

Library of Congress  
Copyright Office-LS  
101 Independence Avenue SE  
Washington, DC 20557-6400

Penalty for Private Use \$300

UNITED STATES COPYRIGHT OFFICE

( )

## IMPORTANT NOTICE ABOUT THIS STATEMENT OF ACCOUNT

**Statutory Changes:** Congress passed the STELA Reauthorization Act of 2014 (“STELARA”), which the President signed into law on December 4, 2014, as Public Law 113-200. Read the law at <http://copyright.gov/legislation/pl113-200.pdf>. For more information on this law (H.R. 5728), visit the FAQ page on the Copyright Office website at <http://copyright.gov/licensing/stelara.html>.

**Filing Fees:** Effective January 1, 2014, pursuant to the Satellite Television Extension and Localism Act of 2010 (STELA), which granted authority to the Copyright Office to establish fees for the filing of statements of account (SOAs) under the section 111, 119, and 122 statutory licenses, the Office now assesses filing fees for *all* SOAs for current, past, and future accounting periods. For details, see the *Federal Register*, November 29, 2013 (78 FR 71498 <http://www.copyright.gov/fedreg/2013/78fr71498.pdf>). Please be advised that the filing fee is deducted *before* the royalty payment is credited; thus the omission of the appropriate filing fee will result in an underpayment of royalty fees. Please remit the royalty fee and filing fee in **one EFT payment**. (SOA3 filing fee: \$725).

**Low Power Television Stations:** STELARA expanded the definition of a “local market” for low power television stations beginning with the January 1–June 30, 2015, accounting period. See page iv of the General Instructions.

**Multicast versus Simulcast:** *Multicast* is a digital stream of programming that is transmitted by a television broadcast station and is not the station’s primary stream. *Simulcast* is a multicast stream of a television broadcast station that duplicates the programming transmitted by the primary stream or another multicast stream of such station.

**Photocopy Required (Paper Filings Only):** A legible copy of the semiannual statement of account must be submitted together with the original statement of account to the Copyright Office. **Note:** If replying to Licensing Section correspondence or if submitting revisions or amendments to your original SOA, please do not include extra photocopies of the SOA pages affected. Only one original version of revised SOA pages is required with your reply letter, together with a single copy of any Licensing Section correspondence.

**Fillable SOAs Available Online:** Access the fill-in statement of account form at [www.copyright.gov/forms/sa3.pdf](http://www.copyright.gov/forms/sa3.pdf). Complete it on a personal computer and print it out. Or print out the form and complete it by hand in dark ink. Give all the required information.

**Electronic Payment of Royalty and Filing Fees Required:** Effective July 1, 2022, the Copyright Office moved to a single EFT payment method and strongly encourages the use of Pay.gov for current, past, and future royalty and filing fee payments. For detailed instructions, see Circular 74 at [www.copyright.gov/circs/circ74.pdf](http://www.copyright.gov/circs/circ74.pdf). Your remittance, which must include the royalty payment and appropriate filing fee, should be made payable to *Register of Copyrights*. Contact your bank to determine if your account will accept an Automated Clearing House (ACH) debit.

**Remittance Advice Required:** Federal regulations [37 CFR 201.17(k)] require you to attach a remittance advice to your statement(s) of account. A copy of this remittance advice must be sent by email or fax to the Licensing Section. The Office uses this remittance information to ensure the funds received by EFT are correctly allocated to each statement of account. Access a remittance advice form at [www.copyright.gov/licensing/remittance-advice.pdf](http://www.copyright.gov/licensing/remittance-advice.pdf).

**Ceased Operations Procedures:** If your cable system completely shut down operations during the accounting period, report the facts as they existed on the last day of operations and submit the SOA with the associated royalty and filing fee payments and a cover letter informing the Licensing Section of ceased operations. If your cable system had no subscribers or gross receipts during the entire accounting period, do not submit the SOA or any fees. You do not need to notify us in this case.

**Important: Review Filings:** Please review all elements of your filing(s) before submitting them. Filing properly, including an accurate advice, facilitates processing of your statement(s) of account and payments, minimizes the need for us to contact you with questions, and reduces administrative and other costs.

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**STATEMENT OF ACCOUNT**  
*for Secondary Transmissions by  
Cable Systems (Long Form)*Library of Congress  
Copyright Office-LS  
101 Independence Avenue SE  
Washington, DC 20557-6400

see page ii of the general

|                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
|----------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|---|---|----------------------------------------|---|-------------------------------------------------------------|--|--|--|--|--|--|--|--|--|--|--|--|------|----|---|---|----------|----|---|---|--------|----|---|---|
| <b>A</b><br>Accounting<br>Period                                           | <b>ACCOUNTING PERIOD COVERED BY THIS STATEMENT: (Check one of the boxes and fill in the year date.)</b><br><input type="checkbox"/> ..... <input type="checkbox"/> .....                                                                                                                                                                                                                                                                                                                                   |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
| <b>B</b><br>Owner                                                          | <b>INSTRUCTIONS:</b><br><br><i>If there were different owners during the accounting period, only the owner on the last day of the accounting period should submit a single statement of account and royalty fee payment covering the entire accounting period.</i><br><input type="checkbox"/>                                                                                                                                                                                                             |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
|                                                                            | 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>LEGAL NAME OF OWNER OF CABLE SYSTEM:</b>                          |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
|                                                                            | 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>BUSINESS NAME(S) OF OWNER OF CABLE SYSTEM (IF DIFFERENT):</b>     |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
|                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>MAILING ADDRESS OF OWNER OF CABLE SYSTEM:</b><br>.....<br>( ..... |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
| <b>C</b><br>System                                                         | <b>INSTRUCTIONS:</b><br><table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center;">1</td> <td><b>IDENTIFICATION OF CABLE SYSTEM:</b></td> </tr> <tr> <td style="text-align: center;">2</td> <td> <b>MAILING ADDRESS OF CABLE SYSTEM:</b><br/>           .....<br/>           ( .....         </td> </tr> </table>                                                                                                                                             |                                                                      |   | 1 | <b>IDENTIFICATION OF CABLE SYSTEM:</b> | 2 | <b>MAILING ADDRESS OF CABLE SYSTEM:</b><br>.....<br>( ..... |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
| 1                                                                          | <b>IDENTIFICATION OF CABLE SYSTEM:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
| 2                                                                          | <b>MAILING ADDRESS OF CABLE SYSTEM:</b><br>.....<br>( .....                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
| <b>D</b><br>Area<br>Served<br><br>First ►<br>Community<br><br><br>Sample ► | <b>Instructions:</b><br><table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td colspan="4"> </td></tr> <tr><td colspan="4"> </td></tr> <tr><td colspan="4"> </td></tr> <tr><td colspan="4"> </td></tr> <tr> <td style="width: 50%;">Alda</td> <td style="width: 10%;">MD</td> <td style="width: 10%;">A</td> <td style="width: 10%;">1</td> </tr> <tr> <td>Alliance</td> <td>MD</td> <td>B</td> <td>2</td> </tr> <tr> <td>Gering</td> <td>MD</td> <td>B</td> <td>3</td> </tr> </table> |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  | Alda | MD | A | 1 | Alliance | MD | B | 2 | Gering | MD | B | 3 |
|                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
|                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
|                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
|                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                      |   |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
| Alda                                                                       | MD                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | A                                                                    | 1 |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
| Alliance                                                                   | MD                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | B                                                                    | 2 |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |
| Gering                                                                     | MD                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | B                                                                    | 3 |   |                                        |   |                                                             |  |  |  |  |  |  |  |  |  |  |  |  |      |    |   |   |          |    |   |   |        |    |   |   |



|             |                                      |
|-------------|--------------------------------------|
| <b>Name</b> | LEGAL NAME OF OWNER OF CABLE SYSTEM: |
|-------------|--------------------------------------|

The information in space E should cover all categories of secondary transmission service of the cable system, that is, the retransmission of television and radio broadcasts by your system to subscribers. Give information about other services (including pay cable) in space F, not here. All the facts you state must be those existing on the last day of the accounting period (June 30 or December 31, as the case may be).

Both blocks in space E call for the number of subscribers to the cable system, broken down by categories of secondary transmission service. In general, you can compute the number of subscribers in each category by counting the number of billings in that category (the number of persons or organizations charged separately for the particular service at the rate indicated—not the number of sets receiving service).

Give the standard rate charged for each category of service. Include both the amount of the charge and the unit in which it is generally billed. (Example: "\$20/mth"). Summarize any standard rate variations within a particular rate category, but do not include discounts allowed for advance payment.

In the left-hand block in space E, the form lists the categories of secondary transmission service that cable systems most commonly provide to their subscribers. Give the number of subscribers and rate for each listed category that applies to your system. Where an individual or organization is receiving service that falls under different categories, that person or entity should be counted as a subscriber in each applicable category. Example: a residential subscriber who pays extra for cable service to additional sets would be included in the count under "Service to the first set" and would be counted once again under "Service to additional set(s)."

If your cable system has rate categories for secondary transmission service that are different from those printed in block 1 (for example, tiers of services that include one or more secondary transmissions), list them, together with the number of subscribers and rates, in the right-hand block. A two- or three-word description of the service is sufficient.

| BLOCK 1                        |                    |       | BLOCK 2             |                    |       |
|--------------------------------|--------------------|-------|---------------------|--------------------|-------|
| CATEGORY OF SERVICE            | NO. OF SUBSCRIBERS | RATE  | CATEGORY OF SERVICE | NO. OF SUBSCRIBERS | RATE  |
| • Service to first set         | .....              | ..... | .....               | .....              | ..... |
| • Service to additional set(s) | .....              | ..... | .....               | .....              | ..... |
| • FM radio (if separate rate)  | .....              | ..... | .....               | .....              | ..... |
|                                | .....              | ..... | .....               | .....              | ..... |
|                                | .....              | ..... | .....               | .....              | ..... |
| • Residential                  | .....              | ..... | .....               | .....              | ..... |
| • Non-residential              | .....              | ..... | .....               | .....              | ..... |

F

Space F calls for rate (not subscriber) information with respect to all your cable system's services that were not covered in space E, that is, those services that are not offered in combination with any secondary transmission service for a single fee. There are two exceptions: you do not need to give rate information concerning (1) services furnished at cost or (2) services or facilities furnished to nonsubscribers. Rate information should include both the amount of the charge and the unit in which it is usually billed. If any rates are charged on a variable per-program basis, enter only the letters "PP" in the rate column.

Give the standard rate charged by the cable system for each of the applicable services listed.

List any services that your cable system furnished or offered during the accounting period that were not listed in block 1 and for which a separate charge was made or established. List these other services in the form of a brief (two- or three-word) description and include the rate for each.

| BLOCK 1                       |       |                           |       | BLOCK 2             |       |
|-------------------------------|-------|---------------------------|-------|---------------------|-------|
| CATEGORY OF SERVICE           | RATE  | CATEGORY OF SERVICE       | RATE  | CATEGORY OF SERVICE | RATE  |
| • Pay cable                   | ..... | • Motel, hotel            | ..... | .....               | ..... |
| • Pay cable—add'l channel     | ..... | • Commercial              | ..... | .....               | ..... |
| • Fire protection             | ..... | • Pay cable               | ..... | .....               | ..... |
| • Burglar protection          | ..... | • Pay cable—add'l channel | ..... | .....               | ..... |
|                               | ..... | • Fire protection         | ..... | .....               | ..... |
| • First set                   | ..... | • Burglar protection      | ..... | .....               | ..... |
| • Additional set(s)           | ..... |                           | ..... | .....               | ..... |
| • FM radio (if separate rate) | ..... | • Reconnect               | ..... | .....               | ..... |
| • Converter                   | ..... | • Disconnect              | ..... | .....               | ..... |
|                               | ..... | • Outlet relocation       | ..... | .....               | ..... |
|                               | ..... | • Move to new address     | ..... | .....               | ..... |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| LEGAL NAME OF OWNER OF CABLE SYSTEM:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |
| <p>In space G, identify every television station (including translator stations and low power television stations) carried by your cable system during the accounting period, <i>except</i> (1) stations carried only on a part-time basis under FCC rules and regulations in effect on June 24, 1981, permitting the carriage of certain network programs [sections 76.59(d)(2) and (4), 76.61(e)(2) and (4), or 76.63 (referring to 76.61(e)(2) and (4))]; and (2) certain stations carried on a substitute program basis, as explained in the next paragraph.</p> <p>With respect to any distant stations carried by your cable system on a substitute program basis under specific FCC rules, regulations, or authorizations:</p> <ul style="list-style-type: none"><li>• Do <i>not</i> list the station here in space G—but <i>do</i> list it in space I (the Special Statement and Program Log)—if the station was carried <i>only</i> on a substitute basis.</li><li>• List the station here, and also in space I, if the station was carried both on a substitute basis and also on some other basis. For further information concerning substitute basis stations, see page (v) of the general instructions.</li></ul> <p>List each station’s call sign. <i>Do not</i> report origination program services such as HBO, ESPN, etc. Identify each multicast stream associated with a station according to its over-the-air designation. For example, report multi-cast stream as “WETA-2”. Simulcast streams must be reported in column 1 (list each stream separately; for example WETA-simulcast).</p> <p>Give the channel number the FCC has assigned to the television station for broadcasting over-the-air in its community of license. For example, WRC is Channel 4 in Washington, D.C. This may be different from the channel on which your cable system carried the station.</p> <p>Indicate in each case whether the station is a network station, an independent station, or a noncommercial educational station, by entering the letter “N” (for network), “N-M” (for network multicast), “I” (for independent), “I-M” (for independent multicast), “E” (for noncommercial educational), or “E-M” (for noncommercial educational multicast). For the meaning of these terms, see page (v) of the general instructions.</p> <p>If the station is outside the local service area, (i.e. “distant”), enter “Yes”. If not, enter “No”. For an explanation of local service area, see page (v) of the general instructions.</p> <p>If you have entered “Yes” in column 4, you must complete column 5, stating the basis on which your cable system carried the distant station during the accounting period. Indicate by entering “LAC” if your cable system carried the distant station on a part-time basis because of lack of activated channel capacity.</p> <p>For the retransmission of a distant multicast stream that is not subject to a royalty payment because it is the subject of a written agreement entered into on or before June 30, 2009, between a cable system or an association representing the cable system and a primary transmitter or an association representing the primary transmitter, enter the designa-</p> <p>Y m L st syl Y k I □ m I ed # rr to n</p> |  |

[illegible]





[illegible]

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |             |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| LEGAL NAME OF OWNER OF CABLE SYSTEM:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>Name</b> |
| <p>: The figure you give in this space determines the form you file and the amount you pay. Enter the total of all amounts (gross receipts) paid to your cable system by subscribers for the system's secondary transmission service (as identified in space E) during the accounting period. For a further explanation of how to compute this amount, see page (vii) of the general instructions.</p> <p>Gross receipts from subscribers for secondary transmission service(s) during the accounting period. ....&gt; \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 25px; vertical-align: middle;"></span></p> <p style="text-align: right; font-size: small;">(Amount of gross receipts)</p> <p>You must complete a statement in space P concerning gross receipts.</p>                                                                                                                                                                                                                                                                                                                   | <b>K</b>    |
| <p>: Use the blocks in this space L to determine the royalty fee you owe:</p> <ul style="list-style-type: none"> <li>• Complete block 1, showing your minimum fee.</li> <li>• Complete block 2, showing whether your system carried any distant television stations.</li> <li>• If your system did not carry any distant television stations, leave block 3 blank. Enter the amount of the minimum fee from block 1 on line 1 of block 4, and calculate the total royalty fee.</li> <li>• If your system did carry any distant television stations, you must complete the applicable parts of the DSE Schedule accompanying this form and attach the schedule to your statement of account.</li> </ul> <p>► If part 8 or part 9, block A, of the DSE schedule was completed, the base rate fee should be entered on line 1 of block 3 below.</p> <p>► If part 6 of the DSE schedule was completed, the amount from line 7 of block C should be entered on line 2 in block 3 below.</p> <p>► If part 7 or part 9, block B, of the DSE schedule was completed, the surcharge amount should be entered on line 2 in block 4 below.</p> | <b>L</b>    |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 50px; text-align: center; font-weight: bold;">Block<br/>1</div> <div> <p>All cable systems with semiannual gross receipts of \$527,600 or more are required to pay at least the minimum fee, regardless of whether they carried any distant stations. This fee is 1.064 percent of the system's gross receipts for the accounting period.</p> <p>Line 1. Enter the amount of gross receipts from space K. ....&gt; _____</p> <p>Line 2. Multiply the amount in line 1 by 0.01064</p> <p>Enter the result here. ....&gt; \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 25px; vertical-align: middle;"></span></p> <p>This is your minimum fee. ....&gt; _____</p> </div> </div>                                                                                                                                                                                                                                                                                                                              |             |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 50px; text-align: center; font-weight: bold;">Block<br/>2</div> <div> <p>Your answer here must agree with the information you gave in space G. If, in space G, you identified any stations as "distant" by stating "Yes" in column 4, you must check "Yes" in this block.</p> <p>• Did your cable system carry any distant television stations during the accounting period?</p> <p><input type="checkbox"/> Yes—Complete the DSE schedule.      <input type="checkbox"/> No—Leave block 3 below blank and complete line 1, block 4.</p> </div> </div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |             |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 50px; text-align: center; font-weight: bold;">Block<br/>3</div> <div> <p>Line 1. Enter the base rate fee from either part 8, section 3 or 4, or part 9, block A of the DSE schedule. If none, enter zero ....&gt; \$ _____</p> <p>Line 2. Enter the total fee from line 7, block C, part 6 of the DSE schedule. If none, enter zero ....&gt; _____</p> <p>Line 3. Add lines 1 and 2 and enter here ....&gt; \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 25px; vertical-align: middle;"></span></p> </div> </div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |             |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 50px; text-align: center; font-weight: bold;">Block<br/>4</div> <div> <p>Line 1. Enter either the minimum fee from block 1 or the sum of the base rate fee/3.75 fee from block 3, line 3, whichever is larger. ....&gt; \$ _____</p> <p>Line 2. Enter the fee from either part 7 (block D, section 3 or 4) or part 9 (block B) of the DSE schedule. If none, enter zero. ....&gt; \$ _____</p> <p>Line 3. Enter the amount from line 4, space Q, page 9 (Interest Worksheet) ....&gt; \$ _____</p> <p>Line 4. ....&gt; _____</p> <p>Add Lines 1, 2, 3 and 4 of block 4 and enter total here ....&gt; \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 25px; vertical-align: middle;"></span></p> <p>Remit this amount via <i>electronic payment</i> payable to <i>Register of Copyrights</i>. (See page (i) of the general instructions for more information.)</p> </div> </div>                                                                                                                               |             |

|             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Name</b> | LEGAL NAME OF OWNER OF CABLE SYSTEM:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|             | <p style="text-align: center;">You must give (1) the number of channels on which the cable system carried television broadcast stations to its subscribers and (2) the cable system's total number of activated channels, during the accounting period.</p> <p>1. Enter the total number of channels on which the cable system carried television broadcast stations ..... <span style="border: 1px solid black; display: inline-block; width: 150px; height: 30px; vertical-align: middle;"></span></p> <p>2. Enter the total number of activated channels on which the cable system carried television broadcast stations and nonbroadcast services ..... <span style="border: 1px solid black; display: inline-block; width: 150px; height: 30px; vertical-align: middle;"></span></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|             | <p>(Identify an individual we can contact about this statement of account.)</p> <p>Name ..... Telephone .....<br/> <small>(Area code)</small></p> <p>Address .....<br/> <small>(Number, street, rural route, apartment, or suite number)</small></p> <p>.....<br/> <small>(City, town, state, zip)</small></p> <p>Email (optional) ..... Fax (optional) .....</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>O</b>    | <p>(This statement of account must be certified and signed in accordance with Copyright Office regulations, as explained in the general instructions.)</p> <ul style="list-style-type: none"> <li>• I, the undersigned, hereby certify that (Check one, <i>but only one</i>, of the boxes.)</li> <li><input type="checkbox"/> I am the owner of the cable system as identified in line 1 of space B; or</li> <li><input type="checkbox"/> I am the duly authorized agent of the owner of the cable system as identified in line 1 of space B and that the owner is not a corporation or partnership; or</li> <li><input type="checkbox"/> I am an officer (if a corporation) or a partner (if a partnership) of the legal entity identified as owner of the cable system in line 1 of space B.</li> <li>• I have examined the statement of account and hereby declare under penalty of law that all statements of fact contained herein are true, complete, and correct to the best of my knowledge, information, and belief, and are made in good faith. [18 U.S.C. sec. 1001]</li> </ul> <div style="display: flex; align-items: center; margin-top: 20px;"> <div> <p>Handwritten signature: .....</p> <p>Typed or printed name: .....</p> <p>Title: .....<br/> <small>(Title of official position held in corporation or partnership)</small></p> <p>Date: .....</p> </div> </div> |

Section 111 of title 17 of the *United States Code* authorizes the Copyright Office to collect the personally identifying information (PII) requested on this form in order to process your statement of account. PII is any personal information that can be used to identify or trace an individual, such as name, address, and telephone numbers. By providing PII, you are agreeing to the routine use of it to establish and maintain a public record, which includes appearing in the Office's public indexes and in search reports prepared for the public. The effect of not providing the PII requested is that it may delay processing of your statement of account and its placement in the completed record of statements of account, and it may affect the legal sufficiency of the filing, a determination that would be made by a court of law.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                       |      |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|------|
| LEGAL NAME OF OWNER OF CABLE SYSTEM:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                       | Name |
| <p>The Satellite Home Viewer Act of 1988 amended Title 17, section 111(d)(1)(A), of the Copyright Act by adding the following sentence:</p> <p>“In determining the total number of subscribers and the gross amounts paid to the cable system for the basic service of providing secondary transmissions of primary broadcast transmitters, the system shall not include subscribers and amounts collected from subscribers receiving secondary transmissions pursuant to section 119.”</p> <p>For more information on when to exclude these amounts, see the note on page (vii) of the general instructions.</p> <p>During the accounting period did the cable system exclude any amounts of gross receipts for secondary transmissions made by satellite carriers to satellite dish owners?</p> <p><input type="checkbox"/> NO</p> <p><input type="checkbox"/> YES. Enter the total here and list the satellite carrier(s) below. .... \$</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                       |      |
| Name .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Name .....            |      |
| Mailing address .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Mailing address ..... |      |
| .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | .....                 |      |
| .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | .....                 |      |
| <p>You must complete this worksheet for those royalty payments submitted as a result of a late payment or underpayment. For an explanation of interest assessment, see page (viii) of the general instructions.</p> <p>Line 1 Enter the amount of late payment or underpayment ..... \$</p> <p>x ..... %</p> <p>Line 2 Multiply line 1 by the interest rate* and enter the sum here ..... x ..... days</p> <p>Line 3 Multiply line 2 by the number of days late and enter the sum here ..... x 0.00274</p> <p>Line 4 Multiply line 3 by 0.00274** enter here and on line 3, block 4, space L, (page 7) ..... \$ (interest charge)</p> <p>* To view the interest rate chart click on <a href="http://www.copyright.gov/licensing/interest-rate.pdf">www.copyright.gov/licensing/interest-rate.pdf</a>. For further assistance please contact the Licensing Section at (202) 707-8150 or <a href="mailto:licensing@copyright.gov">licensing@copyright.gov</a>.</p> <p>** This is the decimal equivalent of 1/365, which is the interest assessment for one day late.</p> <p>NOTE: If you are filing this worksheet covering a statement of account already submitted to the Copyright Office, please list below the owner, address, first community served, accounting period, and ID number as given in the original filing.</p> <p>Owner .....</p> <p>Address .....</p> <p>.....</p> <p>First community served .....</p> <p>Accounting period .....</p> <p>ID number .....</p> |                       | Q    |

Section 111 of title 17 of the *United States Code* authorizes the Copyright Office to collect the personally identifying information (PII) requested on this form in order to process your statement of account. PII is any personal information that can be used to identify or trace an individual, such as name, address, and telephone numbers. By providing PII, you are agreeing to the routine use of it to establish and maintain a public record, which includes appearing in the Office's public indexes and in search reports prepared for the public. The effect of not providing the PII requested is that it may delay processing of your statement of account and its placement in the completed record of statements of account, and it may affect the legal sufficiency of the filing, a determination that would be made by a court of law.

All cable systems filing SA3 (Long Form) must pay at least the minimum fee, which is 1.064 percent of gross receipts. The cable system pays either the minimum fee or the sum of the base rate fee and the 3.75 percent fee, whichever is larger, and a Syndicated Exclusivity Surcharge, as applicable.

A permitted station refers to a distant station whose carriage is not subject to the 3.75 percent rate but is subject to the base rate ☐ ☐

Determine whether any of the stations you carried were partially distant—that is, whether you retransmitted the signal of one or more stations to subscribers located within the station's local service area and, at the same time, to other subscribers located outside that area.

- If none of the stations were partially distant, calculate your base rate fee according to the following rates—for the system's permitted DSEs as reported in block B, part 6 or from part 5, whichever is applicable.  
First DSE 1.064% of gross receipts  
Each of the second, third, and fourth DSEs 0.701% of gross receipts  
The fifth and each additional DSE 0.330% of gross receipts

- If any of the stations were partially distant:
  1. Divide all of your subscribers into subscriber groups depending on their location. A particular subscriber group consists of all subscribers who are distant with respect to exactly the same complement of stations.
  2. Identify the communities/areas represented by each subscriber group.
  3. For each subscriber group, calculate the total number of DSEs of that group's complement of stations.  
If your system is located wholly outside all major and smaller television markets, give each station's DSEs as you gave them in parts 2, 3, and 4 of the schedule; or  
If any portion of your system is located in a major or smaller television market, give each station's DSE as you gave it in block B, part 6 of this schedule.
  4. Determine the portion of the total gross receipts you reported in space K (page 7) that is attributable to each subscriber group.

5. Calculate a separate base rate fee for each subscriber group, using (1) the rates given above; (2) the total number of DSEs for that group's complement of stations; and (3) the amount of gross receipts attributable to that group.
6. Add together the base rate fees for each subscriber group to determine the system's total base rate fee.
7. If any portion of the cable system is located in whole or in part within a major television market, you may also need to complete part 9, block B of the Schedule to determine the Syndicated Exclusivity Surcharge.

There are no printed continuation sheets for the schedule. In most cases, the blanks provided should be large enough for the necessary information. If you need more space in a particular part, make a photocopy of the page in question (identifying it as a continuation sheet), enter the additional information on that copy, and attach it to the DSE schedule.

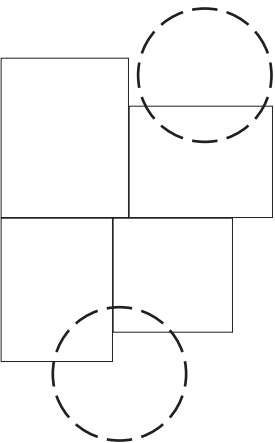
In computing DSEs on the DSE schedule, you may round off to no less than the third decimal point. If you round off a DSE in any case, you must round off DSEs throughout the schedule as follows:

- When the fourth decimal point is 1, 2, 3, or 4, the third decimal remains unchanged (example: .34647 is rounded to .346).
- When the fourth decimal point is 5, 6, 7, 8, or 9, the third decimal is rounded up (example: .34651 is rounded to .347).

*The example below is intended to supplement the instructions for calculating only the base rate fee for partially distant stations. The cable system would also be subject to the Syndicated Exclusivity Surcharge for partially distant stations, if any portion is located within a major television market.*

EXAMPLE:  
COMPUTATION OF COPYRIGHT ROYALTY FEE FOR CABLE SYSTEM CARRYING PARTIALLY DISTANT STATIONS

In most cases under current FCC rules, all of Fairvale would be within the local service area of both stations A and C and all of Rapid City and Bodega Bay would be within the local service areas of stations B, D, and E.



| STATION                      | DSE          | CITY                        | OUTSIDE LOCAL SERVICE AREA OF                   | GROSS RECEIPTS FROM SUBSCRIBERS |              |
|------------------------------|--------------|-----------------------------|-------------------------------------------------|---------------------------------|--------------|
| A (independent)              | 1.0          |                             |                                                 |                                 |              |
| B (independent)              | 1.0          | Santa Rosa                  | Stations A, B, C, D ,E                          | \$310,000.00                    |              |
| C (part-time)                | 0.083        | Rapid City                  | Stations A and C                                | 100,000.00                      |              |
| D (part-time)                | 0.139        | Bodega Bay                  | Stations A and C                                | 70,000.00                       |              |
| E (network)                  | 0.25         | Fairvale                    | Stations B, D, and E                            | 120,000.00                      |              |
|                              | 2.472        |                             |                                                 | \$600,000.00                    |              |
| Total Gross Receipts         |              |                             | \$600,000.00<br>x .01064<br>-----<br>\$6,384.00 |                                 |              |
|                              |              |                             |                                                 |                                 |              |
| (Santa Rosa)                 |              | (Rapid City and Bodega Bay) |                                                 | (Fairvale)                      |              |
| Gross receipts               | \$310,000.00 | Gross receipts              | \$170,000.00                                    | Gross receipts                  | \$120,000.00 |
| DSEs                         | 2.472        | DSEs                        | 1.083                                           | DSEs                            | 1.389        |
| Base rate fee                | \$6,497.20   | Base rate fee               | \$1,907.71                                      | Base rate fee                   | \$1,604.03   |
| \$310,000 x .01064 x 1.0 =   | 3,298.40     | \$170,000 x .01064 x 1.0 =  | 1,808.80                                        | \$120,000 x .01064 x 1.0 =      | 1,276.80     |
| \$310,000 x .00701 x 1.472 = | 3,198.80     | \$170,000 x .00701 x .083 = | 98.91                                           | \$120,000 x .00701 x .389 =     | 327.23       |
| Base rate fee                | \$6,497.20   | Base rate fee               | \$1,907.71                                      | Base rate fee                   | \$1,604.03   |

\$6,497.20 + \$1,907.71 + \$1,604.03 = \$10,008.94  
In this example, the cable system would enter \$10,008.94 in space L, block 3, line 1 (page 7)

LEGAL NAME OF OWNER OF CABLE SYSTEM:

list the call signs of all distant stations identified by the letter "O" in column 5 of space G (page 3).

for each independent station, give the DSE as "1.0"; for each network or noncommercial educational station, give the DSE as ".25."

CATEGORY "O" STATIONS: DSEs

| CALL SIGN | DSE | CALL SIGN | DSE | CALL SIGN | DSE |
|-----------|-----|-----------|-----|-----------|-----|
|           |     |           |     |           |     |
|           |     |           |     |           |     |
|           |     |           |     |           |     |

• Add the DSEs of each station.

Enter the sum here and in line 1 of part 5 of this schedule.

LEGAL NAME OF OWNER OF CABLE SYSTEM:

List the call sign of all distant stations identified by "LAC" in column 5 of space G (page 3).  
 For each station, give the number of hours your cable system carried the station during the accounting period. This figure should correspond with the information given in space J. Calculate only one DSE for each station.  
 For each station, give the total number of hours that the station broadcast over the air during the accounting period.  
 Divide the figure in column 2 by the figure in column 3, and give the result in decimals in column 4. This figure must be carried out at least to the third decimal point. This is the "basis of carriage value" for the station.  
 For each independent station, give the "type-value" as "1.0." For each network or noncommercial educational station, give the type-value as ".25."  
 Multiply the figure in column 4 by the figure in column 5, and give the result in column 6. Round to no less than the third decimal point. This is the station's DSE. (For more information on rounding, see page (viii) of the general instructions.)

## CATEGORY LAC STATIONS: COMPUTATION OF DSEs

| 1. CALL SIGN | 2. NUMBER OF HOURS CARRIED BY SYSTEM | 3. NUMBER OF HOURS STATION ON AIR | 4. BASIS OF CARRIAGE VALUE | 5. TYPE VALUE | 6. DSE |
|--------------|--------------------------------------|-----------------------------------|----------------------------|---------------|--------|
| .....        | .....                                | .....                             | .....                      | .....         | .....  |
| .....        | .....                                | .....                             | .....                      | .....         | .....  |
| .....        | .....                                | .....                             | .....                      | .....         | .....  |
| .....        | .....                                | .....                             | .....                      | .....         | .....  |
| .....        | .....                                | .....                             | .....                      | .....         | .....  |
| .....        | .....                                | .....                             | .....                      | .....         | .....  |

Add the DSEs of each station.

Enter the sum here and in line 2 of part 5 of this schedule, .....▶

Give the call sign of each station listed in space I (page 5, the Log of Substitute Programs) if that station:

- Was carried by your system in substitution for a program that your system was permitted to delete under FCC rules and regulations in effect on October 19, 1976 (as shown by the letter "P" in column 7 of space I); and
- Broadcast one or more live, nonnetwork programs during that optional carriage (as shown by the word "Yes" in column 2 of space I).

For each station give the number of live, nonnetwork programs carried in substitution for programs that were deleted at your option. This figure should correspond with the information in space I.  
 Enter the number of days in the calendar year: 365, except in a leap year.  
 Divide the figure in column 2 by the figure in column 3, and give the result in column 4. Round to no less than the third decimal point. This is the station's DSE (For more information on rounding, see page (viii) of the general instructions.)

## SUBSTITUTE-BASIS STATIONS: COMPUTATION OF DSEs

| 1. CALL SIGN | 2. NUMBER OF PROGRAMS | 3. NUMBER OF DAYS IN YEAR | 4. DSE | 1. CALL SIGN | 2. NUMBER OF PROGRAMS | 3. NUMBER OF DAYS IN YEAR | 4. DSE |
|--------------|-----------------------|---------------------------|--------|--------------|-----------------------|---------------------------|--------|
| .....        | .....                 | .....                     | .....  | .....        | .....                 | .....                     | .....  |
| .....        | .....                 | .....                     | .....  | .....        | .....                 | .....                     | .....  |
| .....        | .....                 | .....                     | .....  | .....        | .....                 | .....                     | .....  |
| .....        | .....                 | .....                     | .....  | .....        | .....                 | .....                     | .....  |
| .....        | .....                 | .....                     | .....  | .....        | .....                 | .....                     | .....  |

Add the DSEs of each station.

Enter the sum here and in line 3 of part 5 of this schedule, .....▶

Give the amounts from the boxes in parts 2, 3, and 4 of this schedule and add them to provide the total number of DSEs applicable to your system.

1. Number of DSEs from part 2.....▶ \_\_\_\_\_  
 2. Number of DSEs from part 3.....▶ \_\_\_\_\_  
 3. Number of DSEs from part 4.....▶ \_\_\_\_\_

TOTAL NUMBER OF DSEs .....▶





Column 6: Compare the DSE figures listed in columns 2 and 5 and list the smaller of the two figures here. This figure should be entered in block B, column 3 of part 6 for this station.

PERMITTED DSE FOR STATIONS CARRIED ON A PART-TIME AND SUBSTITUTE BASIS

[illegible]

If your answer is "No," leave blocks B and C blank and complete part 8 of the DSE schedule.

## BLOCK A: MAJOR TELEVISION MARKET

- Is any portion of the cable system within a top 100 major television market as defined by section 76.5 of FCC rules in effect June 24, 1981? ☐ Yes—Complete blocks B and C . ☐ No—Proceed to part 8

### BLOCK B: Carriage of VHF/Grade B Contour Stations

☐ No—Enter zero and proceed to part 8.

[illegible]

### BLOCK C: Computation of Exempt DSEs

☐ No—Enter zero and complete block D.[illegible]

|                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| LEGAL NAME OF OWNER OF CABLE SYSTEM:                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <b>Name</b> |
| <b>BLOCK D: COMPUTATION OF THE SYNDICATED EXCLUSIVITY SURCHARGE</b>                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |             |
| Section<br><b>1</b>                                                                                                                                                                                           | Enter the amount of gross receipts from space K (page 7) ..... <b>\$</b> _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |             |
| Section<br><b>2</b>                                                                                                                                                                                           | <p>A. Enter the total DSEs from block B of part 7 ..... ► _____</p> <p>B. Enter the total number of exempt DSEs from block C of part 7 ..... ► _____</p> <p>C. Subtract line B from line A and enter here. This is the total number of DSEs subject to the surcharge computation. .... ► <span style="border: 1px solid black; display: inline-block; width: 150px; height: 30px; vertical-align: middle;"></span></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |             |
| • Is any portion of the cable system within a top 50 television market as defined by the FCC?<br><input type="checkbox"/> Yes—Complete section 3 below. <input type="checkbox"/> No—Complete section 4 below. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |             |
| <b>SECTION 3: TOP 50 TELEVISION MARKET</b>                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |             |
| Section<br><b>3a</b>                                                                                                                                                                                          | <p>• Did your cable system retransmit the signals of any partially distant television stations during the accounting period?<br/> <input type="checkbox"/> Yes—Complete part 9 of this schedule.      <input type="checkbox"/> No—Complete the applicable section below.</p> <p>If the figure in section 2, line C is 4.000 or less, compute your surcharge here and leave section 3b blank. NOTE: If the DSE is 1.0 or less, multiply the gross receipts by .00599 by the DSE. Enter the result on line A below.</p> <p>A. Enter 0.00599 of gross receipts (the amount in section 1) ..... <b>\$</b> _____</p> <p>B. Enter 0.00377 of gross receipts (the amount in section 1) ..... <b>\$</b> _____</p> <p>C. Subtract 1.000 from total permitted DSEs (the figure on line C in section 2) and enter here ..... ► _____</p> <p>D. Multiply line B by line C and enter here ..... ► _____</p> <p>E. Add lines A and D. This is your surcharge.<br/>         Enter here and on line 2 of block 4 in space L (page 7) ..... <b>\$</b> <span style="border: 1px solid black; display: inline-block; width: 150px; height: 30px; vertical-align: middle;"></span></p> |             |
| Section<br><b>3b</b>                                                                                                                                                                                          | <p>If the figure in section 2, line C is more than 4.000, compute your surcharge here and leave section 3a blank.</p> <p>A. Enter 0.00599 of gross receipts (the amount in section 1) ..... <b>\$</b> _____</p> <p>B. Enter 0.00377 of gross receipts (the amount in section 1) ..... <b>\$</b> _____</p> <p>C. Multiply line B by 3.000 and enter here ..... <b>\$</b> _____</p> <p>D. Enter 0.00178 of gross receipts (the amount in section 1) ..... <b>\$</b> _____</p> <p>E. Subtract 4.000 from total DSEs (the figure on line C in section 2) and enter here ..... ► _____</p> <p>F. Multiply line D by line E and enter here ..... <b>\$</b> _____</p> <p>G. Add lines A, C, and F. This is your surcharge.<br/>         Enter here and on line 2, block 4, space L (page 7) ..... <b>\$</b> <span style="border: 1px solid black; display: inline-block; width: 150px; height: 30px; vertical-align: middle;"></span></p>                                                                                                                                                                                                                                 |             |
| <b>SECTION 4: SECOND 50 TELEVISION MARKET</b>                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |             |
| Section<br><b>4a</b>                                                                                                                                                                                          | <p>Did your cable system retransmit the signals of any partially distant television stations during the accounting period?<br/> <input type="checkbox"/> Yes—Complete part 9, of the Schedule.      <input type="checkbox"/> No—Complete the following sections.</p> <p>If the figure in section 2, line C is 4.000 or less, compute your surcharge here and leave section 4b blank. NOTE: If the DSE is 1.0 or less, multiply the gross receipts by 0.003 by the DSE. Enter the result on line A below.</p> <p>A. Enter 0.00300 of gross receipts (the amount in section 1) ..... <b>\$</b> _____</p> <p>B. Enter 0.00189 of gross receipts (the amount in section 1) ..... <b>\$</b> _____</p> <p>C. Subtract 1.000 from total permitted DSEs (the figure on line C in section 2) and enter here ..... ► _____</p> <p>D. Multiply line B by line C and enter here ..... <b>\$</b> _____</p> <p>E. Add lines A and D. This is your surcharge.<br/>         Enter here and in line 2, block 4, space L (page 7) ..... <b>\$</b> <span style="border: 1px solid black; display: inline-block; width: 150px; height: 30px; vertical-align: middle;"></span></p>      |             |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>Name</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | LEGAL NAME OF OWNER OF CABLE SYSTEM:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |
| Section<br>4b                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <p>If the figure in section 2, line C is more than 4.000, compute your surcharge here and leave section 4a blank.</p> <p>A. Enter 0.00300 of gross receipts (the amount in section 1) ..... ▶ \$ _____</p> <p>B. Enter 0.00189 of gross receipts (the amount in section 1) ..... ▶ \$ _____</p> <p>C. Multiply line B by 3.000 and enter here ..... ▶ \$ _____</p> <p>D. Enter 0.00089 of gross receipts (the amount in section 1) ..... ▶ \$ _____</p> <p>E. Subtract 4.000 from the total DSEs (the figure on line C in section 2) and enter here ..... ▶ _____</p> <p>F. Multiply line D by line E and enter here ..... ▶ \$ _____</p> <p>G. Add lines A, C, and F. This is your surcharge.<br/>Enter here and on line 2, block 4, space L (page 7) ..... ▶ \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 20px; vertical-align: middle;"></span></p> |  |
| <p>You must complete this part of the DSE schedule for the SUM OF PERMITTED DSEs in part 6, block B; however, if block A of part 6 was checked "Yes," use the total number of DSEs from part 5.</p> <ul style="list-style-type: none"> <li>• In block A, indicate, by checking "Yes" or "No," whether your system carried any partially distant stations.</li> <li>• If your answer is "No," compute your system's base rate fee in block B. Leave part 9 blank.</li> <li>• If your answer is "Yes" (that is, if you carried one or more partially distant stations), you must complete part 9. Leave block B below blank.</li> </ul> <p style="text-align: center;">A station is "partially distant" if, at the time your system carried it, some of your subscribers were located within that station's local service area and others were located outside that area. For the definition of a station's "local service area," see page (v) of the general instructions.</p> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |
| <b>BLOCK A: CARRIAGE OF PARTIALLY DISTANT STATIONS</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |
| <p>• Did your cable system retransmit the signals of any partially distant television stations during the accounting period?</p> <p><input type="checkbox"/> Yes—Complete part 9 of this schedule.      <input type="checkbox"/> No—Complete the following sections.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |
| <b>BLOCK B: NO PARTIALLY DISTANT STATIONS—COMPUTATION OF BASE RATE FEE</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |
| Section<br>1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Enter the amount of gross receipts from space K (page 7) ..... ▶ \$ _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |
| Section<br>2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Enter the total number of permitted DSEs from block B, part 6 of this schedule.<br>(If block A of part 6 was checked "Yes," use the total number of DSEs from part 5.) ..... ▶ _____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |
| Section<br>3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | <p>If the figure in section 2 is _____ compute your base rate fee here and leave section 4 blank.<br/>NOTE: If the DSE is 1.0 or less, multiply the gross receipts by 0.01064 by the DSE. Enter the result on line A below.</p> <p>A. Enter 0.01064 of gross receipts<br/>(the amount in section 1) ..... ▶ \$ _____</p> <p>B. Enter 0.00701 of gross receipts<br/>(the amount in section 1) ..... ▶ \$ _____</p> <p>C. Subtract 1.000 from total DSEs<br/>(the figure in section 2) and enter here ..... ▶ _____</p> <p>D. Multiply line B by line C and enter here ..... ▶ \$ _____</p> <p>E. Add lines A, and D. This is your base rate fee. Enter here<br/>and in block 3, line 1, space L (page 7) ..... ▶ \$ <span style="border: 1px solid black; display: inline-block; width: 150px; height: 20px; vertical-align: middle;"></span></p>                                                |  |

|                     | LEGAL NAME OF OWNER OF CABLE SYSTEM:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Name |
|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Section<br><b>4</b> | <p>If the figure in section 2 is _____, compute your base rate fee here and leave section 3 blank.</p> <p>A. Enter 0.01064 of gross receipts<br/>(the amount in section 1) ..... ▶ \$ _____</p> <p>B. Enter 0.00701 of gross receipts<br/>(the amount in section 1) ..... ▶ \$ _____</p> <p>C. Multiply line B by 3.000 and enter here ..... ▶ \$ _____</p> <p>D. Enter 0.00330 of gross receipts<br/>(the amount in section 1) ..... ▶ \$ _____</p> <p>E. Subtract 4.000 from total DSEs<br/>(the figure in section 2) and enter here ..... ▶ _____</p> <p>F. Multiply line D by line E and enter here ..... ▶ \$ _____</p> <p>G. Add lines A, C, and F. This is your base rate fee.<br/>Enter here and in block 3, line 1, space L (page 7)</p> <p>..... ▶ \$ _____</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |      |
|                     | <p>It is no longer necessary to report television signals on a system-wide basis. Carriage of television broadcast signals shall instead be reported on a community-by-community basis (subscriber groups) if the cable system reported multiple channel line-ups in Space G.</p> <p>If any of the stations you carried were partially distant, the statute allows you, in computing your base rate fee, to exclude receipts from subscribers located within the station's local service area, from your system's total gross receipts. To take advantage of this exclusion, you must:</p> <p>Divide all of your subscribers into subscriber groups, each group consisting entirely of subscribers that are distant to the same station or the same group of stations. Treat each subscriber group as if it were a separate cable system. Determine the number of DSEs and the portion of your system's gross receipts attributable to that group, and calculate a separate base rate fee for each group.</p> <p>Add up the separate base rate fees for each subscriber group. That total is the base rate fee for your system.</p> <p>NOTE: If any portion of your cable system is located within the top 100 television market and the station is <i>not exempt in part 7</i>, you must also compute a Syndicated Exclusivity Surcharge for each subscriber group. In this case, complete both block A and B below. However, if your cable system is wholly located outside all major television markets, complete block A only.</p> <p>For each community served, determine the local service area of each wholly distant and each partially distant station you carried to that community.</p> <p>For each wholly distant and each partially distant station you carried, determine which of your subscribers were located outside the station's local service area. A subscriber located outside the local service area of a station is distant to that station (and, by the same token, the station is distant to the subscriber.)</p> <p>Divide your subscribers into subscriber groups according to the complement of stations to which they are distant. Each subscriber group must consist entirely of subscribers who are distant to exactly the same complement of stations. Note that a cable system will have only one subscriber group when the distant stations it carried have local service areas that coincide.</p> <p style="text-align: center;">Block A contains separate sections, one for each of your system's sub-</p> <p>scriber groups.</p> <p>In each section:</p> <ul style="list-style-type: none"> <li>• Identify the communities/areas represented by each subscriber group.</li> <li>• Give the call sign for each of the stations in the subscriber group's complement—that is, each station that is distant to all of the subscribers in the group.</li> <li>• If:             <ol style="list-style-type: none"> <li>1) your system is located wholly outside all major and smaller television markets, give each station's DSE as you gave it in parts 2, 3, and 4 of this schedule; or,</li> <li>2) any portion of your system is located in a major or smaller television market, give each station's DSE as you gave it in block B, part 6 of this schedule.</li> </ol> </li> <li>• Add the DSEs for each station. This gives you the total DSEs for the particular subscriber group.</li> <li>• Calculate gross receipts for the subscriber group. For further explanation of gross receipts see page (vii) of the general instructions.</li> <li>• Compute a base rate fee for each subscriber group using the formula outline in block B of part 8 of this schedule on the preceding page. In making this computation, use the DSE and gross receipts figure applicable to the particular subscriber group (that is, the total DSEs for that group's complement of stations and total gross receipts from the subscribers in that group). You do not need to show your actual calculations on the form.</li> </ul> | for  |

| Name | LEGAL NAME OF OWNER OF CABLE SYSTEM:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      | <p>Use part 9, block A, of the DSE Schedule to establish subscriber groups to compute the base rate fee for wholly and partially permitted distant signals. Write "Permitted Signals" at the top of the page. Note: One or more permitted signals in these subscriber groups may be partially distant.</p> <p>Use a separate part 9, block A, to compute the 3.75 percent fee for wholly nonpermitted and partially nonpermitted distant signals. Write "Nonpermitted 3.75 stations" at the top of this page. Multiply the subscriber group gross receipts by total DSEs by .0375 and enter the grand total 3.75 percent fees on line 2, block 3, of space L. Important: The sum of the gross receipts reported for each part 9 used in steps 1 and 2 must equal the amount reported in space K.</p> <p>Use part 9, block B, to compute a syndicated exclusivity surcharge for any wholly or partially permitted distant signals from step 1 that is subject to this surcharge.</p> <p>Use part 9, Block A, of the DSE Schedule to report each distant multicast stream of programming that is transmitted from a primary television broadcast signal. Only the base rate fee should be computed for each multicast stream. The 3.75 Percent Rate and Syndicated Exclusivity Surcharge are not applicable to the secondary transmission of a multicast stream.</p> <p>You must report but not assign a DSE value for the retransmission of a multicast stream that is the subject of a written agreement entered into on or before June 30, 2009 between a cable system or an association representing the cable system and a primary transmitter or an association representing the primary transmitter.</p> |



|             |                                      |  |
|-------------|--------------------------------------|--|
| <b>Name</b> | LEGAL NAME OF OWNER OF CABLE SYSTEM: |  |
|-------------|--------------------------------------|--|

**BLOCK B: COMPUTATION OF SYNDICATED EXCLUSIVITY SURCHARGE FOR EACH SUBSCRIBER GROUP**

If your cable system is located within a top 100 television market and the station is *not exempt* in Part 7, you must *also* compute a Syndicated Exclusivity Surcharge. Indicate which major television market any portion of your cable system is located in as defined by section 76.5 of FCC rules in effect on June 24, 1981:

☐ First 50 major television market
☐ Second 50 major television market

In line 1, give the total DSEs by subscriber group for commercial VHF Grade B contour stations listed in block A, part 9 of this schedule.

In line 2, give the total number of DSEs by subscriber group for the VHF Grade B contour stations that were classified as Exempt DSEs in block C, part 7 of this schedule. If none enter zero.

In line 3, subtract line 2 from line 1. This is the total number of DSEs used to compute the surcharge.

Compute the surcharge for each subscriber group using the formula outlined in block D, section 3 or 4 of part 7 of this schedule. In making this computation, use gross receipts figures applicable to the particular group. You do not need to show your actual calculations on this form.

| FIRST SUBSCRIBER GROUP                                                                                                                                                                                                               | SECOND SUBSCRIBER GROUP                                                                                                                                                                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Line 1: Enter the VHF DSEs .....<br>Line 2: Enter the Exempt DSEs .....<br>Line 3: Subtract line 2 from line 1 and enter here. This is the total number of DSEs for this subscriber group subject to the surcharge computation ..... | Line 1: Enter the VHF DSEs .....<br>Line 2: Enter the Exempt DSEs .....<br>Line 3: Subtract line 2 from line 1 and enter here. This is the total number of DSEs for this subscriber group subject to the surcharge computation ..... |
| First Group ..... \$ .....                                                                                                                                                                                                           | Second Group ..... \$ .....                                                                                                                                                                                                          |

| THIRD SUBSCRIBER GROUP                                                                                                                                                                                                               | FOURTH SUBSCRIBER GROUP                                                                                                                                                                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Line 1: Enter the VHF DSEs .....<br>Line 2: Enter the Exempt DSEs .....<br>Line 3: Subtract line 2 from line 1 and enter here. This is the total number of DSEs for this subscriber group subject to the surcharge computation ..... | Line 1: Enter the VHF DSEs .....<br>Line 2: Enter the Exempt DSEs .....<br>Line 3: Subtract line 2 from line 1 and enter here. This is the total number of DSEs for this subscriber group subject to the surcharge computation ..... |
| Third Group ..... \$ .....                                                                                                                                                                                                           | Fourth Group ..... \$ .....                                                                                                                                                                                                          |

|                                                                                                                                                                                                                                                                                                                                                                  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <div style="display: flex; justify-content: space-between;"> <div>           Add the surcharge for each subscriber group as shown in the boxes above. Enter here and in block 4, line 2 of space L (page 7) .....         </div> <div style="border: 1px solid black; width: 150px; height: 30px; text-align: center;">           \$ .....         </div> </div> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"><li>• You are the owner (or represent the owner) of a cable system; and</li><li>• You are filing the semiannual statement of account required by the copyright law; and</li><li>• Your system's semiannual gross receipts for secondary transmissions (the figure you give in space K of the form) is and</li><li>• You are also depositing the required semiannual royalty fee with the Licensing Section of the Copyright Office.</li></ul> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

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Cable systems are subject to copyright liability for their use of copyrighted material in “secondary transmissions” (the retransmission of television and radio broadcasts to subscribers). Cable retransmissions of copyrighted programming are subject to a system of “statutory licensing.” Among other things, this means that twice a year the owner of a cable system must send a statement of account, together with a royalty fee, to the Licensing Section of the Copyright Office.

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A primary stream is the single digital stream of programming that, before June 12, 2009, was substantially duplicating the programming transmitted by the television broadcast station as an analog signal. If there is no stream, then the primary stream is the single digital stream of programming transmitted by the television broadcast station for the longest period of time.

A primary transmitter is a television or radio broadcast station licensed by the Federal Communications Commission, or by an appropriate governmental authority of Canada or Mexico, that makes primary transmissions to the public.

The term subscriber means a person or entity that receives a secondary transmission service from a cable system and pays a fee for the service, directly or indirectly, to the cable system.

The term subscribe means to elect to become a subscriber.

In providing copyright liability for cable systems, the law draws a distinction between “primary transmissions” and “secondary transmissions”:

- A primary transmission is a transmission made to the public by a transmitting facility whose signals are being received and further transmitted by a



copyright royalty and filing fees. See the *Federal Register*, August 10, 2006 (71 FR 45739) available at [www.copyright.gov/fedreg/2006/71fr45739.html](http://www.copyright.gov/fedreg/2006/71fr45739.html). The remittance should be payable to *Register of Copyrights*. If you are using Pay.gov, contact your bank to determine if your account will accept an Automated Clearing House (ACH) debit.

Send the completed statement of account, one legible copy of the statement of account, all continuation sheets, and the DSE schedule, if required, to

*Library of Congress  
Copyright Office-LS  
101 Independence Avenue SE  
Washington, DC 20557-6400*

Courier deliveries are accepted in the James Madison Memorial Building, room LM-401, at the address above.

Please send pages consisting solely of instructions. Also note that if replying to Licensing Section correspondence or if submitting revisions or amendments to your original SOA, please do not include extra photocopies of the SOA pages affected. Only one original version of revised SOA pages is required with your reply letter, together with a single copy of any Licensing Section correspondence.

The Copyright Office will retain your statement of account and make it a part of our public records. You should therefore keep a copy of the entire statement, as filed, in case you need it for future reference.

For detailed instructions concerning electronic payments, see <https://www.copyright.gov/licensing/> under electronic funds transfer (EFT) information.

In general, having a statutory license means that a cable system can retransmit broadcast programming without violating the copyright law, as long as it complies with certain paperwork requirements and, twice a year, deposits a royalty fee with the Copyright Office.

- The cable system can, without negotiated licenses or advance permission from copyright owners, retransmit signals of any U.S. television or radio stations that it is authorized to carry under FCC rules, regulations, or authorizations (plus Mexican or Canadian stations in certain cases); and
- The cable system must file statements of account with the Copyright Office and must also deposit a semiannual royalty. The amount of the royalty, which is established under a statutory formula, depends on the total of the system's gross receipts for secondary transmission service.
- Every six months, the cable system must send the Copyright Office a statement of account on this form, SA3 (Long Form), or on SA1-2 (Short Form) (if the system's gross receipts for the accounting period are less than \$527,600).

- Each semiannual statement of account must be accompanied by the deposit of a royalty fee covering retransmissions during the preceding six months in the form of an electronic payment payable to *Register of Copyrights*.

Most television and radio broadcasts contain copyrighted material. Without a statutory license, a cable system would either have to negotiate licenses for all copyrighted programming it retransmits or run the risk of substantial civil (or, in some cases, criminal) liability for multiple acts of copyright infringement.

Under the statute and Copyright Office regulations, retransmissions are subject to statutory licensing only if they are made by cable systems.

- A "cable system" is defined as "a facility, located in any State, territory, trust territory, or possession of the United States, that in whole or in part receives signals transmitted or programs broadcast by one or more television broadcast stations licensed by the Federal Communications Commission, and makes secondary transmissions of such signals or programs by wires, cables, microwave, or other communications channels to subscribing members of the public who pay for such service." A system that meets this definition is considered a cable system for copyright purposes, even if the FCC excludes it from being considered a cable system because of the number or nature of its subscribers or the nature of its secondary transmissions.
- An "individual" cable system is defined generally as "each cable system recognized as a distinct entity under the rules, regulations, and practices of the Federal Communications Commission." In addition, two or more cable facilities are considered as one individual cable system if either (a) the facilities are in contiguous communities and are under common ownership or control, or (b) the facilities operate from one headend. Thus, even if they are owned by different entities, two cable facilities will be considered as one individual cable system if they share a common headend.

The statutory authority given to cable systems to retransmit television and radio broadcasts under a statutory license is limited in several ways:

- To repeat: a cable system's statutory license extends only to secondary transmissions (retransmissions). It does not permit the system to make any originations of copyrighted material without a negotiated license covering that material.
- In general, to be subject to statutory licensing under the copyright law, a cable retransmission must be simultaneous with the broadcast being carried. As a rule, taping or other recording of the program is not permitted. Taping for delayed retransmis-

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**FCC violations.**

**Foreign signals.**

**Program alteration or commercial substitution.** Cable

**Accounting Periods**

**Filing Dates**

|                                          |                     |
|------------------------------------------|---------------------|
| <i>July 1 and August 29, inclusive;</i>  | <i>File between</i> |
| <i>January 1 and March 1, inclusive.</i> | <i>File between</i> |

**Note:**

- As long as a cable system keeps its statutory license in force by complying with the requirements of the new copyright law, it is not obliged to negotiate individual copyright licenses for retransmission of television and radio broadcasts.
- The following are among the various ways a cable system can lose its statutory license: by failing to file the statements of account or royalty fees; by taping for delayed retransmission; by carrying signals in violation of FCC requirements; by carriage of certain foreign stations; and by altering programs or substituting commercials.
- Without a statutory license, a cable system can be sued by a copyright owner for the full range of civil remedies for copyright infringement, including injunctions, actual damages and profits, or statutory damages (of up to \$150,000 in cases of willful infringement). The statute also provides for criminal penalties in cases of willful infringements for commercial purposes.

All of the information you give in a statement of account must be an accurate presentation of the facts existing during the accounting period covered by that statement (or, in certain cases, on the last day of that period).

- List all areas served, stations carried, and certain substitute or part-time programs carried at any time during the accounting period.
- You should report the total of gross receipts attributable to the particular accounting period in space K. The figures requested in space M should be the appropriate totals of channels for the entire period.
- Even if items of information concerning the owner, system, subscribers, or rates have changed during the accounting period, your statement of account does not need to reflect the change. Give only the facts existing on the last day of the accounting period. If there were different owners during the accounting period, only the owner on the last day of the accounting period should submit a single statement of account and royalty fee payment covering the entire accounting period.

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**Stations Actually Carried.** Make sure that space G lists all the television stations your system actually carried at any time during the accounting period (except as explained in space G of the form). Do not list stations that were not in fact

carried during that period, even if the FCC has authorized their carriage, and even if they were carried during earlier accounting periods.

### **Low Power Television Stations**

- For filings beginning with the January 1–June 30, 2015, accounting period, the Copyright Act in section 111(f) delineates the local status of a low power television station as follows: “In the case of a low power television station, as defined by the rules and regulations of the Federal Communications Commission, the ‘local service area of a primary transmitter’ comprises the designated market area, as defined in section 122(j)(2)(C), that encompasses the community of license of such station and any community that is located outside such designated market area that is either wholly or partially within 35 miles of the transmitter site or, in the case of such a station located in a standard metropolitan statistical area which has one of the 50 largest populations of all standard metropolitan statistical areas (based on the 1980 decennial census of population taken by the Secretary of Commerce), wholly or partially within 20 miles of such transmitter site.”
- For filings prior to the January 1–June 30, 2015, accounting period, in the case of low power television stations, the “‘local service area of a primary transmitter’ comprises the area within 35 miles of the transmitter site, except that in the case of such a station located in a standard metropolitan statistical area which has one of the 50 largest populations of all standard metropolitan statistical areas (based on the 1980 decennial census of population taken by the Secretary of Commerce), the number of miles shall be 20 miles.”
- This means that a low power television station carried by a cable system within an area as defined above will be considered “local.” A low power television station should be identified as “distant” in column 4 of space G if it is carried by your cable system in whole or in part beyond the low power television station’s local service area.

### **Translator Stations**

Translator stations must be listed. For copyright purposes, a translator station is a primary transmitter not only of any programs it originally transmits but also of all the programming it receives from its parent station and retransmits. Thus, if your cable system carried signals emanating from a translator station, you must list the translator station in space G. And, if your system separately carried signals from both a translator station and its “parent” station, both the translator and the parent station should be identified.

For any translator station listed in space G, the type of station indicated in column 3 should be that of the parent station.

As explained below in these general instructions for space G, a television station is considered a distant station if the cable system is carrying the station wholly or partly beyond that station's local service area. The determination of whether a translator station should be identified as distant in column 4 of space G depends on the local service area of the translator, not that of the parent station.

**Multicast stream.** A multicast stream is a digital stream of programming that is transmitted by a television broadcast station and is not the station's primary stream.

**Simulcast.** A simulcast is a multicast stream of a television broadcast station that duplicates the programming transmitted by the primary stream or another multicast stream of such station.

**Definitions of Terms Under the Copyright Act.** The terms used in connection with column 3 of space G mean the following:

A primary stream of a television broadcast station that is owned or operated by, or affiliated with, one or more of the television networks in the United States providing nationwide transmissions, and that transmits a substantial part of the programming supplied by such networks for a substantial part of the primary stream's typical broadcast day.

The term network station also applies to a multicast stream on which a television broadcast station transmits all or substantially all of the programming of an interconnected program service that is owned or operated by, or affiliated with, one or more of the television networks described above and offers programming on a regular basis for 15 or more hours per week to at least 25 of the affiliated television licensees of the interconnected program service in 10 or more states.

A primary stream or multicast stream of a television broadcast station that is not a network station or a noncommercial educational station. For purposes of determining a station's type-value this category includes all specialty, Canadian and Mexican stations.

A primary or multicast stream of a television broadcast station that is a noncommercial educational broadcast station which is owned and operated by a public agency or nonprofit private foundation, corporation, or association; or owned and operated by a municipality and which transmits only noncommercial programs for educational purposes.

In general, the 'local service area of a primary transmitter', in the case of both the primary stream and any multicast streams transmitted by a primary transmitter that is a television broadcast station, comprises the area where such primary transmitter could have insisted upon its signal being retransmitted by a cable system pursuant to rules, regulations, and authorizations of the FCC in effect on April 15, 1976. Effective July 1, 1994, a station's local service area

also includes the station's television market as defined in section 76.55(e) of title 47, *Code of Federal Regulations* (as in effect on September 18, 1993), or any modifications to such television market made on or after September 18, 1993, pursuant to section 76.55(e) or 76.59 of title 47 of the *Code of Federal Regulations* or within the noise-limited contour as defined in 73.622(e)(1) of title 47, *Code of Federal Regulations*. For the full definition see Section 111 (f) of the Copyright Act.

**Basis of Carriage of Distant Stations.** You are asked to identify the basis on which you carried the signals of distant television stations during the accounting period. The **Basis of Carriage of Distant Stations** section of the **Form SA3** provides the following information:

- 1 Due to changes in FCC rules, it is no longer possible for cable systems to specify part-time carriage of specialty and late-night programming. Carriage by your cable system on either of those bases must now be included in category "O" cited above.
- 2 The "basis of carriage" to be identified in column 5 does not include *substitute carriage*. If a station was carried only on a substitute basis, you need not list it in space G but you must list it in space I. A station carried on a substitute basis, and also on some other basis, must be logged in space G and space I.
- 3 A part-time carriage log (space J) must be provided for stations carried on a LAC basis.

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being broadcast by a station as part of a network television broadcast at the time the cable system carried it.

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“distant station” is a television station carried by a cable system in whole or in part beyond that station’s local service area. For the definition of “local service area,” see the “Distant Station” section on page iv of the general instructions.

- Where FCC rules and regulations in effect on the date of carriage require the deletion of certain programming of one station and permit substitution of programming from another distant station. That is, if a cable system is required to delete a station because of FCC program or sports exclusivity rules.
  - Where the FCC rules, regulations, and authorizations in effect on October 19, 1976, permit a cable system at its option to delete programming and authorize the system to substitute programming from another distant station. That is, if the cable system elects to delete a distant station while that station is broadcasting a program primarily of local interest to the distant community, for copyright purposes former FCC rules sections 76.61(b) (2) and 76.63 [incorporating 76.61(b)(2)] continue to authorize the station to substitute the programming of any other distant station.
- 1 The provisions of the Copyright Act dealing with voluntary deletion and substitution of programs are limited to programs substituted under FCC rules, regulations, and authorizations *in effect on October 19, 1976*.
  - 2 Effective January 1, 1990, the FCC amended Parts 73 and 76 of its rules relating to program exclusivity in the cable and broadcast industries.

and the DSE calculations shall be made against the aggregated amount. Gross receipts for secondary transmission services do not include installation (including connection, relocation, disconnection or reconnection) fees; separate charges for security, alarm, or facsimile services; charges for late payments; or charges for pay cable or other program origination services provided that the origination services are not offered in combination with secondary transmission service for a single fee.

- The Satellite Home Viewer Act of 1988, Public Law 100-667, as amended by Public Law 103-369, Public Law 106-113, Public Law 108-447, Public Law 111-175, and Public Law 113-200, establishes a statutory license for certain secondary transmissions made by satellite carriers to satellite dish owners. Satellite carriers are subject to copyright liability for their use of copyrighted material when they make secondary transmissions (retransmissions of television broadcasts) to satellite dish owners and they make a direct or indirect charge for that service. Satellite carrier retransmissions of the copyrighted programming embodied in the signals of non-network stations or network stations are eligible under an operational system of statutory licensing that is established in section 119 of the Copyright Act.
- A *satellite carrier* is defined in the Satellite Home Viewer Extension and Reauthorization Act of 2004 as “an entity that uses the facilities of a satellite or satellite service licensed by the Federal Communications Commission, and operates in the Fixed-Satellite Service under part 25 of title 47 of the *Code of Federal Regulations* or the Direct Broadcast Satellite Service under part 100 of title 47 of the *Code of Federal Regulations*, to establish and operate a channel of communications for point-to-multipoint distribution of television station signals, and that owns or leases a capacity or service on a satellite in order to provide such point-to-multipoint distribution, except to the extent that such entity provide such distribution pursuant to tariff under the Communications Act of 1934, other than for private home viewing pursuant to Section 119.”
- As provided in the Satellite Home Viewer Act [amendment of section 111(d)(1)(A)], any amounts collected by a cable system or distributor from subscribers should be excluded from the cable system’s determination of gross receipts received for the basic service of providing secondary transmissions of primary broadcast transmitters pursuant to the cable compulsory license, section 111 (c) to (f). This provision contemplates the situation where the same entity may be offering both satellites and cable distribution of secondary transmissions of primary broadcast transmitters.
- If a cable system offers both satellite and cable services to satellite dish owners, then it may exclude those amounts attributed to the satellite service under section 119 of the

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**What Are Gross Receipts?** Enter in secondary transmissions of primary broadcast transmitters. They include the full amount of monthly (or other periodic) service fees for any and all services or tiers of services that include one or more secondary transmissions of television or radio broadcast signals, for additional set fees, and for converter fees. All such gross receipts shall be aggregated



act. Such a system should declare on page 9 the amount of gross receipts that are excluded for this service and list the name and address of each satellite carrier in which the system has contracted as a distributor or agent to market the carrier's retransmissions service. The system should also maintain separate records of the subscriber fees received for satellite carrier retransmissions.

**Accrual Basis.** If your revenue accounts are kept on an accrual basis, the figure you give in space K should be the total of all gross receipts for secondary transmission service accrued for the accounting period. Subtract bad debts actually written off during the period and add previously written-off debts that were actually recovered during the period.

**Cash Basis.** If your revenue accounts are kept on a cash basis, your gross receipts are all amounts actually received during the accounting period for secondary transmission service.

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The method for calculating your royalty fee depends upon whether your system carried any distant stations and, if so, the number you carried. If the system did not carry any distant stations, you pay a minimum fee, which is calculated in space L.

If the system carried any distant stations, you must compute distant signal equivalents (DSEs) in parts 1 through 5 of the DSE schedule accompanying this form. Each cable system regardless of whether or not it is located in a television market area must compute a base rate fee if it carried any distant stations. This figure is computed in part 8 of the DSE schedule and is entered in block 3 of space L. (NOTE: Unless the system is located totally outside of all television markets, part 8 cannot be completed until parts 6 and 7 have been completed.) If any portion of the cable system is located within a television market area as defined by the FCC and that system carried any nonpermitted distant television stations, then you must compute the 3.75 fee in part 6 of the DSE schedule. This figure is to be entered on line 2, block 3 of space L. The base rate fee and the 3.75 percent fee are then added together and the sum compared to the minimum fee. Either the minimum fee or the sum of the base rate fee and the 3.75 percent fee (whichever is greater) is listed on line 1, block 4.

Additionally, if any portion of the cable system is located within a top 100 major market area as defined by the FCC and that system carried any distant stations, then you may need to compute the Syndicated Exclusivity Surcharge in part 7 of the DSE schedule. This figure is to be entered on line 2, block 4 of space L. The total copyright royalty fee due is computed in block 4 of space L by adding together (1) the minimum fee or the sum of the base rate fee/3.75 percent fee (whichever is greater), (2) the Syndicated Exclusivity Surcharge, (3) the interest charge and (4) the filing fee.

In computing DSEs on the DSE schedule, you may round off to no less than the third decimal point. If you round off a DSE in any case, you must round

off DSEs throughout the schedule as follows:

- When the fourth decimal point is 1, 2, 3, or 4, the third decimal remains unchanged—(example: .34647 is rounded to .346)
- When the fourth decimal point is 5, 6, 7, 8, or 9, the third decimal is rounded up—(example: .34651 is rounded to .347)

Include the \$725.00 filing fee payment.

Cable systems submitting additional deposits under Section 111(d)(7) should contact the Licensing Section for the appropriate form for submitting the additional fees.

Underpayments or late payments received after the filing deadline shall be subject to an interest assessment. Cable systems must calculate their own interest charge. (A worksheet is provided at space Q, page 9.) The interest rate set for a specific accounting period is the U.S. Treasury Current Value of Funds Rate in effect on the first business day after the close of the filing deadline for that accounting period. Cable systems may obtain the interest rate for the applicable accounting period(s) by calling the Licensing Section at (202) 707-8150 or by clicking on to [www.copyright.gov/licensing/interest-rate.pdf](http://www.copyright.gov/licensing/interest-rate.pdf).

For underpayments and late payments the interest shall begin to accrue on the first day after the close of the filing date for that accounting period. The accrual period ends on the date that the remittance is received in the Copyright Office. The Office shall not require, nor notify a cable system of, an interest charge of \$5.00 or less.