ( (dental and (disabled or handicap\*)) )  
S24TI ( ((dental N3 scaling) or (dental N3 prophylaxis)) or ((oral N3 scaling) or (oral N3 prophylaxis)) or ((teeth N3 scaling) or (teeth N3 prophylaxis)) ) OR AB ( ((dental N3 scaling) or (dental N3 prophylaxis)) or ((oral N3 scaling) or (oral N3 prophylaxis)) or ((teeth N3 scaling) or (teeth N3 prophylaxis)) )  
S25TI ( ((mouth and ulcer\*)) or ((oral N3 scaling) or (oral N3 prophylaxis)) or ((teeth N3 scaling) or (teeth N3 prophylaxis)) ) OR AB ( ((mouth and ulcer\*)) or ((oral N3 scaling) or (oral N3 prophylaxis)) or ((teeth N3 scaling) or (teeth N3 prophylaxis)) )  
S26TI ( (mouthwash\* or mouthrinse\*) ) OR AB ( (mouthwash\* or mouthrinse\*) )  
S27TI ( (dental and (treatment\* or care\*)) ) OR AB ( (dental and (treatment\* or care\*)) )  
S28TI toothbrush\* OR AB toothbrush\*  
S29TI ( ((plaque N1 index) or (plaque N1 indices)) or (("oral hygiene" N1 index) or ("oral hygiene" N1 indices)) or ((periodontal N1 index) or (periodontal N1 indices)) or ((DMF N1 index) or (DMF N1 indices)) ) OR AB ( ((plaque N1 index) or (plaque N1 indices)) or (("oral hygiene" N1 index) or ("oral hygiene" N1 indices)) or ((periodontal N1 index) or (periodontal N1 indices)) or ((DMF N1 index) or (DMF N1 indices)) )  
S30S1 or S2 or S3 or S4 or S5 or S6 or S7 or S8 or S9 or S10 or S11 or S12 or S13 or S14 or S15 or S16 or S17 or S18 or S19 or S20 or S21 or S22 or S23 or S24 or S25 or S26 or S27 or S28 or S29  
S31MH "Cerebrovascular disorders+"  
S32TI ( (stroke\* or cva\* or cerebrovasc\* or "cerebral vascular\*" or poststroke or post-stroke) ) OR AB ( (stroke\* or cva\* or cerebrovasc\* or "cerebral vascular\*" or poststroke or post-stroke) )  
S33TI ( (cerebral or cerebellar or brain\* or vertebrobasilar) ) OR AB ( (cerebral or cerebellar or brain\* or vertebrobasilar) )  
S34TI ( (infarct\* or isch?emi\* or thrombo\* or emboli\* or apople\*) ) OR AB ( (infarct\* or isch?emi\* or thrombo\* or emboli\* or apople\*) )  
S35 S33 AND S34  
S36TI ( (cerebral or intracerebral or intracranial or brain\* or subarachnoid) ) OR AB ( (cerebral or intracerebral or intracranial or brain\* or subarachnoid) )  
S37TI ( (haemorrhage or hemorrhage or bleed\*) ) OR AB ( (haemorrhage or hemorrhage or bleed\*) )  
S38 S36 and S37  
S39MH "Hemiplegia" or MH "Brain Injury"  
S40MH "Aphasia+" or MH "dysarthria" or MH "Apraxia" or MH "Deglutition Disorders"  
S41 TI ( hemipleg\* or hemipar\* ) OR AB ( hemipleg\* or hemipar\* )  
S42 TI ( (aphasi\* or dysphasi\* or dysarthri\* or dysphag\* or aprax\* or dysprax\*) ) OR AB ( (aphasi\* or dysphasi\* or dysarthri\* or dysphag\* or aprax\* or dysprax\*) )  
S43 TI ( (swallow\* and (impair\* or disorder\* or problem\* or difficult\*)) ) OR AB ( (swallow\* and (impair\* or disorder\* or problem\* or difficult\*)) )  
Interface - EBSCOhost Research Databases  
S44 TI ( ("unilateral neglect" or "neglect syndrome\*" or "visual neglect" or hemianop\*) ) OR AB ( ("unilateral neglect" or "neglect syndrome\*" or "visual neglect" or hemianop\*) )  
S45 S31 or S32 or S35 or S38 or S39 or S40 or S41 or S42 or S43 or S44

#### The above subject search was linked to the following filter for CINAHL via EBSCO

S1 MH Random Assignment or MH Single-blind Studies or MH Double-blind Studies or MH Triple-blind Studies or MH Crossover design or MH Factorial Design  
S2 TI ("multicentre study" or "multicenter study" or "multi-centre study" or "multi-center study") or AB ("multicentre study" or "multicenter study" or "multi-centre study" or "multi-center study") or SU ("multicentre study" or "multicenter study" or "multi-centre study" or "multi-center study")  
S3 TI random\* or AB random\*  
S4 AB "latin square" or TI "latin square"  
S5 TI (crossover or cross-over) or AB (crossover or cross-over) or SU (crossover or cross-over)  
S6 MH Placebos  
S7 AB (singl\* or doubl\* or trebl\* or tripl\*) or TI (singl\* or doubl\* or trebl\* or tripl\*)  
S8 TI blind\* or AB mask\* or AB blind\* or TI mask\*  
S9 S7 and S8  
S10 TI Placebo\* or AB Placebo\* or SU Placebo\*  
S11 MH Clinical Trials  
S12 TI (Clinical AND Trial) or AB (Clinical AND Trial) or SU (Clinical AND Trial)  
S13 S1 or S2 or S3 or S4 or S5 or S6 or S9 or S10 or S11 or S12

Prepared by: Anne Littlewood, Cochrane Information Specialist, Cochrane Oral Health Group

## Appendix 8. Additional searches

In an effort to identify further published, unpublished and ongoing studies we searched IWeb of Science Conference Proceedings Citation Index-Science (last searched 25 February 2019), Zetoc (last searched 25 February 2019) and Proquest Dissertations and Theses (last searched 25 February 2019) using the following key terms: stroke AND (oral hygiene OR oral health).

### WHAT'S NEW

| Date             | Event  | Description   |
|------------------|--|---|
| 18 February 2019 | New citation required but conclusions have not changed | Conclusions not changed. Changes to authorship.   |
| 18 February 2019 | New search has been performed                          | <p>The review was updated using a revised and updated search strategy (including more databases) completed in February 2019. The review now includes 15 studies (22 randomised paired comparisons), 3631 participants of whom 1546 participants were stroke survivors); the previous review only included three studies.</p> <p>We extracted more information on the interventions used in each of the trials using the TIDIER checklist and provided this additional information in the 'Characteristics of included studies' table.</p> <p>We presented three new 'Summary of findings' tables.</p> |

### HISTORY

Protocol first published: Issue 4, 2002

Review first published: Issue 4, 2006

| Date            | Event                         | Description   |
|-----------------|-------------------------------|---|
| 7 June 2011     | Amended                       | Page number added to Frenkel reference and risk of bias terminology updated but no change to overall assessments  |
| 26 October 2010 | New search has been performed | We updated the searches to May 2010. We have included two new studies, bringing the total of included studies to three, involving 470 participants. The conclusions of the review have not changed. |
| 2 October 2008  | Amended                       | Converted to new review format.   |

### CONTRIBUTIONS OF AUTHORS

PC conducted the searches for 2019 update, screened retrieved references for inclusion or exclusion, extracted the data from included trials, evaluated methodological quality, entered data, conducted data analysis and drafted the review.

BB screened retrieved references for inclusion or exclusion, extracted data from included trials and commented on review drafts.

DF conducted the earlier searches, screened retrieved references for inclusion or exclusion, extracted the data from included trials, evaluated methodological quality, contacted trial authors, entered data, conducted data analysis and drafted the review.

MB updated the search, screened retrieved references for inclusion or exclusion, extracted the data from included trials, evaluated methodological quality, entered data, conducted data analysis and drafted the review.

## DECLARATIONS OF INTEREST

PC: none.

BB: has been involved in one trial included in this review (see [SOCLE II](#)), but was not involved in the assessment or interpretation of this trial.

DF: none.

MB: has been involved in one trial included in this review (see [SOCLE II](#)), but was not involved in the assessment or interpretation of this trial.

## SOURCES OF SUPPORT

### Internal sources

- Nursing, Midwifery and Allied Health Professions Research Unit, UK

### External sources

- Chief Scientist Office, Scottish Government Health Directorate, UK

## DIFFERENCES BETWEEN PROTOCOL AND REVIEW

### 2006 review

- It was necessary to reduce the number of primary outcomes identified within the protocol to two because of the Cochrane Stroke Group guidelines. We originally listed gingivitis as a primary outcome, but on reflection it was more appropriate to include it as an oral disease outcome, together with denture-induced stomatitis and periodontal disease. We acknowledge that making this post-hoc change following publication of the protocol may lead to bias.

### 2019 review update

- The review team decided to amend the title of the review from 'Staff-led interventions for improving oral hygiene in patients following stroke' to 'Interventions for improving oral health in people after stroke'. The decision was made to improve the accessibility of the review by employing more relevant international terms.
- We have included trials with secondary outcomes that present data for knowledge and attitudes for stroke survivors and providers, not only on staff oral health knowledge and attitudes. Increasing fiscal constraints in health care means that many family members and informal carers are now assuming responsibility for supporting people with their oral health. Furthermore, recent Royal College of Physicians guidelines specifically state that "People with stroke and their family/carers should receive information and training in mouth care and maintaining good oral hygiene before transfer of their care from hospital" ([RCP 2016](#)).

## INDEX TERMS

### Medical Subject Headings (MeSH)

Attitude to Health; \*Caregivers; Dental Plaque [diagnosis]; Gingivitis [epidemiology]; \*Health Education, Dental; Health Knowledge, Attitudes, Practice; Nursing Homes; Oral Hygiene [\*methods]; Pneumonia [epidemiology]; Randomized Controlled Trials as Topic; Stomatitis, Denture [epidemiology]; Stroke [\*nursing]

### MeSH check words

Humans