

# RESEARCH

# Validation of risk stratification schemes for predicting stroke and thromboembolism in patients with atrial fibrillation: nationwide cohort study

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## **ABSTRACT**

Objectives To evaluate the individual risk factors composing the CHADS<sub>2</sub> (Congestive heart failure, Hypertension, Age≥75 years, Diabetes, previous Stroke) score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc (CHA<sub>2</sub>DS<sub>2</sub>-Vascular disease, Age 65-74 years, Sex category) score and to calculate the capability of the schemes to predict thromboembolism. Design Registry based cohort study.

**Setting** Nationwide data on patients admitted to hospital with atrial fibrillation.

**Population** All patients with atrial fibrillation not treated with vitamin K antagonists in Denmark in the period 1997-

Main outcome measures Stroke and thromboembolism. Results Of 121 280 patients with non-valvular atrial fibrillation, 73 538 (60.6%) fulfilled the study inclusion criteria. In patients at "low risk" (score=0), the rate of thromboembolism per 100 person years was 1.67 (95% confidence interval 1.47 to 1.89) with CHADS2 and 0.78 (0.58 to 1.04) with CHA<sub>2</sub>DS<sub>2</sub>-VASc at one year's follow-up. In patients at "intermediate risk" (score=1), this rate was 4.75 (4.45 to 5.07) with CHADS<sub>2</sub> and 2.01 (1.70 to 2.36) with CHA<sub>2</sub>DS<sub>2</sub>-VASc. The rate of thromboembolism depended on the individual risk factors composing the scores, and both schemes underestimated the risk associated with previous thromboembolic events. When patients were categorised into low, intermediate, and high risk groups, C statistics at 10 years' follow-up were 0.812 (0.796 to 0.827) with CHADS2 and 0.888 (0.875 to 0.900) with CHA<sub>2</sub>DS<sub>2</sub>-VASc.

Conclusions The risk associated with a specific risk stratification score depended on the risk factors composing the score. CHA<sub>2</sub>DS<sub>2</sub>-VASc performed better than CHADS<sub>2</sub> in predicting patients at high risk, and those categorised as low risk by CHA<sub>2</sub>DS<sub>2</sub>-VASc were truly at low risk for thromboembolism.

# INTRODUCTION

Patients with atrial fibrillation have a substantial risk of stroke, which is modified by the presence or absence of several risk factors.<sup>12</sup> These risk factors have been used to develop thromboembolic risk stratification schemes, which have somewhat arbitrarily divided the risk of thromboembolism into low, intermediate, and high risk strata.<sup>3</sup> Given the limitations of oral anticoagulation treatment with vitamin K antagonists, such risk stratification allows clinicians to target patients at "high risk" for treatment with vitamin K antagonists. For the intermediate risk category, guidelines recommend treatment with vitamin K antagonists or aspirin, and aspirin is recommended for the low risk category.

Schemes for stratifying the risk of stroke have been largely derived from non-anticoagulated arms of clinical trial cohorts, in which many potential thromboembolic risk factors were not recorded. In these historical trials, less than 10% of patients screened were randomised, and over the past 15-20 years the evolution of risk schemes has not improved their predictive value for patients at high risk.4 More recent data in patients at intermediate risk show that vitamin K antagonists are superior to aspirin in reducing the risk of thromboembolism and adverse events,5-7 and aspirin does not reduce the risk of thromboembolism in atrial fibrillation patients at "low risk". 8 Thus, a paradigm shift has been proposed whereby greater efforts are made to identify "truly low risk" patients who may not need any antithrombotic treatment, whereas all others could be considered for oral anticoagulation.8-10

The most commonly used scheme for stratifying the risk of stroke is the CHADS<sub>2</sub> (Congestive heart failure, Hypertension, Age≥75 years, Diabetes mellitus, previous Stroke/transient ischaemic attack (doubled risk weight)) score. <sup>11</sup> Various limitations of this score have been discussed, including classification of a large proportion of patients as being at "intermediate risk" and its omission of many potential thromboembolic risk factors. <sup>10</sup> The 2006 ACC/AHA/ESC guideline listed these potential additional risk factors as being "less validated or weaker risk factors," including female sex, age 65-74 years, coronary artery disease, and

Table 1 | Baseline characteristics of patients. Values are numbers (percentages)

Characteristics	Patients discharged with non-valvular AF (n=121 280)	Patients who survived 7 days (n=118 243)	Patients who did not receive VKA or heparin (study population) (n=73 538)
Heart failure	22 759 (18.8)	22 082 (18.7)	13 126 (17.9)
Hypertension	48 171 (39.7)	47 224 (39.9)	25 060 (34.1)
Age ≥75 years	65 512 (54.0)	63 292 (53.5)	43 864 (59.7)
Age 65-74 years	29 367 (24.2)	28 817 (24.4)	14 544 (19.8)
Diabetes mellitus	11 072 (9.1)	10 754 (9.1)	6 496 (8.8)
Previous thromboembolism*	23 528 (19.4)	22 291 (18.9)	13 368 (18.2)
Vascular disease	20 305 (16.7)	19 568 (16.6)	12 873 (17.5)
Female sex	56 490 (46.6)	54 869 (46.4)	37 651 (51.2)
CHADS <sub>2</sub> score:			
0	26 139 (21.6)	25 863 (21.9)	16 406 (22.3)
1	38 024 (31.4)	37 225 (31.5)	23 730 (32.3)
2	28 249 (23.3)	27 540 (23.3)	16 393 (22.3)
3	18 198 (15.0)	17 477 (14.8)	10 846 (14.8)
4	8 178 (6.7)	7 760 (6.6)	4 745 (6.5)
5	2 210 (1.8)	2 110 (1.8)	1 260 (1.7)
6	282 (0.2)	268 (0.2)	158 (0.2)
CHA <sub>2</sub> DS <sub>2</sub> -VASc score:			
0	10 125 (8.4)	10 065 (8.5)	6 369 (8.7)
1	14 526 (12.0)	14 376 (12.2)	8 203 (11.2)
2	22 115 (18.2)	21 726 (18.4)	12 771 (17.4)
3	27 834 (23.0)	27 152 (23.0)	17 371 (23.6)
4	22 676 (18.7)	21 995 (18.6)	13 887 (18.9)
5	14 213 (11.7)	13 639 (11.5)	8 942 (12.2)
6	6 927 (5.7)	6 586 (5.6)	4 244 (5.8)
7	2 327 (1.9)	2 194 (1.9)	1 420 (1.9)
8	467 (0.4)	443 (0.4)	285 (0.4)
9	70 (0.1)	67 (0.1)	46 (0.1)
Drugs:			
α adrenergic blocker	1 729 (1.4)	1 681 (1.4)	1 005 (1.4)
Non-loop diuretic	37 292 (30.8)	36 319 (30.7)	21 695 (29.5)
Vasodilator	3 769 (3.1)	3 659 (3.1)	2 329 (3.2)
β blocker	50 370 (41.5)	49 611 (42.0)	26 160 (35.6)
Calcium channel blocker	35 235 (29.1)	34 539 (29.2)	18 966 (25.8)
Renin-angiotensin system inhibitor	33 445 (27.6)	32 731 (27.7)	16 868 (22.9)
Loop diuretic	47 676 (39.3)	46 340 (39.2)	27 602 (37.5)
Statin	13 629 (11.2)	13 372 (11.3)	6 919 (9.4)
Antiplatelet drug	38 007 (31.3)	37 047 (31.3)	25 503 (34.7)
Digoxin	60 661 (50.0)	59 547 (50.4)	31 418 (42.7)
Amiodarone	3 879 (3.2)	3 825 (3.2)	1 874 (2.6)

AF-atrial fibrillation; VKA-vitamin K antagonist.

\*Includes peripheral artery embolism, transient ischaemic attack, ischaemic stroke, and pulmonary embolism.

thyrotoxicosis. <sup>12</sup> Since 2006, stronger evidence has accumulated that these additional risk factors (with the exception of thyrotoxicosis) should be considered in assessing thromboembolic risk and would be of value in identifying those patients at truly low risk. <sup>10 13</sup> The additional risk factors have been expressed in the CHA<sub>2</sub>DS<sub>2</sub>-VASc (Congestive heart failure, Hypertension, Age $\geq$ 75 years, Diabetes mellitus, previous Stroke/transient ischaemic attack, Vascular disease, Age 65-74 years, Sex category; age $\geq$ 75 years and previous stroke carry doubled risk weight) score, which

has been proposed to complement the CHADS2 score.  $^{13}$  In the original validation study from the Euro-Heart survey, CHA2DS2-VASc generally had a similar C statistic to CHADS2 but was better at identifying the patients at truly low risk and categorised only a small proportion into the intermediate risk category.  $^{13}$  In a further study in a small elderly "real world" cohort with anticoagulated atrial fibrillation, the CHADS2 and CHA2DS2-VASc had similar strength (C statistics) for predicting thromboembolism.  $^{14}$ 

An ideal validation cohort for a thromboembolic risk scheme would be a large real world cohort of patients with atrial fibrillation, without any use of anti-coagulation treatment. In Denmark, the national patient registry allows such an analysis in a large cohort of real world patients, and the first objective of the analysis reported here was to assess the effects of the individual factors of CHADS $_2$  and CHA $_2$ DS $_2$ -VASc on the risk of thromboembolism. Secondly, we evaluated the predictive capability of CHADS $_2$  and CHA $_2$ DS $_2$ -VASc for thromboembolism.

## **METHODS**

# Registry data sources

In Denmark, all citizens have a permanent and personal registration number that enables linkage of the nationwide registries at an individual level. Since 1978, all admissions from Danish hospitals have been registered in the Danish national patient registry with one primary discharge diagnosis and, if appropriate, one or more secondary discharge diagnoses according to ICD-8 (international classification of diseases, 8th revision) up to 1993 and the ICD-10 from 1994 onwards. From 1996, invasive therapeutic procedures (such as surgery and percutaneous interventions) have been coded according to the Nordic Medical Statistics Committees Classification of Surgical Procedures. Since 1995, all prescriptions dispensed from Danish pharmacies have been accurately registered in the Danish registry of medicinal product statistics (prescription registry) according to the international anatomical therapeutic chemical classification system.16 The civil registration system holds information on vital status for all citizens, and the national causes of death registry holds information on primary and contributing causes of death.

## Study population

From the national patient registry, we identified all patients with non-valvular atrial fibrillation or atrial flutter in the period 1997-2006. We defined non-valvular atrial fibrillation by a discharge diagnosis of atrial fibrillation or atrial flutter (diagnosis code I48), no previous diagnoses of mitral or aortic valve disease (394-396, 4240, 4241, I05, I06, I34, I35), and no mitral or aortic valve surgery (surgical procedure codes KFK, KFM, KFP), as done previously. Because drug treatment may be changed or intensified in relation to hospital admission, we started follow-up seven days after discharge. We excluded patients if they died or had a

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Table 2 | Event rate (95% CI) of hospital admission and death due to thromboembolism\* per 100 person years

Score/risk category	1 year's follow-up	5 years' follow-up	10 years' follow-up
CHADS <sub>2</sub> :			
0	1.67 (1.47 to 1.89)	1.28 (1.19 to 1.38)	1.24 (1.16 to 1.33)
1	4.75 (4.45 to 5.07)	3.70 (3.55 to 3.86)	3.56 (3.42 to 3.70)
2	7.34 (6.88 to 7.82)	5.58 (5.35 to 5.83)	5.40 (5.18 to 5.63)
3	15.47 (14.62 to 16.36)	10.29 (9.87 to 10.73)	9.89 (9.50 to 10.31)
4	21.55 (20.03 to 23.18)	14.00 (13.22 to 14.82)	13.70 (12.95 to 14.48)
5	19.71 (16.93 to 22.93)	12.98 (11.52 to 14.63)	12.57 (11.18 to 14.14)
6	22.36 (14.58 to 34.30)	16.75 (11.91 to 23.56)	17.17 (12.33 to 23.92)
CHADS <sub>2</sub> :			
Low risk (0)	1.67 (1.47 to 1.89)	1.28 (1.19 to 1.38)	1.24 (1.16 to 1.33)
Intermediate risk (1)	4.75 (4.45 to 5.07)	3.70 (3.55 to 3.86)	3.56 (3.42 to 3.70)
High risk (2-6)	12.27 (11.84 to 12.71)	8.30 (8.08 to 8.51)	7.97 (7.77 to 8.17)
CHA <sub>2</sub> DS <sub>2</sub> -VASc:			
0	0.78 (0.58 to 1.04)	0.69 (0.59 to 0.81)	0.66 (0.57 to 0.76)
1	2.01 (1.70 to 2.36)	1.51 (1.37 to 1.67)	1.45 (1.32 to 1.58)
2	3.71 (3.36 to 4.09)	3.01 (2.83 to 3.20)	2.92 (2.76 to 3.09)
3	5.92 (5.53 to 6.34)	4.41 (4.21 to 4.61)	4.28 (4.10 to 4.47)
4	9.27 (8.71 to 9.86)	6.69 (6.41 to 6.99)	6.46 (6.20 to 6.74)
5	15.26 (14.35 to 16.24)	10.42 (9.95 to 10.91)	9.97 (9.53 to 10.43)
6	19.74 (18.21 to 21.41)	12.85 (12.07 to 13.69)	12.52 (11.78 to 13.31)
7	21.50 (18.75 to 24.64)	13.92 (12.49 to 15.51)	13.96 (12.57 to 15.51)
8	22.38 (16.29 to 30.76)	14.07 (10.80 to 18.33)	14.10 (10.90 to 18.23)
9	23.64 (10.62 to 52.61)	16.08 (8.04 to 32.15)	15.89 (7.95 to 31.78)
CHA <sub>2</sub> DS <sub>2</sub> -VASc:			
Low risk (0)	0.78 (0.58 to 1.04)	0.69 (0.59 to 0.81)	0.66 (0.57 to 0.76)
Intermediate risk (1)	2.01 (1.70 to 2.36)	1.51 (1.37 to 1.67)	1.45 (1.32 to 1.58)
High risk (2-9)	8.82 (8.55 to 9.09)	6.01 (5.88 to 6.14)	5.72 (5.60 to 5.84)

 $<sup>\</sup>hbox{^*Includes peripheral artery embolism, is chaemic stroke, and pulmonary embolism.}$ 

thromboembolism in this seven day quarantine period. We identified drug treatment status from prescription claims from 180 days before discharge to seven days after discharge, and we excluded patients if they had received vitamin K antagonists (medicine code B01AA) or heparins (B01AB) (fig 1). We censored patients at time of death or at the end of the follow-up periods—that is, at one, five, and 10 years.

# Covariates of CHADS2 and CHA2DS2-VASc

We identified patients with congestive heart failure from the combination of a previous diagnosis of heart failure (425, 4270, 4271, I110, I42, I50, J819) in the national patient registry and treatment with loop diuretics (C03C). We identified patients with hypertension from combination treatment with at least two of the following classes of antihypertensive drugs: α adrenergic blockers (C02A, C02B, C02C), non-loop diuretics (C02DA, C02L, C03A, C03B, C03D, C03E, C03X, C07C, C07D, C08G, C09BA, C09DA, C09XA52), vasodilators (C02DB, C02DD, C02DG, C04, C05), β blockers (C07), calcium channel blockers (C07F, C08, C09BB, C09DB), and renin-angiotensin system inhibitors (C09). This definition of hypertension was validated in a previously described randomly selected

cohort of people from the Danish population aged 16 years and older.<sup>19</sup> Of the 14994 people in this cohort, 2028 reported having taken drugs for hypertension within a two week period before the interview. The positive predictive value of treatment with two classes of antihypertensive drugs to predict hypertension was 80.0%, and the specificity was 94.7%. We defined diabetes mellitus as a claimed prescription for a glucose lowering drug (A10). Information on previous thromboembolism—that is, peripheral artery embolism, stroke, transient ischaemic attack, and pulmonary embolism (433-438, 444, 450, G458, G459, I26, I63, I64, I74)—came from the national patient registry (from 1978), as did information on previous vascular disease—that is, myocardial infarction, peripheral artery disease, and aortic plaque (410, 440, I21, I22, I700, I702-I709), as defined by Lip and colleagues. 13 20-23

The CHADS<sub>2</sub> score was the sum of points obtained after addition of one point each for heart failure, hypertension, age $\geq$ 75, and diabetes and two points for previous thromboembolism. This score thus ranged from 0 to 6.<sup>11</sup> The CHA<sub>2</sub>DS<sub>2</sub>-VASc score was the sum of points after addition of one point each for heart failure, hypertension, diabetes, vascular disease, age 65-74 years, and female sex and two points each for previous thromboembolism and age $\geq$ 75 years. This score thus ranged from 0 to 9.<sup>13</sup> In both risk schemes, we considered a score of 0 to represent low risk, 1 to represent intermediate risk, and  $\geq$ 2 to represent high risk of thromboembolism.

#### Outcomes

The primary study end point was admission to hospital with or death from thromboembolism—that is, peripheral artery embolism, ischaemic stroke, or pulmonary embolism (I26, I63, I64, I74), as defined by Lip and colleagues. We also did a sensitivity analysis confining the primary study end point to peripheral artery

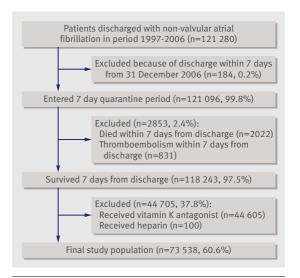


Fig 1 | Flow chart of study population

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Table 3   All cause mortality rate (95% CI) per 100 person years					
Score/risk category	1 year's follow-up	5 years' follow-up	10 years' follow-up		
CHADS <sub>2</sub> :					
0	9.33 (8.85 to 9.84)	5.14 (4.95 to 5.33)	4.70 (4.54 to 4.86)		
1	24.50 (23.82 to 25.20)	16.61 (16.29 to 16.93)	15.93 (15.65 to 16.22)		
2	29.21 (28.30 to 30.14)	21.10 (20.65 to 21.56)	20.57 (20.16 to 20.99)		
3	39.41 (38.08 to 40.78)	28.42 (27.74 to 29.11)	27.90 (27.27 to 28.55)		
4	43.61 (41.50 to 45.84)	32.69 (31.56 to 33.86)	32.30 (31.22 to 33.41)		
5	53.68 (49.10 to 58.70)	38.92 (36.44 to 41.57)	38.90 (36.50 to 41.45)		
6	83.30 (67.17 to 103.29)	53.45 (44.56 to 64.12)	51.47 (43.04 to 61.55)		
CHADS <sub>2</sub> :					
Low risk (0)	9.33 (8.85 to 9.84)	5.14 (4.95 to 5.33)	4.70 (4.54 to 4.86)		
Intermediate risk (1)	24.50 (23.82 to 25.20)	16.61 (16.29 to 16.93)	15.93 (15.65 to 16.22)		
High risk (2-6)	35.47 (34.75 to 36.20)	25.46 (25.11 to 25.83)	24.87 (24.53 to 25.21)		
CHA <sub>2</sub> DS <sub>2</sub> -VASc:					
0	4.85 (4.31 to 5.45)	2.56 (2.36 to 2.78)	2.29 (2.12 to 2.47)		
1	10.32 (9.61 to 11.08)	5.81 (5.52 to 6.10)	5.33 (5.09 to 5.58)		
2	21.17 (20.31 to 22.05)	13.65 (13.27 to 14.04)	12.93 (12.59 to 13.27)		
3	27.06 (26.22 to 27.93)	19.11 (18.71 to 19.52)	18.52 (18.16 to 18.89)		
4	31.29 (30.27 to 32.35)	22.67 (22.17 to 23.20)	22.23 (21.76 to 22.71)		
5	39.45 (37.99 to 40.97)	28.50 (27.75 to 29.27)	28.16 (27.45 to 28.88)		
6	44.96 (42.67 to 47.36)	33.00 (31.79 to 34.26)	32.52 (31.37 to 33.71)		
7	51.12 (46.91 to 55.70)	37.71 (35.43 to 40.14)	37.98 (35.76 to 40.33)		
8	77.74 (65.91 to 91.69)	50.31 (44.07 to 57.43)	48.98 (43.02 to 55.77)		
9	105.51 (72.85 to 152.81)	65.77 (47.00 to 92.05)	62.40 (44.59 to 87.33)		
CHA <sub>2</sub> DS <sub>2</sub> -VASc:					
Low risk (0)	4.85 (4.31 to 5.45)	2.56 (2.36 to 2.78)	2.29 (2.12 to 2.47)		
Intermediate risk (1)	10.32 (9.61 to 11.08)	5.81 (5.52 to 6.10)	5.33 (5.09 to 5.58)		
High risk(2-9)	30.46 (29.97 to 30.96)	21.07 (20.83 to 21.30)	20.32 (20.10 to 20.54)		

embolism and ischaemic stroke (that is, excluding pulmonary embolism). The secondary outcome was death from any cause.

# Statistical analysis

In patients discharged with non-valvular atrial fibrillation who were not receiving treatment with vitamin K antagonists or heparins, we estimated event rates for thromboembolism and death for the various CHADS<sub>2</sub> and CHA<sub>2</sub>DS<sub>2</sub>-VASc scores and for the specific covariate combinations forming the scores of 1 or 2. We estimated the risk of thromboembolism by using Cox proportional hazard regression models. In the Cox models, we analysed the risk associated with all possible risk factor combinations for CHADS<sub>2</sub> score=1 (four combinations) and CHADS<sub>2</sub> score=2 (seven combinations); we used CHADS<sub>2</sub> score=0 as the reference. In the same manner, other Cox models analysed the risk associated with all possible risk factor combinations for CHA2DS2-VASc score=1 (six combinations) and CHA<sub>2</sub>DS<sub>2</sub>-VASc score=2 (17 combinations), with CHA2DS2-VASc score=0 used as the reference. We did all analyses for one, five, and 10 years of follow-up. In additional Cox regression models, we included concomitant treatment with antiplatelet drugs (that is, primary acetylsalicylic acid, clopidogrel, and dipyridamole), to adjust for this potential

confounder. We also did sensitivity analyses by not including pulmonary embolism as an outcome.

We used C statistics estimated from Cox regression models to assess the predictive capability of CHADS<sub>2</sub> and CHA2DS2-VASc for thromboembolism, using the method described by Liu and colleagues.24 C statistics give a measure of how well the risk prediction scheme identifies patients who will have a future event. For estimating C statistics, we analysed CHADS<sub>2</sub> and CHA<sub>2</sub>DS<sub>2</sub>-VASc as risk scores (0-6 and 0-9) and as risk groups (low, intermediate, and high). We also evaluated the scores both as categorical and as continuous covariates. We constructed survival curves, based on Kaplan-Meier estimates of the probability of remaining free of thromboembolism with a score of 0 and 1, for the two risk stratification schemes. We considered a two sided P value < 0.05 to be statistically significant. In all Cox models, the model assumptions (that is, proportional hazards, linearity of continuous covariates, and lack of interactions) were found to be valid. We used SAS statistical software version 9.1 and Stata statistical software version 11.0 for the analyses.

#### **RESULTS**

Of 121 280 patients with non-valvular atrial fibrillation, 73 538 (60.6%) fulfilled the study inclusion criteria (fig 1). Table 1 shows the baseline characteristics for all patients discharged with non-valvular atrial fibrillation and for the study population. During the one, five, and 10 years of follow-up, 9097 (12.4%), 13 966 (19.0%), and 15 344 (20.9%) of the non-anticoagulated patients claimed at least one prescription for a vitamin K antagonist, and exclusion of these patients from the time of starting vitamin K antagonist treatment (censoring) did not alter the results of our analyses (data not shown). Of the 16 406 patients categorised by CHADS<sub>2</sub> as being at low risk, 6472 (39.5%) were at intermediate risk and 3565 (21.7%) were at high risk when categorised by CHA<sub>2</sub>DS<sub>2</sub>-VASc. Of

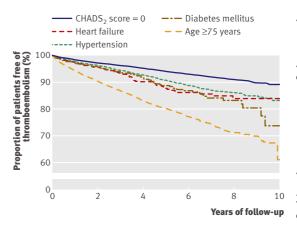


Fig 2 | Kaplan-Meier estimate of probability of remaining free of thromboembolism with CHADS<sub>2</sub> score 0 and 1. Only patients with CHADS<sub>2</sub> scores 0 and 1 were included, and patients were censored at death for causes other than thromboembolism

Table 4 | Event rates (95% CI) for hospital admission and death due to thromboembolism\* per 100 person years by CHADS<sub>2</sub> score and by covariates

Score and covariates	1 year's follow-up	5 years' follow-up	10 years' follow-up
CHADS <sub>2</sub> score=0	1.67 (1.47 to 1.89)	1.28 (1.19 to 1.38)	1.24 (1.16 to 1.33)
CHADS <sub>2</sub> score=1:			
Heart failure	2.80 (1.81 to 4.34)	2.57 (2.00 to 3.30)	2.31 (1.82 to 2.93)
Hypertension	2.42 (2.04 to 2.87)	1.95 (1.77 to 2.16)	1.94 (1.77 to 2.13)
Age≥75	5.97 (5.55 to 6.41)	4.77 (4.55 to 5.00)	4.64 (4.44 to 4.85)
Diabetes mellitus	3.00 (1.97 to 4.55)	2.37 (1.84 to 3.05)	2.42 (1.93 to 3.04)
CHADS <sub>2</sub> score=2:			
Diabetes + heart failure	6.36 (3.31 to 12.23)	6.36 (4.33 to 9.34)	5.96 (4.12 to 8.63)
Diabetes + hypertension	2.81 (1.80 to 4.41)	2.75 (2.14 to 3.53)	2.78 (2.21 to 3.50)
Diabetes + age≥75	7.83 (6.13 to 10.01)	5.66 (4.75 to 6.74)	5.37 (4.52 to 6.36)
Heart failure + hypertension	4.44 (3.23 to 6.10)	3.44 (2.80 to 4.22)	3.28 (2.71 to 3.97)
Heart failure + age≥75	6.63 (5.77 to 7.62)	5.56 (5.06 to 6.10)	5.50 (5.03 to 6.02)
Hypertension + age≥75	6.93 (6.30 to 7.62)	5.65 (5.31 to 6.01)	5.47 (5.15 to 5.80)
Previous thromboembolism	15.46 (13.41 to 17.83)	8.25 (7.40 to 9.20)	7.74 (6.98 to 8.57)

<sup>\*</sup>Includes peripheral artery embolism, ischaemic stroke, and pulmonary embolism.

the  $23\,730$  patients categorised by CHADS<sub>2</sub> as being at intermediate risk,  $21\,999$  (92.7%) were at high risk when categorised by CHA<sub>2</sub>DS<sub>2</sub>-VASc.

Table 2 shows rates of thromboembolism per 100 person years according to CHADS $_2$  and CHA $_2$ DS $_2$ -VASc risk scores at one, five, and 10 years of follow-up. The thromboembolic rates after one year of follow-up in the low risk category (score=0) were 1.67 (95% confidence interval 1.47 to 1.89) for CHADS $_2$  and 0.78 (0.58 to 1.04) for CHA $_2$ DS $_2$ -VASc. In the intermediate risk category (score=1), the rate of thromboembolism per 100 person years was 4.75 (4.45 to 5.07) for CHADS $_2$  and 2.01 (1.70 to 2.36) for CHA $_2$ DS $_2$ -VASc. This risk pattern was generally sustained at five and

10 years of follow-up; patients classified as being at intermediate risk by CHADS $_2$  had a higher rate of thromboembolism (approximately 3.6) than did those classified as being at intermediate risk by CHA $_2$ DS $_2$ -VASc (approximately 1.5). The high risk categories (score $\ge$ 2) as determined by either CHADS $_2$  or CHA $_2$ DS $_2$ -VASc had markedly increased rates of thromboembolism compared with the low or intermediate risk categories.

We also estimated rates of thromboembolism in the vitamin K antagonist treated patients. In all risk categories, except for patients classified with CHA<sub>2</sub>DS<sub>2</sub>-VASc score=0, the thromboembolic rate was lower in the vitamin K antagonist treated patients. In these patients, thromboembolic rates after one year of follow-up in the low risk category were 1.27 (1.06 to 1.53) per 100 person years for CHADS<sub>2</sub> and 0.81 (0.56 to 1.17) for CHA<sub>2</sub>DS<sub>2</sub>-VASc. In the intermediate risk category, the rates were 2.27 (2.02 to 2.56) for CHADS<sub>2</sub> and 1.23 (0.98 to 1.56) for CHA<sub>2</sub>DS<sub>2</sub>-VASc.

Table 3 shows mortality rates according to CHADS $_2$  and CHA $_2$ DS $_2$ -VASc scores. We found a clear relation between increasing CHADS $_2$  and CHA $_2$ DS $_2$ -VASc score and increasing mortality rates. The low risk and intermediate risk categories as determined by CHA $_2$ DS $_2$ -VASc had much lower mortality rates than did patients categorised in these two risk groups by CHADS $_2$ .

Table 4 shows rates of thromboembolism according to the risk factors composing CHADS $_2$  scores 0, 1, and 2; table 5 shows hazard ratios from Cox proportional hazard analysis. The risk associated with CHADS $_2$  score=1 depended on the specific conditions (risk factors) composing the score. The risk factor associated with the highest risk was age $\geq$ 75 (hazard ratio 3.52, 95% confidence interval 3.05 to 4.07, at one year's

Table 5 | Hazard ratios for hospital admission and death due to thromboembolism\* by combinations of covariates composing CHADS<sub>2</sub> scores 1 and 2

	1 year's follow	-up	5 years' follow	-up	10 years' follo	w-up
Score and covariates	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value
CHADS <sub>2</sub> score=0	1.00		1.00		1.00	
CHADS <sub>2</sub> score=1:						
Heart failure	1.67 (1.06 to 2.63)	0.03	1.99 (1.53 to 2.58)	<0.0001	1.84 (1.43 to 2.35)	<0.0001
Hypertension	1.45 (1.17 to 1.79)	0.0006	1.52 (1.34 to 1.73)	<0.0001	1.56 (1.39 to 1.74)	<0.0001
Age≥75	3.52 (3.05 to 4.07)	<0.0001	3.62 (3.31 to 3.96)	<0.0001	3.59 (3.31 to 3.90)	<0.0001
Diabetes mellitus	1.79 (1.16 to 2.77)	0.009	1.84 (1.41 to 2.39)	<0.0001	1.93 (1.53 to 2.45)	<0.0001
CHADS <sub>2</sub> score=2:						
Diabetes + heart failure	3.74 (1.93 to 7.28)	0.0001	4.75 (3.21 to 7.03)	<0.0001	4.52 (3.10 to 6.59)	<0.0001
Diabetes + hypertension	1.67 (1.05 to 2.67)	0.03	2.11 (1.62 to 2.74)	<0.0001	2.17 (1.71 to 2.76)	<0.0001
Diabetes + age≥75	4.57 (3.47 to 6.02)	<0.0001	4.16 (3.44 to 5.04)	<0.0001	3.98 (3.31 to 4.79)	<0.0001
Heart failure + hypertension	2.63 (1.87 to 3.70)	<0.0001	2.61 (2.09 to 3.24)	<0.0001	2.55 (2.08 to 3.12)	<0.0001
Heart failure + age≥75	3.84 (3.19 to 4.64)	<0.0001	4.04 (3.58 to 4.56)	<0.0001	4.04 (3.61 to 4.53)	<0.0001
Hypertension + age≥75	4.08 (3.48 to 4.77)	<0.0001	4.22 (3.83 to 4.66)	<0.0001	4.14 (3.78 to 4.53)	<0.0001
Previous thromboembolism	9.13 (7.55 to 11.04)	<0.0001	6.30 (5.52 to 7.19)	<0.0001	6.05 (5.35 to 6.83)	<0.0001

Results from Cox proportional hazard analyses; CHADS<sub>2</sub> score=0 was reference. \*Includes peripheral artery embolism, ischaemic stroke, and pulmonary embolism

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Table 6 | Event rates (95% CI) for hospital admission and death due to thromboembolism\* per 100 person years by CHA<sub>2</sub>DS<sub>2</sub>-VASc score and by covariates

Score and covariates	1 year's follow-up	5 years' follow-up	10 years' follow-up
CHA <sub>2</sub> DS <sub>2</sub> -VASc score= 0	0.78 (0.58 to 1.04)	0.69 (0.59 to 0.81)	0.66 (0.57 to 0.76)
CHA <sub>2</sub> DS <sub>2</sub> -VASc score=1:			
Heart failure	1.50 (0.37 to 5.98)	2.35 (1.30 to 4.24)	1.78 (0.99 to 3.21)
Hypertension	2.14 (1.46 to 3.15)	1.60 (1.26 to 2.01)	1.49 (1.21 to 1.84)
Diabetes mellitus	3.47 (1.65 to 7.27)	2.28 (1.42 to 3.66)	2.02 (1.29 to 3.16)
Vascular disease	0.75 (0.24 to 2.33)	1.40 (0.91 to 2.15)	1.47 (1.01 to 2.12)
Age 65-74	2.88 (2.29 to 3.62)	2.13 (1.85 to 2.46)	2.09 (1.83 to 2.38)
Female sex	1.24 (0.89 to 1.73)	0.86 (0.70 to 1.06)	0.82 (0.68 to 1.00)
CHA <sub>2</sub> DS <sub>2</sub> -VASc score=2:			
Diabetes + heart failure	4.53 (0.64 to 32.17)	3.52 (1.13 to 10.91)	3.83 (1.44 to 10.21)
Diabetes + hypertension	3.29 (1.37 to 7.91)	1.79 (0.93 to 3.44)	1.94 (1.10 to 3.42)
Diabetes + age 65-74	1.49 (0.48 to 4.61)	1.92 (1.11 to 3.30)	1.98 (1.21 to 3.22)
Diabetes + vascular disease	0	1.06 (0.15 to 7.55)	1.80 (0.45 to 7.19)
Diabetes + female sex	1.11 (0.16 to 7.85)	0.62 (0.16 to 2.49)	1.23 (0.51 to 2.96)
Heart failure + hypertension	4.11 (1.96 to 8.62)	3.19 (1.98 to 5.14)	2.81 (1.79 to 4.41)
Heart failure + age 65-74	1.84 (0.69 to 4.90)	2.49 (1.55 to 4.01)	2.46 (1.59 to 3.82)
Heart failure + vascular disease	3.55 (0.50 to 25.17)	1.91 (0.48 to 7.66)	1.49 (0.37 to 5.97)
Heart failure + female sex	0	0.55 (0.08 to 3.87)	0.87 (0.22 to 3.49)
Hypertension + age 65-74	2.54 (1.74 to 3.70)	2.22 (1.79 to 2.76)	2.30 (1.89 to 2.78)
Hypertension + vascular disease	1.56 (0.70 to 3.48)	1.48 (0.96 to 2.30)	1.52 (1.02 to 2.24)
Hypertension + female sex	1.84 (1.09 to 3.11)	1.48 (1.09 to 2.02)	1.43 (1.08 to 1.89)
Age 65-74 + vascular disease	2.90 (1.72 to 4.89)	2.47 (1.82 to 3.35)	2.54 (1.93 to 3.35)
Age 65-74 + female sex	2.82 (2.21 to 3.60)	2.10 (1.81 to 2.45)	2.06 (1.80 to 2.36)
Vascular disease + female sex	2.87 (0.93 to 8.91)	1.95 (0.93 to 4.08)	2.26 (1.21 to 4.19)
Age≥75	4.75 (4.14 to 5.44)	4.37 (4.02 to 4.75)	4.27 (3.94 to 4.62)
Previous thromboembolism	16.07 (11.64 to 22.18)	7.87 (6.12 to 10.11)	6.98 (5.50 to 8.85)

<sup>\*</sup>Includes peripheral artery embolism, ischaemic stroke, and pulmonary embolism.

follow-up), whereas hypertension was associated with the lowest thromboembolic risk (1.45, 1.17 to 1.79, at one year's follow-up). In patients with CHADS<sub>2</sub> score=2, previous thromboembolism as a single risk factor clearly carried the highest risk. Multivariable analyses including treatment with antiplatelet drugs yielded very similar results (web extra table A). Figure 2 shows thromboembolic-free survival curves for CHADS<sub>2</sub> scores 0 and 1.

Table 6 shows rates of thromboembolism according to risk factors composing CHA<sub>2</sub>DS<sub>2</sub>-VASc scores 0, 1, and 2; table 7 shows relative risks (hazard ratios). Again, the risk associated with a particular CHA<sub>2</sub>DS<sub>2</sub>-VASc score was strongly dependent on the specific conditions (risk factors) composing the score. For patients with CHA2DS2-VASc score=1, diabetes was associated with the highest thromboembolic rate (3.47, 1.65 to 7.27) and age 65-74 had the second highest rate (2.88, 2.29 to 3.62) at one year of follow-up. In patients with CHA<sub>2</sub>DS<sub>2</sub>-VASc score=2, previous thromboembolism as a single risk factor was clearly associated with the highest risk, followed by age≥75. Again, multivariable analyses including treatment with antiplatelet drugs yielded very similar results (web extra table B). Figure 3 shows thromboembolicfree survival curves for CHA2DS2-VASc scores 0 and 1. The thromboembolic rate with CHA<sub>2</sub>DS<sub>2</sub>-VASc score=1 was lower than that with CHADS<sub>2</sub> score=1, so the thromboembolic rate associated with one specific risk factor (for example, diabetes) was lower in the intermediate risk category (score=1) determined by CHA<sub>2</sub>DS<sub>2</sub>-VASc than by CHADS<sub>2</sub>. Likewise, the thromboembolic rate with CHA<sub>2</sub>DS<sub>2</sub>-VASc score=0 was lower than with CHADS<sub>2</sub> score=0; using score=0 as the reference, the hazard ratio with a specific risk factor was higher in the intermediate risk category determined by CHA<sub>2</sub>DS<sub>2</sub>-VASc than by CHADS<sub>2</sub>.

Table 8 shows how accurately CHADS $_2$  and CHA $_2$ DS $_2$ -VASc identified patients who had a thromboembolism during follow-up (C statistics based on Cox regression models); scores were entered in the analysis as categorical or continuous variables. The predictive abilities with CHADS $_2$  and CHA $_2$ DS $_2$ -VASc analysed as scores (0-6 and 0-9) were very similar, whereas the predictive ability of CHA $_2$ DS $_2$ -VASc was clearly superior to CHADS $_2$  for categorisation of patients into risk groups (low, intermediate, and high risk). At one, five, and 10 years of follow-up, C statistics with CHADS $_2$  were 0.722, 0.796, and 0.812; the corresponding C statistics with CHA $_2$ DS $_2$ -VASc were 0.850, 0.880, and 0.888. The 95% confidence intervals for CHADS $_2$  and CHA $_2$ DS $_2$ -VASc did not overlap.

Of the thromboembolic events representing the primary study outcome, pulmonary embolism comprised 7.7%. Sensitivity analyses excluding pulmonary embolism from the study outcome yield results similar to the main findings (web extra tables C and D). The predictive abilities (C statistics) for categorising patients into risk groups at one, five, and 10 years of follow-up were 0.711, 0.789, and 0.806 for CHADS<sub>2</sub> and 0.845, 0.877, and 0.885 for CHA<sub>2</sub>DS<sub>2</sub>-VASc. Again, the 95% confidence intervals did not overlap.

## **DISCUSSION**

This nationwide study used the largest real world cohort of non-anticoagulated patients with non-

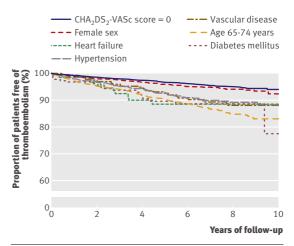


Fig 3 | Kaplan-Meier estimate of probability of remaining free of thromboembolism with CHA<sub>2</sub>DS<sub>2</sub>-VASc score 0 and 1. Only patients with CHA<sub>2</sub>DS<sub>2</sub>-VASc scores 0 and 1 were included, and patients were censored at death for causes other than thromboembolism

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Table 7 | Hazard ratios for hospital admission and death due to thromboembolism\* by combinations of covariates composing CHA<sub>2</sub>DS<sub>2</sub>-VASc scores 1 and 2

	1 year's follow-up		5 years' follow-	5 years' follow-up		10 years' follow-up	
Score and covariates	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
CHA <sub>2</sub> DS <sub>2</sub> -VASc score=0	1.00		1.00		1.00		
CHA <sub>2</sub> DS <sub>2</sub> -VASc score=1:							
Heart failure	1.92 (0.47 to 7.91)	0.37	3.39 (1.84 to 6.26)	<0.0001	2.69 (1.47 to 4.95)	0.001	
Hypertension	2.76 (1.70 to 4.48)	<0.0001	2.32 (1.75 to 3.07)	<0.0001	2.26 (1.75 to 2.92)	<0.0001	
Diabetes mellitus	4.46 (2.01 to 9.89)	0.0002	3.31 (2.00 to 5.46)	<0.0001	3.03 (1.89 to 4.86)	<0.0001	
Vascular disease	0.97 (0.30 to 3.11)	0.96	2.04 (1.29 to 3.22)	0.002	2.22 (1.49 to 3.30)	<0.0001	
Age 65-74	3.68 (2.54 to 5.34)	<0.0001	3.07 (2.48 to 3.80)	<0.0001	3.12 (2.57 to 3.78)	<0.0001	
Female sex	1.60 (1.02 to 2.49)	0.04	1.25 (0.96 to 1.63)	0.10	1.24 (0.98 to 1.57)	0.08	
CHA <sub>2</sub> DS <sub>2</sub> -VASc score=2:							
Diabetes + heart failure	5.80 (0.80 to 42.09)	0.08	5.13 (1.64 to 16.07)	0.005	5.79 (2.15 to 15.59)	0.0005	
Diabetes + hypertension	4.23 (1.68 to 10.65)	0.002	2.58 (1.32 to 5.05)	0.006	2.90 (1.62 to 5.21)	0.0003	
Diabetes + age 65-74	1.89 (0.59 to 6.09)	0.29	2.75 (1.56 to 4.85)	0.0005	2.94 (1.77 to 4.90)	<0.0001	
Diabetes + vascular disease	-	0.98	1.54 (0.22 to 10.98)	0.67	2.69 (0.67 to 10.82)	0.16	
Diabetes + female sex	1.42 (0.20 to 10.30)	0.73	0.90 (0.22 to 3.64)	0.88	1.86 (0.76 to 4.51)	0.17	
Heart failure + hypertension	5.26 (2.37 to 11.66)	<0.0001	4.56 (2.76 to 7.53)	<0.0001	4.19 (2.62 to 6.72)	<0.0001	
Heart failure + age 65-74	2.33 (0.84 to 6.47)	0.11	3.55 (2.15 to 5.86)	<0.0001	3.65 (2.30 to 5.78)	<0.0001	
Heart failure + vascular disease	4.55 (0.63 to 33.02)	0.13	2.78 (0.69 to 11.22)	0.15	2.26 (0.56 to 9.09)	0.25	
Heart failure + female sex	-	0.97	0.79 (0.11 to 5.67)	0.82	1.32 (0.33 to 5.32)	0.70	
Hypertension + age 65-74	3.26 (2.02 to 5.25)	<0.0001	3.21 (2.45 to 4.20)	<0.0001	3.44 (2.71 to 4.37)	<0.0001	
Hypertension + vascular disease	2.02 (0.86 to 4.73)	0.11	2.14 (1.35 to 3.42)	0.001	2.28 (1.50 to 3.46)	0.0001	
Hypertension + female sex	2.37 (1.30 to 4.32)	0.005	2.15 (1.52 to 3.04)	<0.0001	2.16 (1.58 to 2.95)	<0.0001	
Age 65-74 + vascular disease	3.70 (2.03 to 6.74)	<0.0001	3.56 (2.52 to 5.02)	<0.0001	3.80 (2.79 to 5.18)	<0.0001	
Age 65-74 + female sex	3.61 (2.46 to 5.28)	<0.0001	3.04 (2.44 to 3.79)	<0.0001	3.11 (2.55 to 3.78)	<0.0001	
Vascular disease + female sex	3.69 (1.15 to 11.88)	0.03	2.81 (1.32 to 5.99)	0.008	3.38 (1.79 to 6.38)	0.0002	
Age≥75	5.96 (4.32 to 8.23)	<0.0001	6.16 (5.14 to 7.38)	<0.0001	6.21 (5.27 to 7.33)	<0.0001	
Previous thromboembolism	20.44 (13.23 to 31.57)	<0.0001	11.27 (8.37 to 15.18)	<0.0001	10.44 (7.91 to 13.78)	<0.0001	

Results from Cox proportional-hazard analyses; CHA<sub>2</sub>DS<sub>2</sub>-VASc score=0 was reference. \*Includes peripheral artery embolism, ischaemic stroke, and pulmonary embolism.

valvular atrial fibrillation ever investigated. We found that CHA2DS2-VASc performed better than CHADS2 for categorisation of patients into risk groups (low, intermediate, and high risk) for stroke and for identification of patients at "truly low risk" (score=0). With a CHADS<sub>2</sub> score of 0 or 1, the thromboembolic risk was still appreciable. Also, not all risk factors composing CHADS<sub>2</sub> score=1 were associated with an equal risk; a particularly high risk was associated with age >75. Patients with CHA<sub>2</sub>DS<sub>2</sub>-VASc score=1 had a lower rate of thromboembolism, which would seem more "truly moderate." Again, not all risk factors in CHA2DS2-VASc score=1 were associated with an equal risk, and diabetes and age 65-74 were associated with the highest thromboembolic rates. With CHADS<sub>2</sub> or CHA<sub>2</sub>DS<sub>2</sub>-VASc score=2, again the thromboembolic rates were strongly dependent on the specific covariates composing the score, and the risk associated with previous thromboembolism was markedly increased compared with all other combinations of risk factors. We also found that with CHA<sub>2</sub>DS<sub>2</sub>-VASc score=0 the risk was "truly low" and no reduction in thromboembolic rate occurred

with vitamin K antagonist treatment, whereas the thromboembolic rate was reduced in vitamin K antagonist treated patients with  $CHADS_2$  scores 0-1 and  $CHA_2DS_2$ -VASc score=1.

#### Implications of findings

The advantage of CHADS2 is its simplicity, although its limitations are well recognised.<sup>25</sup> CHADS<sub>2</sub> was developed by amalgamation of risk schemes derived from clinical trial cohorts; it was initially validated in a cohort of patients with atrial fibrillation admitted to hospital, with event rates reflecting clinical practice more than a decade ago.11 Even the "C" in CHADS2 has been debated, as a history of congestive heart failure does not seem to be an independent risk factor for thromboembolism.  $^{2\,11\,26}$  The risk of thromboembolism increases with increasing age above 65 years, 27 rising approximately 1.5-fold per decade.2 The increased effect of age > 75 as a single high risk factor was suggested by cohort analyses and a recent semi-systematic review, 628 and this finding was confirmed in our study. Other risk factors such as female sex and previous vascular disease have been recognised. 12 29-32 Our study

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Table 8 | C statistics (95% CI) based on Cox regression models with covariates analysed as categorical or continuous variables

	1 year's follow-up	5 years' follow-up	10 years' follow-up			
Covariates analysed as categorical variables						
CHADS <sub>2</sub> ; score 0-6	0.663 (0.634 to 0.691)	0.762 (0.744 to 0.780)	0.781 (0.764 to 0.797)			
CHADS <sub>2</sub> ; 3 groups	0.722 (0.694 to 0.748)	0.796 (0.778 to 0.812)	0.812 (0.796 to 0.827)			
CHA <sub>2</sub> DS <sub>2</sub> -VASc; score 0-9	0.661 (0.633 to 0.690)	0.758 (0.740 to 0.776)	0.777 (0.760 to 0.793)			
CHA <sub>2</sub> DS <sub>2</sub> -VASc; 3 groups	0.850 (0.829 to 0.871)	0.880 (0.866 to 0.893)	0.888 (0.875 to 0.900)			
Covariates analysed as continuous variables						
CHADS <sub>2</sub> ; score 0-6	0.691 (0.663 to 0.719)	0.787 (0.770 to 0.804)	0.804 (0.788 to 0.819)			
CHADS <sub>2</sub> ; 3 groups	0.722 (0.694 to 0.748)	0.796 (0.778 to 0.812)	0.812 (0.796 to 0.827)			
CHA <sub>2</sub> DS <sub>2</sub> -VASc; score 0-9	0.682 (0.653 to 0.709)	0.775 (0.757 to 0.793)	0.792 (0.776 to 0.808)			
CHA <sub>2</sub> DS <sub>2</sub> -VASc; 3 groups	0.852 (0.830 to 0.873)	0.882 (0.868 to 0.895)	0.890 (0.877 to 0.902)			

suggests the value of these conditions for prediction of thromboembolism; in patients with  $CHA_2DS_2$ -VASc score=1, female sex increased the risk of thromboembolism at one year of follow-up and vascular disease increased thromboembolic risk at five and 10 years of follow-up.

Because of the benefit of oral anticoagulation over aspirin, in patients with atrial fibrillation and CHADS<sub>2</sub> score=2 the clinical impetus would be to anti coagulate.5-7 With CHADS2 scores 0-1, or where a more comprehensive stroke risk and vitamin K antagonist risk/benefit assessment is necessary, a need clearly exists to consider other risk factors not included in the CHADS<sub>2</sub> score. This large study in a non-anticoagulated cohort with non-valvular atrial fibrillation clearly shows advantages of CHA2DS2-VASc for further refinement of thromboembolic risk stratification, with improvements in C statistics for identification of patients at low, intermediate, and high risk of thromboembolism and a convincing identification of those with a truly low risk of thromboembolism. Use of CHA<sub>2</sub>DS<sub>2</sub>-VASc could therefore simplify thromboprophylaxis, with CHA2DS2-VASc score=0 identifying patients at truly low risk for whom no antithrombotic treatment may be considered. With CHA2DS2-VASc score=1, oral anticoagulation can be used, given the limited evidence for the efficacy of aspirin (which also has a potential for bleeding8) and with consideration of the future availability of new oral anticoagulant drugs that can overcome the clinical disadvantages of vitamin K antagonists (for example, without the need for monitoring of anticoagulation and with less risk of bleeding). Also, when the intermediate risk category was defined as CHA2DS2-VASc score=1, only 11.2% of patients were categorised in this group, compared with 32.3% when the CHADS<sub>2</sub> score=1 definition was used. Based on the 2006 ACC/AHA/ ESC guidelines, which recommend vitamin K antagonist or aspirin for this intermediate risk category, using the CHADS2 score rather than the CHA2DS2-VASc score would open more patients to the uncertainty of vitamin K antagonist or aspirin and could even result in aspirin being used instead of vitamin K antagonist, as the guidelines do not provide definitive

recommendations. Finally, the decision to anticoagulate is based not only on thromboembolic risk but also on the risk of bleeding, and the European guidelines on atrial fibrillation incorporate a new bleeding prediction scheme to help with this decision making.<sup>33</sup>

# Limitations of study

The main limitation of this study was inherent to its observational nature. We had no information on the reason(s) for absence of vitamin K antagonist treatment in this specific cohort of patients with non-valvular atrial fibrillation, and we could not differentiate between paroxysmal, persistent, and permanent atrial fibrillation and atrial fibrillation that had been triggered by a single episode of acute illness. Even though the positive predictive value of the diagnosis of atrial fibrillation is very high in the registry (99%), 29 and data on prescription claims are accurate, <sup>16</sup> retrospective studies may be affected by misclassification and inclusion bias—for example, by including only patients admitted to hospital with atrial fibrillation we might have increased the proportion of patients who were at higher risk of thromboembolic events and death. Furthermore, to account for treatment started in relation to the admission for atrial fibrillation, we defined the study baseline at day seven from discharge, thereby excluding 2.5% of the population with atrial fibrillation from the study.

The frequencies of risk factors in the study population were also underestimated, as we identified patients with heart failure, hypertension, and diabetes from prescription claims and thus did not detect patients treated with diet control and lifestyle interventions alone. Therefore, the estimated thrombotic risk must be applied with caution in these populations. Furthermore, we were not able to account for effects of smoking, family history of thromboembolism, alcohol intake, or body mass index. The outcome diagnoses of stroke and pulmonary embolism have previously been validated in the registry; the positive predictive value of ischaemic stroke (I63) was 97%, haemorrhagic strokes only comprised 5.8% of the unspecified strokes (I64), and pulmonary embolism (I26) had a positive predictive value of 82.1%. 2123 However, patients with previous strokes were excluded in the validation study and in our study they were not, so the risk remains that some of the stroke outcomes in this study may in fact have been recoding of old strokes, which again would lead to overestimation of the observed high risk associated with previous stroke.

#### Conclusions

The risk associated with a specific risk score in both CHADS<sub>2</sub> and CHA<sub>2</sub>DS<sub>2</sub>-VASc depends on the risk factors composing the score. CHA<sub>2</sub>DS<sub>2</sub>-VASc performed better than CHADS<sub>2</sub> in predicting patients at high risk and can also be used to identify patients with non-valvular atrial fibrillation with a truly low risk of thromboembolism.

## WHAT IS ALREADY KNOWN ON THIS TOPIC

Thromboembolic risk stratification of patients with non-valvular atrial fibrillation is essential for selection of optimal antithrombotic treatment

The most commonly used risk stratification scheme is CHADS<sub>2</sub>; CHA<sub>2</sub>DS<sub>2</sub>-VASc was developed to complement CHADS<sub>2</sub> by considering additional thromboembolic risk modifiers

#### WHAT THIS STUDY ADDS

CHA<sub>2</sub>DS<sub>2</sub>-VASc is more valid for stroke prediction in patients categorised as being at low and intermediate risk by the CHADS<sub>2</sub> scheme

This is clinically important, as many of the patients at low risk according to CHADS<sub>2</sub> are not at "truly low risk" and treatment guidelines are not conclusive for those at intermediate risk

The importance of each component of the CHADS<sub>2</sub> and CHA<sub>2</sub>DS<sub>2</sub>-VASc scores for thromboembolism risk has been estimated

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