

# NF- $\kappa$ B and STAT3 – key players in liver inflammation and cancer

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Hepatocellular carcinoma (HCC), the major form of primary liver cancer, is one of the most deadly human cancers. The pathogenesis of HCC is frequently linked with continuous hepatocyte death, inflammatory cell infiltration and compensatory liver regeneration. Understanding the molecular signaling pathways driving or mediating these processes during liver tumorigenesis is important for the identification of novel therapeutic targets for this dreadful disease. The classical IKK $\beta$ -dependent NF- $\kappa$ B signaling pathway has been shown to promote hepatocyte survival in both developing and adult livers. In addition, it also plays a crucial role in liver inflammatory responses by controlling the expression of an array of growth factors and cytokines. One of these cytokines is IL-6, which is best known for its role in the liver acute phase response. IL-6 exerts many of its functions via activation of STAT3, a transcription factor found to be important for HCC development. This review will focus on recent studies on the roles of NF- $\kappa$ B and STAT3 in liver cancer. Interactions between the two pathways and their potential as therapeutic targets will also be discussed.

**Keywords:** NF- $\kappa$ B; STAT3; signaling transduction; inflammation; carcinogenesis; liver cancer; HCC

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## Introduction

Nearly 25 years ago, Dvorak recognized that the composition of the tumor stroma is very similar to that of granulation tissue of healing skin wounds. He therefore suggests that tumors are wounds that do not heal [1]. Careful examination of the many phases of wound healing and tumorigenesis reveals even more extensive similarities between these two processes [2]. Importantly, the human body mounts inflammatory responses in both situations aiming to clear dead cells and restore the tissue integrity [2]. However, unlike the normal wound healing process that is tightly regulated both in extent and in duration, the inflammatory response during cancer development is not self-limited.

It is estimated that about 15% of human cancers are associated with chronic infections and inflammation [3].

The best examples of inflammation- and infection-associated cancers include colon cancer and inflammatory bowel diseases, gastric cancer and chronic *Helicobacter pylori* infection [4], and hepatocellular carcinoma (HCC) following chronic hepatitis virus infection [5]. Persistent infections and inflammation in these organs lead to continuous cell death and long-lasting local infiltration of inflammatory cells [3]. Even those cancers, whose development is not associated with pre-existing infection or inflammation, are accompanied by massive inflammatory cell recruitment into the tumor, a phenomenon which led Virchow to his original suggestion that inflammation and cancer are linked [6]. This inflammatory response is likely caused by necrotic cell death in the core of rapidly growing tumor mass due to lack of oxygen and nutrients. Continuous cell death and inflammatory cell infiltration during cancer development are accompanied with production of a great number of cytokines, chemokines and growth factors, favoring increased cellular proliferation [3]. In addition, reactive oxygen and nitrogen species generated by both oncogene-expressing cells and inflammatory cells could cause oxidative damage to host DNA, resulting in activation of oncogenes and/or inactivation

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of tumor suppressor genes and various epigenetic changes that favor tumor progression. Therefore, etiologies that influence either cell survival or ensuing inflammatory responses are likely to have an impact on the course of tumor development.

HCC, which is refractory to nearly all currently available anti-cancer therapies, is the third leading cause of cancer-related deaths worldwide [7]. HCC frequently develops in patients who are chronically infected with one of two hepatitis viruses, HBV or HCV [8, 9]. Chronic HBV/HCV infection in the liver results in hepatocyte death and inflammatory cell infiltration. Virus-infected hepatocytes are killed by host immune cells as well as by intrinsic cytopathic effects of either HBV or HCV [10, 11]. Continuing hepatocyte death triggers long-lasting compensatory liver repair and regeneration and eventually leads to severe liver fibrosis or cirrhosis. Although the mechanisms underlying chronic HBV/HCV infection-induced HCC development are not fully elucidated due to the absence of suitable mouse systems, cycles of hepatocyte death, inflammatory cell infiltration and compensatory regeneration/proliferation in the infected liver are thought to play a crucial role [12].

Multiple signaling pathways are involved in this injury-inflammation-regeneration response and in human HCC development. IKK $\beta$ -dependent classical NF- $\kappa$ B signaling, a regulator of cell survival, immunity and inflammation, is one of the more important pathways that is activated during liver injury and inflammation and has been studied quite extensively in mouse models of liver carcinogenesis. STAT3 is another transcriptional factor involved in immune responses, inflammation and tumorigenesis, and was found to be critical for compensatory liver regeneration and chemically-induced HCC development. This review will focus on recent findings regarding the roles of NF- $\kappa$ B and STAT3 signaling in liver injury, inflammation and cancer. Interestingly, the two transcription factors and their activation pathways do not act in isolation and are engaged in extensive crosstalk, which will also be discussed in this review.

### IKK/NF- $\kappa$ B signaling pathway in HCC development

NF- $\kappa$ B, a collection of dimeric transcription factors, first identified based on their interaction with the immunoglobulin light-chain enhancer in B cells [13], are present in all cells [14]. Seven distinct NF- $\kappa$ B proteins can form a variety of dimers, not all of which are active. These proteins include: NF- $\kappa$ B1 (p105 and p50), NF- $\kappa$ B2 (p100 and p52), RelA (p65), RelB and c-Rel. In non-stimulated cells, most NF- $\kappa$ B dimers are retained in the

cytoplasm by binding to inhibitory I $\kappa$ B proteins, except for the dimers formed by p105 and p100, which are inactive and contain intrinsic I $\kappa$ B-like-moieties. In response to proinflammatory stimuli, such as tumor necrosis factor (TNF) or interleukin 1 $\beta$  (IL-1 $\beta$ ), the I $\kappa$ B kinase (IKK) complex, composed of the IKK $\alpha$  and IKK $\beta$  catalytic subunits and the IKK $\gamma$  regulatory subunit is activated, resulting in I $\kappa$ B phosphorylation and eventual ubiquitin-mediated degradation, leading to the nuclear entry of freed NF- $\kappa$ B dimers [15]. Of the two catalytic subunits, IKK $\beta$  is the one which is most critical for I $\kappa$ B degradation, forming the core of what is known as the classical NF- $\kappa$ B activation pathway. By contrast, IKK $\alpha$  is required for the inducible processing of the inactive p100 protein to its active derivative p52, thus forming the core of the so called alternative NF- $\kappa$ B pathway [15, 16].

A link between NF- $\kappa$ B and cancer first became evident with the cloning of RelA and the realization of its close kinship with the viral oncoprotein v-Rel [17]. The view was further supported by observations of activated NF- $\kappa$ B in many human cancers [18]. In addition, the Bcl-3 oncogene, activated by chromosomal translocation in B-cell chronic lymphocytic leukemia, was identified as a member of the I $\kappa$ B family [19, 20]. More recently, mutations in upstream components of the IKK-NF- $\kappa$ B signaling system were identified in multiple myeloma and are thought to lead to cell autonomous activation of NF- $\kappa$ B, thereby enhancing cell survival and proliferation [21, 22]. However, extensive search failed to identify NF- $\kappa$ B-activating mutations in most other cancers and most likely cancer-associated constitutive NF- $\kappa$ B activities are the result of exposure to pro-inflammatory stimuli in the tumor microenvironment.

### *Hepatocyte IKK-dependent NF- $\kappa$ B signaling suppresses liver cancer development by promoting hepatocyte survival*

A key role of NF- $\kappa$ B in liver homeostasis was first revealed by studying RelA/p65 deficient mice, which suffer embryonic lethality with extensive liver apoptosis and degeneration [23]. This liver apoptosis is induced by TNF and backcrossing of p65 knockout mice with TNF or TNF receptor 1 (TNFR1)-deficient mice prevents liver damage and the lethal phenotype [23-25]. Later on, IKK $\beta$  [26, 27] and IKK $\gamma$  [28, 29] knockout mice were found to exhibit very similar phenotypes. These genetic studies clearly demonstrate an anti-apoptotic role for IKK-dependent NF- $\kappa$ B signaling in hepatocytes, mainly during early liver development.

The role of IKK-dependent classical NF- $\kappa$ B signaling in adult mouse liver physiology, however, is more complex. Mice with hepatocytes-specific ablation of IKK $\beta$

(Alb-Cre/*Ikk $\beta$* <sup>F/F</sup> or *Ikk $\beta$* <sup>Δhep</sup> mice) develop normally and their livers are not even sensitive to administration of LPS, a strong TNF inducer [30, 31]. However, careful analysis suggests that residual hepatocyte IKK activity (presumably from IKK $\alpha$ ) in *Ikk $\beta$* <sup>Δhep</sup> mice may be sufficient for TNF-induced NF- $\kappa$ B activation, which is completely blocked by an additional ablation of IKK $\alpha$  (*Ikk $\alpha$ /Ikk $\beta$* <sup>Δhep</sup> mice) [30]. These results suggest that IKK $\alpha$  and IKK $\beta$  in adult hepatocytes may have somewhat redundant functions in suppressing apoptosis and necrosis. Indeed, unlike *Ikk $\beta$* <sup>Δhep</sup> mice, *Ikk $\alpha$ /Ikk $\beta$* <sup>Δhep</sup> mice or mice deficient of the regulatory component IKK $\gamma$  in hepatocytes (*Ikk $\gamma$* <sup>Δhep</sup> mice) suffer from extensive hepatocyte death and liver failure upon TNF-inducing challenges [30, 32]. Furthermore, *Ikk $\gamma$* <sup>Δhep</sup> or *Ikk $\alpha$ /Ikk $\beta$* <sup>Δhep</sup> mice exhibit spontaneous liver damage, which is not seen in *Ikk $\beta$* <sup>Δhep</sup> mice [31–33]. Like *Ikk $\beta$* <sup>Δhep</sup> mice, mice deleted of RelA in hepatocytes (*RelA*<sup>Δhep</sup> mice) are also healthy unless challenged and exposed to TNF. Based on available evidence, it is safe to conclude that the IKK/NF- $\kappa$ B pathway is important for hepatocyte survival and maintenance of liver homeostasis in response to various environmental challenges that can induce the production of TNF and other hepatotoxic cytokines.

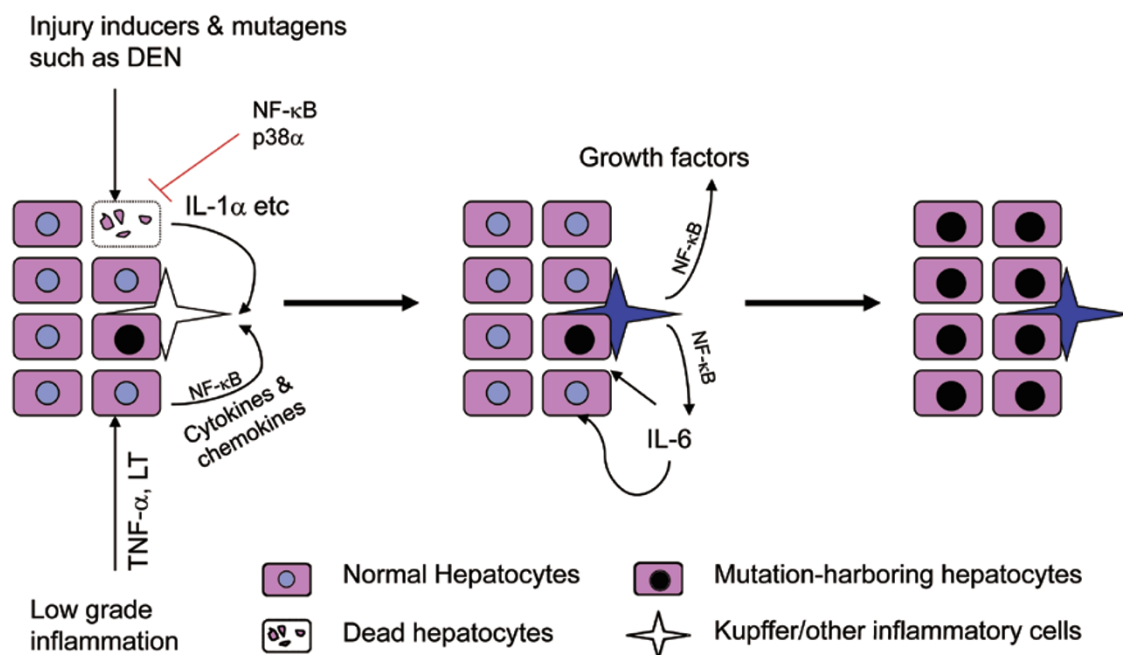
The activated IKK/NF- $\kappa$ B pathway may play a tumor-promoting role by protecting tumor cells from death or enhancing their proliferation. This hypothesis was first tested in a mouse model of azoxymethane (AOM)+dextrane sulfate sodium (DSS)-induced colitis-associated cancer (CAC). Conditional disruption of the *Ikk $\beta$*  gene in intestinal epithelial cells (IEC) resulted in increased apoptotic elimination of AOM-induced premalignant cells and greatly reduced the development of colonic adenomas [34]. However, strikingly different results were obtained in the diethylnitrosamine (DEN)-induced mouse HCC model. DEN is a pro-carcinogen that, upon metabolic activation in zone 3 hepatocytes, forms bulky DNA adducts [35]. Upon subsequent cell proliferation, some of these DNA adducts are fixed into permanent genetic alterations that may cause activation of oncogenes, such as  $\beta$ -catenin (He and Karin, unpublished results). A single dose of DEN given to two-week-old mice is sufficient to induce HCC in 100% of male mice. However, when DEN is given to male mice that are older than 4 weeks of age, it is no longer effective in HCC induction on its own and requires assistance from other tumor promoters, such as phenobarbital. This age-dependent difference in carcinogenic efficacy is not likely to be due to altered metabolic activation of DEN [36, 37]. The main reason that DEN is not a complete carcinogen in mice that are more than 4 weeks old is the nearly complete absence of proliferating hepatocytes [38].

Thus, any agent that induces hepatocyte proliferation should function as a tumor promoter. Indeed, partial hepatectomy after DEN administration results in effective hepatocarcinogenesis in older mice [38]. It was found that liver-specific disruption of IKK $\beta$  greatly enhances DEN-induced hepatocyte death relative to wild-type mice [39]. Although this may enhance the elimination of DEN-damaged hepatocytes, it should be noted that enhanced hepatocyte death also results in enhanced compensatory proliferation. Consequently, *Ikk $\beta$* <sup>Δhep</sup> mice are 3–4 fold more susceptible to DEN-induced HCC development than wild-type mice [39]. An even more striking effect on HCC development is seen upon the conditional deletion of hepatocyte IKK $\gamma$ /NEMO [33]. In this case, *Ikk $\gamma$* <sup>Δhep</sup> mice exhibit spontaneous liver damage and sequentially develop hepatosteatosis, hepatitis, liver fibrosis, and HCC without any known exposure to a carcinogen [33].

Multiple mechanisms were proposed to explain the pro-survival function of the IKK/NF- $\kappa$ B pathway, which can either enhance tumor development (as it does in the colon) or attenuate tumor development (as it does in the liver) [40]. In the liver a critical pro-survival mechanism involves NF- $\kappa$ B's ability to maintain anti-oxidant defenses by controlling the expression of several key reactive oxygen species (ROS)-scavenging proteins [41, 42]. Mice that lack IKK $\beta$  exhibit extensive ROS accumulation in their livers shortly after injection of DEN, whose metabolism in zone 3 hepatocytes results in ROS production [39]. Increased ROS accumulation is also seen in livers of unchallenged *Ikk $\gamma$* <sup>Δhep</sup> mice [33]. ROS accumulation in the liver can be prevented by dietary administration of the potent anti-oxidant butylated hydroxyanisole (BHA). Indeed, liver damage, compensatory proliferation and hepatocarcinogenesis in both *Ikk $\beta$* <sup>Δhep</sup> and *Ikk $\gamma$* <sup>Δhep</sup> mutant mice are reversed by BHA consumption [33, 39]. Excessive ROS accumulation promotes cell death through various mechanisms, including prolonged JNK activation [41]. In support of this view, increased JNK phosphorylation and kinase activity are observed in livers of *Ikk $\gamma$* <sup>Δhep</sup> mice and DEN-challenged *Ikk $\beta$* <sup>Δhep</sup> mice [33, 39]. Importantly, reduced hepatocyte death, less compensatory proliferation and suppressed hepatocarcinogenesis were observed upon crossing of *Ikk $\beta$* <sup>Δhep</sup> mice to *Jnk1*<sup>−/−</sup> mice [43]. Therefore, the IKK/NF- $\kappa$ B pathway maintains hepatocyte survival by preventing ROS accumulation and excessive JNK activation, thereby reducing liver damage, proliferation and cancer development (Figure 1).

#### *Hepatocyte IKK/NF- $\kappa$ B promotes HCC development by maintaining liver inflammatory responses*

In sharp contrast to the tumor-suppressing role of



**Figure 1** Roles of NF- $\kappa$ B signaling in hepatocarcinogenesis. In the injury-promoted DEN hepatocarcinogenesis model, Kupffer cells are activated by IL-1 $\alpha$  released from dying hepatocytes. Hepatocyte NF- $\kappa$ B (and p38 $\alpha$ ) inhibits this process by promoting hepatocyte survival. In low-grade, chronic, inflammation-promoted HCC models, hepatocytes with activated NF- $\kappa$ B produce cytokines and chemokines which activate Kupffer cells and recruit and activate other inflammatory cells and thereby maintain an inflammatory microenvironment. In both models, activated Kupffer cells produce cytokines and growth factors, such as IL-6, that are essential for the expansion of mutated hepatocytes and subsequent HCC development. Production of such cytokines by Kupffer cells is NF- $\kappa$ B dependent.

hepatocyte IKK/NF- $\kappa$ B signaling in the mouse models described above, in other HCC models the NF- $\kappa$ B pathway was found to promote tumor development. The first example came from the elegant work of Pikarsky and colleagues [44]. They employed *Mdr2*<sup>-/-</sup> mice, which spontaneously develop cholangitis due to defective cholesterol phospholipid secretion in the bile [45]. These mice developed low-grade chronic liver inflammation that eventually results in the development of HCC. It was found that NF- $\kappa$ B was activated in *Mdr2*<sup>-/-</sup> hepatocytes, although the initial stimulus leading to NF- $\kappa$ B activation has not been fully identified. NF- $\kappa$ B activation promotes low amounts of TNF production and paracrine TNF signaling maintains NF- $\kappa$ B activation in *Mdr2*<sup>-/-</sup> hepatocytes. Correspondingly, treatment of *Mdr2*<sup>-/-</sup> mice with a neutralizing TNF antibody inhibits NF- $\kappa$ B activation in hepatocytes and decreases expression of NF- $\kappa$ B-dependent anti-apoptotic genes [44]. The authors examined the tumorigenic function of hepatocyte NF- $\kappa$ B by expressing a nondegradable form of I $\kappa$ B $\alpha$  from a doxycycline-regulated liver-specific promoter and found that inhibition of NF- $\kappa$ B activation retarded and reduced HCC development in *Mdr2*<sup>-/-</sup> mice [44]. A similar tumor-

promoting role for hepatocyte NF- $\kappa$ B was observed in transgenic mice that express lymphotoxin (LT) $\alpha$ : $\beta$  heterotrimers in hepatocytes [46]. LT $\alpha$ : $\beta$  transgenic mice develop liver inflammation, evidenced by chronic penetration of T, B and dendritic cells into their livers and elevated production of cytokines such as IL-1 $\beta$ , IFN $\gamma$  and IL-6 [46]. Chronic liver inflammation is accompanied by increased hepatocyte proliferation that eventually leads to appearance of HCC in old mice. Crossing of LT $\alpha$ : $\beta$  transgenic mice with *Ikk $\beta$*  <sup>$\Delta$ hep</sup> mice prevented liver inflammation and reduced HCC development, suggesting that in this case IKK $\beta$  activation in hepatocytes is tumor promoting because it is required to sustain the chronic inflammatory response initiated by LT $\alpha$ : $\beta$  expression [46].

Notably in both *Mdr2*<sup>-/-</sup> and *LT $\alpha$ : $\beta$* -transgenic mice, HCC development depends on chronic low grade inflammation and no liver injury has been observed either prior to or subsequent to NF- $\kappa$ B inhibition [44, 46]. Thus in these models, in contrast to the injury-driven *Ikk $\beta$*  <sup>$\Delta$ hep</sup>+DEN and *Ikk $\gamma$*  <sup>$\Delta$ hep</sup> models, the main function of NF- $\kappa$ B in hepatocytes appears to be the production of cytokines that maintain the inflammatory microenvironment in which these tumors develop (Figure 1).



### *IKK/NF- $\kappa$ B in liver myeloid cells promotes liver cancer development through IL-6 and liver inflammatory responses*

Different environmental challenges and stimuli are sensed by resident myeloid cells (Kupffer cells in liver), which initiate an inflammatory response aimed to remove the insults and repair the injured tissue. Activated Kupffer cells produce a panel of inflammatory cytokines and growth factors in an IKK/NF- $\kappa$ B-dependent manner. In the DEN model, where hepatocyte IKK/NF- $\kappa$ B signaling was found to inhibit HCC development, activation of IKK $\beta$ /NF- $\kappa$ B in Kupffer cells promotes tumor development [39]. Deletion of IKK $\beta$  in liver myeloid cells in addition to hepatocytes diminished the production of pro-inflammatory cytokines, such as IL-6 and TNF, reduced liver compensatory proliferation and strongly inhibited DEN-induced HCC development [39]. Deletion of IKK $\beta$  in Kupffer cells was also found to inhibit the metastatic growth of Lewis lung carcinoma cells in liver [47]. The mechanism by which DEN administration leads to IKK/NF- $\kappa$ B activation in Kupffer cells was found to depend on the release of IL-1 $\alpha$  by necrotic hepatocytes which activates an MyD88-dependent signaling pathway upon binding to IL-1 receptor (IL-1R) on Kupffer cells. Inhibition of IL-1R signaling or ablation of MyD88 was found to attenuate DEN-induced HCC development [48].

One of the most important NF- $\kappa$ B-dependent cytokines that is produced by activated Kupffer cells is IL-6. Interestingly, DEN-treated female mice which unlike male mice are resistant to DEN-induced HCC development, produce less IL-6 than similarly treated male mice [49]. IL-6 is a major STAT3 activator in liver and male mice lacking IL-6 exhibit reduced DEN-induced STAT3 activation and are as protected from HCC development as wild-type female [49]. These results suggest that the striking male preference in HCC development in both human and mice may be due to differential IL-6 production [8, 49]. Whereas IL-6 ablation abolishes the male bias in DEN-induced HCC development, ovariectomy enhances IL-6 production and augments HCC induction in female mice [49]. It is likely that gender-specific differences in IL-6 expression also affect the incidence of human HCC, as serum IL-6 is higher after menopause [50, 51] and postmenopausal women display higher HCC incidence than premenopausal women [8]. Moreover, expression of IL-6 is elevated in both liver cirrhosis and HCC [52, 53] and was recently found to correlate with rapid progression from viral hepatitis to HCC [54, 55]. Precise mechanisms by which elevated IL-6 promotes HCC development are not known, but some of IL-6 functions are likely mediated by activation of STAT3.

### **STAT3 in liver cancer**

#### *STAT3 signaling is turned on in human HCC*

STAT3 was first identified and cloned from mouse liver cDNA library in the study of IL-6 signaling [56, 57]. STAT3 belongs to the signal transducer and activator of transcription (STAT) family. Like its relatives, STAT3 is inactive in nonstimulated cells, but is rapidly activated by various cytokines and growth factors, such as IL-6 and EGF family members, as well as hepatocyte growth factor (HGF) [58, 59]. STAT3 activation requires phosphorylation of a critical tyrosine residue (Tyr705), which mediates its dimerization that is a prerequisite for nucleus entry and DNA binding [60]. The phosphorylation of STAT3 at Tyr705 is most commonly mediated by Janus kinases (JAKs), especially JAK2, but its activity is also subject to fine tuning by other mechanisms, including serine (Ser727) phosphorylation [61] and reversible acetylation [62]. Activation of STAT3 also turns on strong negative feedback loops involving SHP phosphatases and suppressor of cytokine signaling 3 (SOCS3) [63]. These feedback mechanisms dampen STAT3 activity and ensure that cytokine-induced STAT3 activation is a transient event in normal cells. However, in cancer cells STAT3 is often found to be constitutively activated [64].

We have examined a large number of human HCC specimens and detected phosphorylated (i.e. activated) STAT3 in approximately 60% of them, with STAT3-positive tumors being more aggressive [65]. These findings are consistent with those of other studies in which STAT3 was found to be activated in the majority of HCCs with poor prognosis and not in surrounding non-tumor tissue or in normal liver [66]. However, the events that lead to STAT3 activation in human HCC are not known. Interestingly, activating mutations in the gene encoding the gp130 signaling subunit of IL-6 receptor family members were identified in benign hepatic adenomas [67]. When combined with a  $\beta$ -catenin activating mutation, these mutations, which cause STAT3 activation, lead to HCC development [67]. Nevertheless, STAT3-activating mutations are rare in human cancers. Most likely, as discussed above for NF- $\kappa$ B, STAT3 in cancer cells is activated by cytokines and growth factors that are produced within the tumor microenvironment. Indeed, the expression of IL-6, one of the major STAT3-activating cytokines, is elevated in human liver diseases and HCC [52, 53]. In addition, many HCC risk factors, including HCV infection and hepatosteatois, cause oxidative stress [68-70] and just like JNK, STAT3 can also be activated in response to ROS accumulation [65]. As discussed below, NF- $\kappa$ B-induced expression of anti-oxidants prevents inadver-

tent activation of STAT3 by ROS accumulation, but it needs to be determined whether NF- $\kappa$ B activity is down-regulated during human hepatocarcinogenesis to allow STAT3 activation. Nevertheless, the majority of STAT3-positive HCCs do not exhibit NF- $\kappa$ B activation and most NF- $\kappa$ B positive HCCs do not show activated STAT3 [65]. However, the main cause of STAT3 activation in human HCC could simply be the elevated expression of IL-6 and related cytokines, such as IL-11 and IL-22.

#### *STAT3 promotes HCC development in mouse models*

Germ line ablation of *Stat3* results in early embryonic lethality [71]. In fact, loss of STAT3 is lethal even to embryonic stem cells [72, 73], underscoring a critical role for STAT3 in cell growth and/or survival. To overcome these problems, a number of tissue-specific *Stat3* knockout mouse strains were generated to allow STAT3 deletion in differentiated cells [74]. Using such conditional STAT3 knockout mice, it has been shown that STAT3 is required for tumorigenesis in mouse skin [75], intestine [76, 77] and liver [65]. Such results left little doubt that STAT3 is a critical oncogenic transcription factor and an attractive target for cancer therapy [78].

We used hepatocyte-specific STAT3 deficient mice (*Stat3<sup>Δhep</sup>*) to examine the role of STAT3 in DEN-induced liver tumorigenesis. *Stat3<sup>Δhep</sup>* mice were found to exhibit more than a 6-fold reduction in HCC load relative to *Stat3<sup>F/F</sup>* mice [65]. Furthermore, tumors in *Stat3<sup>Δhep</sup>* mice were smaller, suggesting that STAT3 may play a role in HCC cell proliferation and/or survival. We derived cell lines from DEN-induced HCCs (dih cells) of *Stat3<sup>F/F</sup>* mice. Deletion of STAT3 in cultured *Stat3<sup>F/F</sup>* dih cells, accomplished by infecting the cells with a Cre-expressing adenovirus, resulted in cell death, suggesting that activated STAT3 is required for the survival of HCC cells. Although dih cells that are completely STAT3-deficient cannot survive, cells with a partial reduction of STAT3 expression, accomplished by shRNA transduction are viable, but exhibit a senescent phenotype and fail to form subcutaneous tumors upon transplantation [65].

Interestingly, dependence on STAT3 for survival is also seen in anaplastic large cell lymphomas that spontaneously appear in NPM-ALK transgenic mice, which invariably show STAT3 activation [79]. The lymphoma cells rapidly die when depleted of STAT3 *in vitro* [79]. Given this strict dependence on STAT3 for survival, it is puzzling to find that a few tumors can still develop in the complete absence of STAT3 in both the DEN-induced HCC model and in NPM-ALK transgenic mice [65, 79]. It is plausible that an alternative pathway can be activated in STAT3-null tumors but this pathway is hardly active in the presence of STAT3 [78].

#### *STAT3 as a therapeutic target in human HCC*

As compelling data continue to accumulate STAT3 has become an attractive molecule target for the treatment and prevention of human malignancies. While safety is a primary concern, given the embryonic lethality of STAT3-null mice, with the use of STAT3 inhibitors, tissue-specific *Stat3* ablation experiments indicate that STAT3 is not required for the survival of differentiated cells. These results provide supportive evidence that it may be safe to target STAT3 for human cancer therapy.

Different types of STAT3 inhibitors were designed to either directly target STAT3 by inhibiting its dimerization, DNA binding, or nuclear entry or through the targeting of upstream components in the STAT3 activation pathway [80, 81]. S3I-201 is a direct STAT3 inhibitor that blocks both STAT3 dimerization and DNA-binding and transcriptional activities [82]. Treatment of tumor xenografts derived from a human breast cancer cell line with constitutive STAT3 activity with S3I-201 resulted in inhibition of tumor growth [82]. The therapeutic effect of S3I-201 on xenografts of the human HCC cell line Huh-7 was also examined and it was found that at a dose of 5 mg/kg given every other day, S3I-201 inhibited STAT3 tyrosine phosphorylation and tumor growth [83]. Another widely used STAT3 inhibitor is AG490 which blocks activation of STAT3 by inhibiting the upstream kinase JAK2 [84]. We have tested the effect of S3I-201 and AG490 on the *in vivo* tumorigenic growth of dih cells and found effective inhibition of STAT3 activity and tumor growth [65]. The higher the level of STAT3 in a tumor cell line, the more susceptible it is to STAT3 inhibition [65].

Despite the testing of a number of different STAT3 inhibitors, their overall anti-tumor effects have not been overly impressive [80]. One explanation is that most of currently available STAT3 inhibitors target the conventional STAT3 pathway, i.e. STAT3 tyrosine phosphorylation, dimerization and DNA binding. This pathway, however, may not be the only mechanism through which STAT3 promotes tumorigenesis. For example, forced expression of a nonphosphorylatable STAT3 variant can mimic some STAT3-dependent functions in tumorigenesis [85]. To address this possibility, STAT3 ablation via systemic administration of a validated antisense oligonucleotide was recently tested in mouse tumor models [79, 86]. The *Stat3* antisense oligonucleotide significantly reduced STAT3 protein amounts and inhibited cell proliferation and tumorigenic growth of several human HCC cell lines transplanted into mice [86]. A similar anti-tumor effect of *Stat3* antisense oligonucleotides was shown in a mouse lymphoma model [79]. Effective inhibition of tumorigenic growth of many different types of cancer

cells transplanted into mice was observed upon treatment with AZD1480, a highly specific JAK2 inhibitor [87].

### Crosstalk between IKK/NF- $\kappa$ B and STAT3 in liver cancer

NF- $\kappa$ B and STAT3 each control the expression of a large number of downstream genes that control cell proliferation, survival, stress responses and immune functions. Some of the target genes for NF- $\kappa$ B and STAT3 overlap and in addition, the two transcription factors are engaged in both positive and negative crosstalk [88-90]. In mouse DEN model, DEN-induced hepatocyte death results in release of IL-1 $\alpha$  which activates NF- $\kappa$ B signaling in Kupffer cells, which produce a panel of cytokines and growth factors, including IL-6 [39]. IL-6 released by Kupffer cells activates STAT3 in hepatocytes and STAT3-activated genes are critical for compensatory hepatocyte proliferation and liver tumorigenesis [49, 65]. However, more recently we found that the two transcription factors are also engaged in negative crosstalk within HCC cells [65]. NF- $\kappa$ B activation results in increased expression of proteins, such as ferritin heavy chain and superoxide dismutase 2 that have an anti-oxidant function that prevents excessive ROS accumulation [41, 42]. Inactivation of IKK $\beta$  in HCC cells or hepatocytes favors the accumulation of ROS which oxidize the catalytic cysteine of various protein tyrosine phosphatases (PTPs) [41], including SHP1 and SHP2, the phosphatases that dephosphorylate STAT3 and JAK2 [91]. Oxidation of SHP1 and SHP2 results in loss of their catalytic activity and accumulation of phosphorylated and activated JAK2 and STAT3, which stimulate the proliferation and tumorigenic growth of NF- $\kappa$ B-deficient HCC [65]. Treatment of mice bearing IKK $\beta$ -deficient tumors with an anti-oxidant (BHA) restores SHP1/2 activity, reduces JAK2 and STAT3 phosphorylation and inhibits tumor growth. More recently, the loss of IKK $\beta$  in neutrophils was also found to result in activation of STAT3, which enhances the survival and proliferation of NF- $\kappa$ B-deficient neutrophils [92].

Not only NF- $\kappa$ B can affect STAT3 activity, STAT3 was also found to contribute to NF- $\kappa$ B activation. Activated STAT3 in cancer cells is able to bind RelA/p65 in the nucleus and this results in reversible acetylation of RelA/p65 by the STAT3-recruited acetyltransferase p300 [93]. Acetylation of RelA/p65 prolongs its nuclear retention [94]. Therefore, it was suggested that activated STAT3 may account for constitutive activation of NF- $\kappa$ B in some human cancers. This mechanism, however, does not seem to operate in most human HCCs as the majority of tumors with activated STAT3 do not show NF- $\kappa$ B activation [65].

### Concluding remarks

Although the etiology of human HCC is well established, its molecular pathogenesis is poorly understood. As a consequence, mechanism-based therapies for HCC are rare and being refractory to conventional anti-cancer drugs, HCC remains to be one of the deadliest human cancers with a 5 year survival rate of less than 10 percent [95]. The studies discussed above suggest that NF- $\kappa$ B and STAT3 are likely to play important roles in liver inflammatory responses and maintenance of homeostasis and also make critical contributions to HCC development and progression. Although the mechanisms responsible for NF- $\kappa$ B and STAT3 activation in human HCC are not fully understood, a role for NF- $\kappa$ B-regulated expression of the STAT3-activating cytokine IL-6 has recently emerged both in viral hepatitis and in hepatosteatosis [96]. Both the pathways that control IL-6 expression and those that control its ability to activate STAT3 offer interesting opportunities to therapeutic intervention as well as prevention.

A variety of animal models were used to study the roles of NF- $\kappa$ B, STAT3 and other signaling pathways in HCC development. However, due to the inability of human hepatitis viruses to infect mice or rats, a rodent model for virally-induced hepatocarcinogenesis is still not available. In addition, most of our mechanistic understanding of NF- $\kappa$ B and STAT3 in HCC comes from studies using cell type-specific knockout mice. NF- $\kappa$ B or STAT3 in these mice are ablated only in certain cell types and remain intact and fully functional in most other cells. Thus, the results obtained may not precisely predict the effect of pharmaceutical inhibitors that interfere with the activity of these transcription factors in all cells. The successful translation of the knowledge gained about NF- $\kappa$ B and STAT3 in HCC will depend on suitable solutions to these potential problems and appropriate human studies that will validate the promising results obtained in mice.

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